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PRODUCTION OF BIODIESEL FROM MARINE AND FRESHWATER MICROALGAE: A CRITICAL REVIEW 3

ABSTRACT

7 The increase in the annual global energy consumption over the past century has relayed heavily on 8 fossil fuels. Fossil fuel burning have accelerated CO₂ emissions on a global scale. CO₂ makes up 63 9 % of the greenhouse gasses present in the atmosphere. The environmental concerns associated with 10 greenhouse gas emissions emphasise the need for alternate energy sources that are more 11 environmentally friendly. The aim of this paper was to review the availability of various types of 12 algae for the production of biodiesel and other value added products and to investigate the factors that 13 affect cell growth and lipid production in the cells, the various oil extraction methods, and the 14 methods of conversion of the extracted lipids into biodiesel. Microalgae are abundant in nature and 15 can be used as an alternate source of energy. They are photosynthetic microorganisms that are 16 capable of growing in marine and fresh water environments and converting organic substances to oil. 17 Their high growth rate, ability to produce large amounts of lipids which can be used for biodiesel 18 production and to utilize CO₂ present in the atmosphere for growth, makes them a good alternative to 19 fossil fuel. Microalgae generate oil in the form of triacylglycerols which can be converted into 20 biodiesel, via chemical or enzymatic a transesterification process. Biodiesel is a renewable fuel that 21 generates the same amount of energy as that generated from petroleum diesel without the release of 22 harsh compounds into the atmosphere, it is biodegradable and nontoxic and can be utilized in existing 23 diesel engines without modification. Currently, the use of microalgae for biodiesel production is not 24 economically feasible because of the high harvesting and pre-treatment costs associated with the 25 production process. This can be overcome by extractingproteins, vitamins, carotenoids, nucleic acid, 26 carbohydrates and lipids from the algae and processing the algae biomass into various value added 27 products such as ethanol, methane, animal feed and fertilizer. Additionally, the glycerol produced as a 28 by-product duringlipid conversion into biodiesel can be further fermented to produce products such as 29 methanol, lactic acid, ethanol and hydrogen. By producing these value-added products in addition to 30 the biodiesel, the economics of the harvesting, pre-treatment and processing of microalgae into 31 biodiesel can be improved significantly.

Keyword: Microalgae, biodiesel, transesetraficaiton, oil extraction, lipids, carotenes, vitamins, carbohydrates, proteins, nucleic acid, ethanol, methane, animal feed, nutraceuticals

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1. INTRODUCTION

The increase in the annual global energy consumption over the past century has relayed heavily on fossil fuels (oil, coal, and natural gas) for powering up cars, farms and factories and for the production of electricity (Areva, 2011). The world consumption of crude oil, coal and natural gas in 2009 was 84.2 million barrels/day, 7.99 million short tons/day and 2 831 billion m³, respectively (Ratner, 2010). In 2011, the total sales of gasoline and diesel in Canada amounted to 40.4 billion litres and 17.8 billion litres, respectively(Statistics Canada, 2012).

39 Fossil fuel burning has accelerated CO₂ emissions on a global scale from 1.1 % per year in 1990 40 to more than 3 % per year in 2004 (Raupach et al. 2007). Carbon dioxide contributes about 63 % of 41 the greenhouse gasses emitted into the atmosphere (Mendelsohn et al. 1994; Hoffmann et al. 2006). 42 A change in global warming would increase the atmospheric temperature and negatively impacts all 43 living organisms (Root et al. 2003). An increase in the earth's temperature results in a decline in the 44 Adelilie penguins spices, melting of glaciers, increased precipitationcausing floods(National 45 Geographic, 2011; Hanna et al. 2008) and increased sea level causing loss of property and productive 46 agricultural lands (Meehl et al. 2005). In Canada, global warming effects are already felt across the 47 nation. Forest fires, floods, insect infestations and drought arethe results of global warming (Dai, 48 2010; Westerling et al. 2006; Gazette, 2005).

49 The environmental concerns of greenhouse gas emissions emphasise the need for alternate energy 50 sources that are more environmentally friendly. Various biomass materials can be used as renewable 51 sources of energy that offer immediate prospects for producing renewable liquid biofuels such as 52 biodiesel and bioethanol which can be used as substitutes for petroleum products (Singh and Gu, 53 2010). Using biofuels over the traditionally used fuels offers the benefits of greater energy security, 54 foreign exchange savings and reduced environmental problems (Balat, 2009; Yenikaya et al., 2009; 55 Kan, 2009). Biomass feedstocks that can be used for energy production include food waste, 56 municipal waste, agricultural waste, fish processing waste, animal rendering waste, edible and 57 nonedible oilseeds and aquatic plants. Oilseeds are currently for biofuel production, but are 58 considered a food source for millions of people around the world (Singh and Gu, 2010; Demirbas, 59 2005).

Algae, which are abundant in nature, can be used as an alternate fuel source (Chisti, 2007; Hu et
al. 2008). Using microalgae as an energy source is ideal because of their high growth rate and their
ability to produce lipids that can be used for the production of biodiesel (Song et al. 2008). The

63 majority of lipids produced by microalgae have a low degree of unsaturation, making them a good 64 replacement for the current fossil fuels (Singh and Gu, 2010). Microalgae are photosynthetic 65 microorganisms capable of surviving in marine and freshwater environments. Their advantages 66 include: (a) they tend to have a much higher oil yield than terrestrial plants and require much less land 67 spaceas shown in Table 1,(b) they can produce and store large amounts of oil without the production 68 and release of harmful wastes into the environment, (c) they are extremely resilient and often 69 unaffected by fluctuations in the environment, (d) they utilize carbon dioxide for their growth, thus 70 reducing greenhouse gas emissions and (e) they are not considered a traditional food, hence they do 71 not compete with traditional agriculture (Aresta et al. 2005; Wahlen et al., 2011; Singh et al. 2010; 72 Demirbas, 2010; Pokoo-Akins et al. 2010; Demirbas, 2011; Chen et al. 2009).

73 Biodiesel, as a liquid fuel, can be produced by transestrafication of oil extracted from algae 74 (Leung et al. 2010; Demirbas and Demirbas, 2011; Wahlen et al. 2011). Algae generate oil in the 75 form of triacylglycerols which can be converted into biodiesel by the addition of methanol and the 76 use of a catalyst (acid, base or enzyme) (Demirbas, 2005; Chen et al. 2009). The waste generated 77 from the algal biomass can be further processed to produce other biofuels such as methane via 78 anaerobic digestion or bioethanol via fermentation. Additionally, the algal biomass waste can be 79 converted to other value added products such as protein supplement in animal feed, organic 80 fertilizers, cosmetics and pharmaceutics (Demirbas, 2010; Demirbas, 2011; Chen et al. 2009; 81 Banerjee et al. 2009). It is important to note that different types of microalgae contain different 82 cellular compositions (as percent of dry weight). Algae with higher lipid composition are better 83 suited for biodiesel production while those possessing higher sugar content are more appropriate for 84 production of bioethanol (Demirbas, 2010).

85 In terms of efficiency, biodiesel provides 2% less energy than petroleum diesel. Thus, in 2011 86 Canada would have required 44.9 billion litres of biodiesel to meet its transportation needs (Chen et 87 al. 2009; Statistics Canada, 2012). The biggest advantage of biodiesel, compared with other 88 alternative transport fuels, is that it can be utilized in existing diesel engines without much 89 modification (Singh and Gu, 2010). Other advantages of using biodiesel generated from microalgae 90 include: no release of harsh compounds (carbon monoxide and carbon dioxide) into the atmosphere 91 (Maceiras et al. 2011), it is biodegradable and nontoxic, a much cleaner energy source and far more 92 environmentally friendly than the current energy sources being used (Ulusoy et al. 2004; Kalam and 93 Masjuki, 2005; Demirbas, 2005; Maceiras et al. 2011). In addition, microalgae can generate 44.9 94 billion L of biodiesel in 345-764 thousand hectares of land per year (depends on algal composition)

Crop Oil Yield		Land required to produce 44.9 L* of biodiesel
	(L/ha/year)	(million ha)
Corn	172	261
Soybean	446	100
Peanut	1 059	42.4
Sunflower	952	47.2
Canola	1 190	37.7
Rapeseed	1 190	37.7
Jatropha	1 892	23.7
Oil Palm	5 950	7.55
Microalgae	58 700-130 000	0.764-0.345

95	Table 1.	Biomass feedstock oil yield comparison (DOE, 2009; Chisti, 2007; Lele, 2009).

96 *Amount of biodiesel required to meet the transportation demand of Canada in 2011.

which is far less than the land required for the same amount of biodiesel to be generated using otherterrestrial crops (Table 1).

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2. TYPES OF ALGAE

101 Algae, is a term that refers to thallophytes or photosynthetic oxygen producing organisms that 102 lack roots, stems, leaves and vascular tissues, making them different from plants (Barsanti and 103 Gualtieri, 2006). Their size can range from 0.2 µm to 60 m in length. They are either prokaryotic or 104 eukaryotic and can be divided into two groups:macroalgae and microalgae (Barsanti and Gualtieri, 105 2006; Demirbas 2010; Carlsson et al. 2007; Chen et al. 2009). Both macroalgae and microalgae are 106 found in marine and freshwater environments (Barsanti and Gualtieri, 2006). They form the base of 107 the food chain by providing nutrition and an accommodating environment for other organisms 108 (Brodie and Lewis, 2007; Banerjee et al. 2009). Algae can either be subaerial or aquatic, but the main 109 bulk of algae are microscopic aquatic organisms that are visible to the eye when colonies are formed 110 (Diaz-Pulido and McCook, 2008).

111 **2.1. Macroalgae**

112 Macroalgae are multicellular organisms that can also be referred to as "seaweeds". They are fast 113 growing plants that can reach up to 60 m in length, growing in salty or freshwater environments. 114 They lack roots, stems and leaves and are composed of thallus with a stem and foot (Carlsson et al. 115 2007). Based on pigmentation, macroalgae are broadly classified to three main groups (Figure 1): 116 brown seaweed (Phaeophyceae), red seaweed (Rhodophyceae) and green seaweed (Chlorophyceae). 117 In order to provide buoyancy, some of these species have gas filled structures (Carlsson et al. 2007; 118 Barsanti and Gualtieri, 2006). Microalgae are mainly used for food production and hydrocolloid 119 extraction (Demirbas 2010; Carlsson et al. 2007). They are found to produce both carbohydrates and 120 lipids. The carbohydrates are used as their main energy storage compound and the small amount of 121 lipids produced (Table 2) are used to make up the cell membrane structure (Sheehan et al. 1998). 122 Some species such as *P. fermandeziana* can store high level of lipids as shown in Table 2.

123 Industrial applications of macroalgae vary widely. Some of the applications for the 124 polysaccharides formed by the macroalgae species include cosmetics, food, paint, textiles, rubber, 125 paper and building industries (Banerjee et al. 2009). Also, their anticoagulant, antiviral, antitumor 126 and brinolytic properties make them a great asset for use in medicine and pharmacology (Boopathy



127 128 129

(a) Phaeophyceae



(b) Rhodophyceae



(c) Chlorophyceae

- 133 134 135 136 137 Figure 1.Main groups of macroalgae (Peters, 2006; Baker et al. 2012).

Class	Species	Protein	Carbohydrate	Lipid
		(%)	(%)	(%)
Brown	P. fernandeziana	6-8	1.8-2	30-44
	Ascophyllum nodosum	5-13	45-60	2-4
Red	Chondrus crispus	5-25	50-60	0.6-6
	Palmaria palmate	8-30	43-66	1-4
Green	Enteromorpha sp.	7-20	30-45	1-3
	Ulva lactuca	7-30	41-62	1-3

Table 2. Chemical composition of macroalgae species (Agrimer, 2013; Goecke et al. 2012).

141 and Kathiresam, 2010). Boopathy and Kathiresam (2010) noted that macroalgae are promising agents 142 against cancer because of their metabolites, protein, vitamins, iodine and minerals. The protein 143 content of these organisms varies with type. The brown seaweeds class tend to possess low proteinsin 144 the range of 5-15% (dry weight), while the green and red seaweeds have a higher protein range of 10-145 30% (dry weight), Table 2. Proteins present in these species are especially important in the food 146 industry, particularly in developed countries (Banerjee et al. 2009). The lipids present in these 147 species represent only 1-5% of the algal dry mass and are mainly made up of omega 3 and omega 6 148 polyunsaturated fatty acids which play an important role in prevention of osteoarthritis, diabetes and 149 cardio vascular diseases (van Ginneken et al. 2011). Van Ginneken et al. (2011) reported a total lipid 150 content of seven macroalgae species screened to range from 7 to 45 mg/g (dry matter) and that 151 omega-3 and omega-6 polyunsaturated fatty acids ranged from 2 to 14 mg/g (dry matter). Maceiras et 152 al. (2011) screened fourteen different species of macroalgae collected from Galician coast and noted 153 that they all contained a low oil content that ranged from 0.25 to 3.25% (dry weight). The low lipid 154 composition of macroalgae makes them unsuitable for biodiesel production (Bruton et al. 2009; 155 Maceiras et al. 2011). However, they can be used for the production of biogas and bioethanol and 156 also as a source of medicine (Bruton et al., 2009).

157 **2.2. Microalgae**

158 Photosynthetic microscopic organisms are known as microalgae (Demirbas 2010; Chen et al. 159 2009). They are single celled organisms and are categorized into several groups on the basis of 160 pigmentation, basic cellular structure and life cycle (Sheehan et al. 1998: Demirbas 2010). 161 Microalgae can be further broken down into prokaryotic cyanobacteria (Figure 2) and eukaryotic 162 algae(Figure 3). Table 3 lists a few of the divisions found in prokaryotic and eukaryotic algae. 163 Eukaryotic algae are classified into 12 divisions (Chen et al. 2009). In terms of abundance, the four 164 most important groups of microalgae are diatoms (Bacillariophyceae), green algae (chlorophyceae), 165 golden algae (chrysophyceae), and the blue-green algae (cyanophyceae), Figure 4 (Sheehan et al. 166 1998; Chen et al. 2009). They are capable of tolerating extreme temperatures and pH conditions as 167 well as being able to live in various environments such as freshwater, marine water and wastewater 168 (Chen et al. 2009; Maceiras et al. 2011).

Microalgae are made up of proteins, carbohydrates, lipids and nucleic acid. The proportions vary widely among species. The protein, carbohydrate, lipid and nucleic acid contents are in the ranges of 6-71, 4-64, 1.9-40 and 1-6 % (dry basis), respectively (Pimentel et al. 2008). There are microalgae species capable of achieving up to 40% lipids which makes them suitable for biodiesel production. In addition, microalgae in comparison with macroalgae have a much more



- **Figure 2.** Cell structure of a prokaryotic organism (TutorVista, 2013).



- **Figure 3.** Cell structure of a eukaryotic organism (TutorVista, 2013).

Kingdom	Division		
Prokaryota eubacteria	Cyanophyta		
	Prochlorophtya		
	Glucophyta		
	Rhodophyta		
	Heterokontophtya		
	Haptophyta		
	Cryptophyta		
	Dinophyta		
Eukaryota	Euglenophyta		
	Chlorarachniophyta		
	Chlorophyta		
	Bacillariophyta		
	Xanthophyta		
	Phaeophyta		

Table 3. Microalgae group classification (Barsanti and Gualtieri, 2006).



Figure 4. The four most important groups of microalgae (Darling, 2013; Garcia et al., 2003; Zeenews, 2013).

rapid growth rate under optimal conditions and under unfavourable conditions they alter their
 metabolic products to form natural oils (triaclyglycerols) (Chen et al. 2009). These characteristics
 add to their increased appeal for utilization in biodiesel production.

Li et al. (2011) reported 95% biodiesel yield from oil extraction from *Chlorella pyrenoidosa*. Johnson and Wen (2009) achieved a biodiesel conversion efficiency of 95.9 % from the oil extracted (57 %) of *Schizochytrium limacinum*. Miao and Wu (2006) reported a biodiesel yield of 70% from oil extracted from *Chlorella protothecoides*. Stephenson et al. (2010) reported that *Chlorella vulgaris*can produce a total biodiesel of 8200 L/ha/year. Rodolifi et al. (2009) noted that *Nannochloropsis* species can produce 23000-34000 L/ha/year of biodiesel.

After using microalgae for the production of biodiesel, the remaining biomass residues can be further used to produce numerous by-products (Figure 5). These include: bioethanol via fermentation and hydrolysis (Demirbas, 2011), food ingredients, animal feed, nutraceuticals and pharmaceutical (Pulz and Gross, 2004). The glycerol can also be converted to several chemical products by fermentation including biofuels such as hydrogen and ethanol. Processing of algal biomass after the extraction of lipids would improve the economics of biodiesel (Williams and Laurens, 2010).

207 Harun et al. (2010a) reported a bioethanol production of 3.83 g/L, using 10 g/L of lipid-extracted 208 microalgae (Chlorococum sp.) debris via yeast (Saccharomyces bayanus) fermentation. Kim et al. 209 (2012) used hydrothermal treatment to fractionate *Schizochytrium* sp. for the production of bioethanol 210 and achieved 11.8 g/L of ethanol form 25.7 g/L of glucose. Chisti et al. (2007) illustrated that in 211 order for an economical balanceto be reached, the biomass that remains after lipid extraction needs to 212 be transformed into methane via anaerobic digestion which can also recycle back nitrogen and 213 phosphorus.Becker (2007) noted that nutritional and toxicological analyses of algae biomass protein 214 illustrated that it is highly suitable as a supplement feed similar to soybean meal, fish meal and rice 215 Bran. Currently 30% of the world's algae production is used as feed supplements. Soletto et al. 216 (2005) noted that the microalgae Arthrospira possess a high protein content, and it is for this reason 217 that they are used in human nutrition today.

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3. MICROALGAE ENVIRONMENT

219 Microalgae have the ability to withstand various environments. Their main requirements for 220 biomass production include water, light and carbon dioxide. Microalgae can grow in salt, fresh and 221 wastewater environments (Demirbas, 2011).



Figure 5. Schematic of value added products that can be produced from algae processing.

224 **3.1. Freshwater**

Freshwater (Table 4) contains a few or no saltscompared to marine water. It has a freezing point and a boiling point of 0°C and 100°C, respectively. Therefore, nutrients must be supplied into the media for algae to grow(Aquarius, 2011). Since it has been observed that triacylglycerols (TAG) content in lipid increases with N-deprivation, growing algae in freshwater allows for the manipulation of nitrogen content in order to achieve high algal biomass and high oil content (Chen et al. 2009).

230 **3.2. Marine**

Marine water environments are simply seawaters with varying salt contents. Variation in the salt content is a result of varying water evaporation and precipitation in different parts of the ocean. Numerous elements (>70) make up seawater, however only a small number (6) make up more than 99 % of the dissolved salts (Table 5). All of these dissolved salts are in the form of electrically charged atoms or groups of atoms (Badea et al. 2007). Utilizing marine water for microalgae growth would minimize the need for additional nutrients into the production system.

3.3. Wastewater

238 Wastewater is mainly comprised of water (98.0-99.9%) with small amounts of suspended and 239 dissolved organic and inorganic solids. Table 6 shows the major composition of strong, medium and 240 weak domestic wastewaters. Organic compounds present include: fats, soap, proteins, detergents, 241 natural and synthetic organic chemicals, lignin and carbohydrates. Inorganic substances contain: 242 nitrogen, sodium, calcium, magnesium, phosphorous, potassium and chlorine (FAO, 1992). Growing 243 algae on municipal wastewaters has the advantage of removing certain elements such as phosphorous 244 and nitrogen in addition to the removal of CO_2 from the atmosphere, which if left undealt with are 245 harmful to the environment (Chen et al. 2009).

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4. BIOLOGICAL COMPOSITION OF MICROALGAE

Microalgae use light energy in a process called photosynthesis as well as water and carbon dioxide and convert them into lipids, carbohydrates, proteins and nucleic acids in different proportions, depending on the algal type as shown in Table 7 (Demirbas and Demirbas 2011; Singh and Gu, 2010; Lewin, 1962).

Table 4. Composition of freshwater (Swenson, 2013).

Ion	Percentage of
	Total Salinity
Chloride (Cl ⁻)	8.64
Sodium (Na ⁺)	6.98
Sulphate (12.41
Magnesium (Mg^{2+})	4.54
Calcium (Ca $^{2+}$)	16.62
Potassium $(K_{+}^{Mg^{2+\gamma}})$	2.55
Bicarbonate $(\underbrace{b}_{n=2} \underbrace{b}_{$	31.90
Silica $(SiO_2)^{HCO_3}$	14.51
Iron (Fe)	0.74
Nitrate (NO_3)	1.11

Table 5. Composition of marine water (Badea et al. 2007).

Element	Percentage	
Chloride (Cl ⁻)	55.05	
Sodium (Na ⁺)	30.61	
Sulphate	7.68	
Magnesium (Mg^{2+})	3.69	
Calcium (Ca $^{2+}$)	1.16	
Potassium (K ⁺)	1.1	
Bromide (Br ⁻)	0.2	
Bicarbonate	0.41	
Boric acid (H_3BO_3)	0.07	
Strontium (Sr ²⁺)	0.03	

Table 6. Composition of major constituents in wastewaters (FAO, 1992).

	Concentration (mg/L)				
Constituents	Strong	Medium	Weak		
Total Solids	1200	700	350		
Dissolved Solids	850	500	250		
Suspended Solids	350	200	100		
Nitrogen (as N)	85	40	20		
Phosphorus (as P)	20	10	6		
Chloride	100	50	30		
Alkalinity (as CaCO ₃)	200	100	50		
Grease	150	100	50		
BOD ₅	300	200	100		

Species of sample	Proteins	Carbohydrates	Lipids	Nucleic acid
Scenedesmus obliquus	50-56	10-17	12-14	3–6
Scenedesmus quadricauda	47	-	1.9	-
Scenedesmus dimorphus	8-18	21-52	16-40	-
Chlamydomonas rheinhardii	48	17	21	-
Chlorella vulgaris	51-58	12-17	14-22	4–5
Chlorella pyrenoidosa	57	26	2	_
Spirogyra sp.	6–20	33-64	11-21	-
Dunaliella bioculata	49	4	8	-
Dunaliella salina	57	32	6	-
Euglena gracilis	39-61	14-18	14-20	-
Prymnesium parvum	28-45	25-33	22-38	1-2
Tetraselmis maculata	52	15	3	-
Porphyridium cruentum	28-39	40-57	9-14	-
Spirulina platensis	46-63	8-14	4–9	2-5
Spirulina maxima	60-71	13–16	6–7	3-4.5
Synechoccus sp.	63	15	11	5
Anabaena cylindrica	43-56	25-30	4–7	-

Table 7. Microalgae chemical composition (Demirbas, 2011).

260 **4.1. Proteins**

261 Proteins in microalgae make up to 8-71 % of the cells (Table 7) and function as the 262 photoreceptive center of the cells. These molecules are present in microalgae because their function 263 is light detection. There are two main types of photoreceptors in microalgae that are responsible of 264 algal vision: rhodopsin-like proteins and flavoproteins. Rhodospin-like proteins function in the 265 visible light region, while flavoproteins function in the near UV-light region (Barsanti and Gualtieri, 266 2006). It is important to note that these receptive molecules do not play a role in the color of the 267 organism, because the color plays an important role in the classification of the organism (Ahmed and 268 Hellebust, 1993).

Proteins extracted from algae biomass can be used in industrial, therapeutic and diagnostic applications (Hempel et al., 2011). The incorporation of algae protein in food processing is still in its trail phase (Beker, 2007). Algae proteins have been noted to possess antioxidant peptides that play a vital role in the human health (Sheih et al., 2009; Samarakoon and Jeon, 2012). Toxicological and nutritional evaluation of algae protein deem it suitable as a feed supplement or a substitute for current protein sources such as fish meal, rice bran and soybean meal (Beker, 2007; Hempel et al., 2011).

276 **4.2. Carbohydrates**

Carbohydrates can make up to 4-64 % of the cell(Table 7). They are a form of storage of the end products of photosynthesis inmicroalgae. They are formedby CO_2 fixation and can bein theform of sucrose, paramylonand starch. In eukaryotic algae, the fixation process of CO_2 takes place in the storma, while that of prokaryotic algae takes place in the cytoplasm (Barsanti and Gualtieri, 2006). Somealgae produce carbohydrates as their primary energy storage compounds.

282 Carbohydrates can be extracted and converted into value added products such as ethanol 283 and methane gas. Conversion of carbohydrates into ethanol is achieved by fermentation 284 process (Lee et al., 2011; Nahak et al. 2011; Harun et al. 2010b). This process involves the 285 conversion of carbohydrates by yeast (Saccharomyces cerevisiae) under anaerobic conditions 286 into ethanol and carbon dioxide (Gupta et al. 2012). Methane production can be achieved 287 through anaerobic digestion of carbohydrates (Chisti, 2007; Sialve et al. 2009). This process 288 takes place under anaerobic conditions. The anaerobic microorganisms degrade and stabilize 289 organic materials into methane and carbon dioxide (Kelleher et al. 2000).

290 **4.3.** Lipids

291 Another form of energy storage for microalgae is triacylglycerol (TAG) lipids (Table 7). The 292 main building blocks of TAGs and all other cellular lipids are fatty acids. The enzyme acetyl CoA 293 carboxylase is the enzyme responsible for regulating the rate of fatty acid synthesis, which is 294 synthesized in the chloroplast (Hu et al. 2008). Small amounts of spherical lipid droplets are 295 contained in the chloroplasts between the thylakoids, which play a role in the growth and synthesis of 296 lipoprotein membranes within the chloroplast (Lee, 2008). Additionally, these lipids function as a 297 cell structural support and as metabolic organelles in photosynthesis (Chen et al. 2009). Surfactant 298 lipids work to stabilize the structure of the mitochondria and in photosynthesis metabolism (Lewin, 299 1962). Algae typically have 5-20 % DCW lipid oil when grown under optimal conditions, while 300 growth in unfavourable conditions can increase the lipid content to 20-50 % DCW (Hu et al. 2008; 301 Pokoo-Aikins et al. 2010).

Lipids extracted from microalgae can be used for biodiesel production. Lipids are converted into biodiesel through transesterification technology which works by using an alcohol and an acid or base. The alcohol plays the role of both the solvent (extracting the lipids from the biomass) and the reactant (converting the lipids into fatty acid methylesters) (Demirbas, 2010; Chen et al. 2009). The alcohol most commonly used is methanol and the reaction catalyst can be an acid or base (Demirbas and Demirbas, 2011; Wahlen et al. 2011).

308 4.4. Nucleic Acid

Nucleic acid makes up to 1-6 % of the cell and plays a vital role in cell growth and cell repair. During the growth of algae, the accumulation of nucleic acid and other cellular constituents take place and the RNA and protein synthesis are formed in a fixed ratio(Lavens and Surgeloos, 1996).Nucleic acid contains high amounts of phosphorous and nitrogen which can be recycled back by the anaerobic digestionprocess. Also, the biomass containing nucleic acid can be used as fertilizer (Demirbas, 2011; Chen et al. 2009).

315 **4.5. Vitamins**

Microalgae have been noted to contain numerous essential vitamins such as vitamin A, B_1 , B_2 , B_6 , B₁₂, C, E, nicotinate, biotin, folic acid and pantothenic acid. The amount of vitamins varies with species and cultivation techniques. The range of vitamins present in a few species ranges from 0.4 to 554 mg Kg⁻¹as shown in Table 8(Beker, 2004). These vitamins haveimportant antioxidant properties and play vital roles in immune function, vision, reproduction, preventing against kidney disease, birth

defects, depression and asthma (Letcher and Scott, 2012; ODS, 2013; UMMC, 2011). Extraction of these vitamins from microalgae would provide an additional renewable source for vitamin extraction.

323 4.6. Carotenoids

324 Microalgae contain numerous carotenoids(β -carotene, zeaxanthin, lutein, violaxanthin, 325 diadinoxanthin, pyrrhoxanthin, peridinin, neoxanthin, fucoxanthin, echinenone, canthaxanthin and 326 astaxanthin) which play important roles in photosynthesis. Carotenoidstypically make up 25 % of the 327 microalgae cells (Fernandez-Sevilla et al. 2010). They are synthesized and accumulated in the plastids 328 and can be extracted and used as value added products. Accumulation of these compounds is affected 329 by environmental conditions. Cells exposed to environmental stress accumulate higher amounts of 330 carotenoids (Aburai et al. 2013). Caroteniodshave important anti-inflammatory, antioxidant and anti-331 tumor activity (Soontornchaiboon et al. 2012; Yoshida et al. 2007), which make microalgae a great 332 source of providing essential compounds for maintaining good human health. These compounds can 333 be used in pharmaceutics, cosmetics, nutraceuticals and medical industries. Carotenoids also have 334 industrial uses acting as coloring agents in natural foods such as egg yolk, fish and chicken (Del 335 Campo et al. 2007; Aburai et al. 2013).

336 5. FACTORS AFFECTING ACCUMULATION OF LIPIDS IN MICROALGAE

On average, oleaginous green algae consist of 25.5 % lipid of their dry cell weight (DCW). However, when they are grown under stressed environments, the lipid content can double or even triple (Chen et al. 2009; Rodolfi et al. 2009; Scott et al. 2010; Li et al. 2011; Demirbas and Demirbas 2011). Unfavourable conditions alter the synthesis of membrane lipids to the storage of neutral lipids (TAG). The average lipid content of oleaginous green algae grown under unfavourable conditions has been reported to reach 45.7 % DCW (Hu et al. 2008). Oleaginous diatoms showed similar results indicating that under stress conditions the algal lipid content increases significantly.

Certain species such as *Chlorella* (Illman et al., 2000; Hsieh and Wu, 2009), *Dunaliella* (Takagi et al., 2006), *Nannochloropsis* (Rodolfi et al. 2009) and *Neochloris* (Li et al. 2008) have been noted to alter their metabolic pathways from producing proteins to the production of lipids (TAGs) by diverting the fixed carbon under stress conditions. However, lipid content and biomass productivity are inversely related as depicted in Table 9 (Rodolfi et al. 2009).

		А	\mathbf{B}_1	B_2	B_6	B_{12}	C	Е	Ν	В	FA	PA
	Spirulina Platensis	840	44	37	3	7	80	120	-	0.3	0.4	13
	Chlorella pyrenoidoisa	480	10	36	23	-	-	-	240	0.15	-	20
	Scenedesmus quadricauda	554	11.5	27	-	1.1	396	-	108	-	-	46
350 351	N= Nicotinate B=Biotin											
352	FA= Folic Aci	d										
353	PA= Panthoter	nic Acio	d									
354												
355												

Table 8. Vitamin content of various microalgae, values are mg Kg⁻¹ (Beker, 2004).

Rodolfi et al. (2009) noted that of the marine species screened, *Chaetoceros* was found to produce the highest lipid content of 40 %, but resulted in the lowest biomass productivity. Alonso et al. (2000) noted that an increase in the total lipid content in the *P. Tricornutum* cells due to unfavorable conditions resulted in a biomass reduction. Hu et al. (2008) reported an increase in lipid content of oleaginous diatoms from 22.7 % DCW under normal conditions to 44.6 % under unfavourable conditions.

Lipid synthesis (i.e. non-polar TAGs) is the best substrates for biodiesel production which can be formed by alternating some of the algal growth conditions (Rodolfi et al. 2009). These conditions can be either chemical or physical environmental stimuli (Guschina and Harwood, 2006; Hu, 2004; Hu et al., 2008). Physical stimuli include temperature and light intensity and chemical stimuli include pH and depravation of nutrients (Hu et al. 2008).

368 5.1. Temperature

Temperature plays a major role in the lipid yield and composition of fatty acids in algae (Hu et al. 2008). The optimal temperature for algalgrowth is in the rangeof 18-20°C. Temperatures that do not fall within this range are found to affect the rate of growth or result in the death of the organisms (Chen et al. 2009; Demirbas and Demirbas, 2011). However, increases in lipid content have been noted by several research's in microalgae grown at temperatures both above and below the optimal range (Chen et al. 2009; Converti et al. 2009; De Castro and Garcia 2005; Jiang and Gao, 2004; Renaud et al. 2002; Macedo and Alegre, 2001).

376 Renaud et al. (2002) tested the temperature effect on the growth rate and lipid content of three algal 377 species Isochrysis sp., Nitzschiaclosterium and Nitzschia paleacea. They noted that over the 378 temperature range of 10-35°C, the *Isochrysis* sp. had the highest growth rate and a lipid content at 379 20°C. Significantly lower growth rates were observed at temperatures of 10, 15,25 and 30°C. 380 Nitzchia closterium did not grow at temperatures above 30°C or lower than 20°C with no significant 381 difference in growth rate at temperatures of 30, 25 or 20°C. The maximum lipid production of 20.1 % 382 was noted at 20°C. Finally, Nitzschia paleaceawas toleranttolow temperatures, although the growth 383 rate at 10°C was very low. The maximum growth rate for this species was noted at 15°C while the 384 maximum lipid content of 21.2 % was noted at 10°C.

Converti et al. (2009) noted that the species *N. Oculata* produced double the lipid content (from 7.9 to 14.9 %) as the temperature increased from 20 to 25°C. De Castro and Garcia (2005) reported

 Table 9. Microalgae species screened for biomass productivity, lipid content and lipid productivity (Rodolfi et al. 2009).

 388 389

Microalgae	Biomass Productivity	Lipid Content (% biomass)	Lipid Productivity
	(g/L/day)		(mg/L/day)
Marine strains			
Prophyridium Cruentum	0.37	9.5	34.8
Tetraselmis Suecica	0.32	8.5	27.0
Nannochloropsis	0.21	29.6	61.0
Isochrysis	0.17	22.4	37.7
Chaetoceros calcitrans	0.04	39.8	17.6
Freshwater strains			
Chlorococcum	0.28	19.3	53.7
Scenedesmus	0.26	21.1	53.9
Chlorella	0.23	18.7	42.1
Scenedesmus quadricauda	0.19	18.4	35.1
Chlorella Vulgaris	0.17	19.2	32.6

that the lipid content of *Chaetoceros cf. wighamii* increased with lower temperatures over the range of 20-30°C. Jiang and Gao (2004) showed that the lipid content of the marine specie *Phaeodactylum tricornutum* increased as the temperature was lowered from 25 to 10°C. Renaud et al. (2002) noted that the *Chaetoceros* sp. grew well at temperatures of 33-35°C. However, the highest lipid content of 16.8 % was achieved at a temperature of 25°C. Macedo and Alegre (2001) reported a 3 fold increase in lipid content of *Spirulina* species when exposed to temperatures below the optimal range.

399 5.2. Light

Light is a major factor that regulates and stimulates algal growth. Light is an electromagnetic radiator characterized by its quality, possession of different wavelengths and intensities. In order for algae to be able to detect light and react to it, they must possess a photocycling proteinsthat have a high sensitivity to light (Barsanti and Gualtieri 2006).

Through the process of photosynthesis microalgae are capable of taking photons from a light source and converting them into algal biomass (Round, 1973). The absorption of light photons depends on the algal cell pigmentation, culture density and the cells specific position (Chen et al. 2009; Barsanti and Gualtieri, 2006). Therefore, in an open pound system the algae cultures must be adjusted in order to be able to sense the light photons for the different seasons and to avoid shading by other cells (Chen et al. 2009).

410 Phototrophic algae obtain their energy and nutrients via photosynthesis. Photosynthesis in algae 411 occurs in the chloroplast and is driven by solar energy which is converted to chemical energy. This 412 chemical energy is stored in organic matter via cycling of carbon from atmospheric CO₂ to organic 413 carbon (Chen et al. 2009). Scott et al. (2010) stated that algal biomass requires light for 414 photochemical reactions to take place (producing energy for the cell). Algae are capable of storing 415 more of the absorbed light when it is in low amounts, but high levels of light have been noted to cause 416 photoinhibition and biochemical damage to photosynthetic machinery. However, the highest 417 efficiency for photoynthetic reactions has been noted at low light intensities.

The quality and intensity of natural light varies from place to place and also varies during the day. Algae cultures growing on water surface (or close to it) may obtain their photons for photosynthesis, but algae growing blow a certain depth may not sense the photons from the natural light. If the photons do not reach the algae then a decrease in biomass production will result. Therefore, fluctuations in the light intensity may not yield the expected biomass (Chen et al. 2009).

The pigments (chlorophylls) in charge of light absorption have the best absorption at wavelengths of 440 and 680 nm (Chen et al. 2009; Barsanti and Gualtieri, 2006). Thus, the white light, which encompasses the whole visible spectrum, is not fully absorbed. The unabsorbed light is reflected off or transmitted as wasted energy. Theoretically speaking, artificial light that corresponds to the wavelengths of 440 and 680 nm will be most efficient for algal growth (Chen et al. 2009).

428 Rodolfi et al. (2009) grew the marine species Nannochloropsis with one sided illumination and 429 noticed that an increase in light intensity from 115 to 230 µmol photons/m²/s increased the biomass 430 productivity from 0.61 to 0.85 g/L/d. They also noted that the fatty acid content increased from 14.7 431 to 19.6 % with the increase in light intensity. However, when the same specie was tested under two-432 sided illumination and the light intensity was increased from 115 to 230 μ mol photons/m²/s, both the 433 biomass production and fatty acid content increased from 0.97 to 1.45 g/L/day and 24.3 % to 32.5 %, 434 respectively. The increase in fatty acid content was the result of the increase in saturated and 435 monounsaturated fatty acids. More light illumination resulted in more biomass production as appose 436 to one sided light illumination with a higher light intensity. This suggests that light penetration into 437 the reactor had a great effect on algae growth.

438 Yoshimoto et al. (2005) noted that flashing light enhanced the photosynthetic process in 439 Chaetoceros calcitrans. Nedbal et al. (1996) reported higher rates of growth using flashing light as 440 opposed to continuous light. Rai and Gaur (2001) noted that the photosynthesis in culture 441 environments undergoing nutrient depravation is utilized for the formation of reduced storage 442 products (fats). Pal et al. (2011) noted that by varying the light intensity and salinity, the total fatty 443 acid content increased to 47% DCW. Jacob-Lopes et al. (2009) experimented with the species 444 Aphanothece microscopia and noted a reduction in biomass (5000 to 100 mg/L) as the light duration 445 period was decreased from 24 to 2 hours. Cheirsilp and Torpee (2012) noted that the highest lipid 446 content of the four microalgae species tested (Marine Chlorella) resulted in a lipid production of 117 447 mg/L in phototrophic conditions as appose to heterotrophic.

448 **5.3. pH**

In geothermal and mining areas, the natural water may be acidic whereas the water in saline deserts is mostly alkaline. Only a few algal organisms are capable of growing in extreme pH environments because the extent of ionization of metabolites is affected by the pH, which in turn affects the organism's reactivity and its ability to uptake nutrients. High levels of photosynthesis may cause fluctuations in pHdue to carbon dioxide removal from the medium (Rai and Gaur, 2001).

The pH of a medium affects the toxicity of the surrounding metals that are present. The ability of algae to uptake nutrients from the environment is affected by the toxicity of the metals, which is dependent on the pH (Franklin et al. 2000). Increasing the pH decreases the competition between the metal ion and the H^+ at the cell surface (Franklin et al. 2000; De Schamphelaere et al. 2003). Therefore, the pH must be maintained at the appropriate level for a sufficient nutrient uptake.

459 Skrupski et al. (2013) reported an increase in Chlorella lipid content from 15to 45% (DCW) with 460 incremental pH increases. Wang et al. (2010) grew Chlorella vulgaris at various pH levels (6-8.5) 461 and achieved the best growth rate at a pH of 6.5-7.0. However, the best lipid accumulation was 462 achieved within the pH range of 7-8.5. Wilde et al. (2006) noted that inhabitation of growth rate of 463 Chlorella sp. was affected by the toxicity of copper and zinc which increased over the pH range of 5.5-8, but the sensitivity to copper was greater than that of zinc. De Schamphelaere et al. (2003) 464 noted that Cu²⁺ toxicity increased at higher pH values over the pH range of 5.9-8.5 and inhibited cell 465 466 growth in *Chlorella* sp. and *Pseudokirchneriella* subcapitata species. Rodolfi et al. (2009) 467 maintained the pH of the microalgae culture in the range of 7.5-8.1 by introducing air/CO_2 into the 468 system at a ratio of 97/3 (v/v).

469 **5.4.** Nutrients

470 Various nutrients in the surrounding media of the microalgae play a role in lipid production.
471 Altering the amount of these nutrients affects the rate of growth and the organisms ability to
472 synthesize lipids. Such nutrients include: carbon, nitrogen, and phosphorous.

473 **5.4.1.** *Carbon*

474 A carbon source is required for algal cell production (Table 10). Half of the biomass dry weight 475 is made up of carbon. Carbon can be obtained from carbon dioxide, sugars, and/or lignocellulose 476 based substances. Algae convert carbon dioxide (CO₂) into biomass. During daylight, algae require a 477 continuous carbon dioxide supply (Demirbas and Demirbas, 2011) which can naturally be obtained 478 from the atmosphere (Demirbas, 2011). Microalgae are capable of tolerating high levels of CO_2 as 479 shown in Table 11 (Demirbas, 2011). One ton of algalbiomass can fix 1 ton of CO₂byeither 480 autotrophic or heterotrophic metabolism (Chen et al. 2009). Under heterotrophic conditions, the 481 organism is grown in the dark and exposed to glucose as a form of energy whereas in 482 photoautotrophic conditions the organism is exposed to light for a certain period of time. Liu et al. 483 (2011) reported that in *Chlorella zofingiensis* 51.1 % lipid was observed under heterotrophic

Table 10.Oil content of algae species and optimal conditions (Chen et al. 2011; Lavens and
Sorgeloos, 1996; Rushing, 2009; Demirbas, 2011).

Species	Oil Content (% dry basis)	Carbon Sources	pH	Temperature (°C)
Nannochloropsis oculata	22-30	CO ₂ /NaHCO ₃ /glucose	8.4	20-30
Tetraselmis suecica	15-23	CO ₂ /NaHCO ₃ /glucose	7.6-8.4	20-30
Chaetoceros muelleri	33.6	CO ₂ /NaHCO ₃	8	20-30
Freshwater Chlorella protothecoides	15-55	CO ₂ /NaHCO ₃ /glucose	6.0-6.5	20-30
Chlorella saccharophila	36-47	CO ₂ /NaHCO ₃ /glucose	7.5-8.1	20-24
Scenedesmus obliquus	11-55	CO ₂ /NaHCO ₃ /glucose	7.5-8.1	20-24

Table 11. Consumption rate of CO₂ by microalgae.

Species	pH	Rate of CO ₂ uptake (mg/g/day)	Reference
B. braunii	7.2	160.7	Sydney et al. (2010)
S. platensis	9	146.3	Sydney et al. (2010)
D. tertiolecta	7.2	126.5	Sydney et al. (2010)
C. vulgaris	7.2	128.6	Sydney et al. (2010)
S. obliquus	6.5	156.5	Tang et al. (2011)

490 conditions and only 25.8 % lipid was observed under photoautotrophic conditions. The use of 491 heterotrophic conditions would eliminate the need for light, bringing forward the possibility of 492 increased productivity and cell density (Perez-Garcia et al. 2011). However, growing microalgae in 493 heterotrophic conditions is difficult in large scale systems because bacteria thrive on sugars (unless 494 this is overcome) and may result in biomass contaminations (Future Science, 2010).

Sugars such as glucose can provide the carbon source required by algal cells for growth (Table 12). However, they are not as productive as the lignocellulose based materials such as rice straw, corn powder and sweet sorghum in their hydrolysate forms. Table 13 compares biomass and lipid productivity using various carbon sources. Amongst these various hydrolysate materials, rice straw has been noted to result in the highest cell lipid content of 56.3 % while running at the shortest culture time of 48 hours (Li et al. 2011).

Liu et al. (2011) noted that *Chlorella zofingiensis* grown under heterotrophic conditions and fed glucose produced a higher lipid content as opposed to phototrophic conditions, which resulted in a lipid yield of 79.5 % of which 88.7 % are made up of TAGs. Qiao and Wang (2009) noted that *Chlorella sorokiniana* exhibited an increase in lipid content (from 0.053 g/L to 0.272 g/L) when glucose was used as the carbon source under heterotrophic conditions.

Liang et al. (2009) reported that *Chlorella vulgaris* produced the highest amount of lipid when grown with glucose and light, and the fastest growth rate was achieved using 1 % glucose concentration. However, the highest lipid content and lipid productivity were noted at 2 % glycerol concentrations. Johnson and Wen (2009) noted that the microalgae specie *Schizochytrium limacinum* grown in heterotrophic conditions resulted in the production of lipids suitable for biodiesel production.

Liu et al. (2011) reported that the cell biomass rate of *Chlorella zofingiensis* under photoautotrophic conditions was 1.9 g/L while that under heterotrophic was 9.7 g/L. They also noted that the cells grown under heterotrophic conditions consumed nitrate much more rapidly. In addition, the algae cells grown under heterotrophic conditions produced natural lipids, oleic acid and TAGs which are better for biodiesel production as appose to the oils produced by the cells grown under photoautotrophic conditions.

518 During phototrophic conditions, algae photosynthesize and use CO_2 as the carbon source (Mata 519 et al. 2010). During this process the CO_2 is converted into algal biomass, which releases oxygen into 520 the atmosphere (Packer, 2009). In seawater, low CO_2 concentrations is a result of: (a) different

521 **Table 12.** Consumption rate of NaHCO₃ by microalgae.

Species	pH	Rate of uptake (mg/L/day)	Reference
C. vulgaris	7	125	Blake et al. (2006)
	9	70	Blake et al. (2006)
S. obliquus	7	126	Blake et al. (2006)
	9	50	Blake et al. (2006)
N. oculata	8	45.8	Merrett et al. (1996)

522

523

Table 13. Impact of various carbon sources have on the biomass growth and lipid content of algal
 cells (Li et al. 2011).

Lipid content Hydrolysate materials Biomass productivity Maximum biomass concentration (g/L) (g/L/day) (%, w/w) Glucose 0.92 0.37 50.3 2.83 1.10 56.3 Rice straw 55.3 Corn powder 3.92 0.65 Cassava starch 7.20 0.72 28.9 4.26 0.82 50.2 Cassava Sweet sorghum 5.10 1.02 53.3

527

529 habitats, (b) seawater equilibrated air contains 180 times more inorganic carbon in the forms of 530 bicarbonate and carbonate than CO_{2} , (c) the algae are capable of changing the way in which the 531 carbon forms are utilized based on the surroundings and (d) the degree of the presence of inorganic 532 carbon (Rai and Gaur, 2001). Low levels of CO_2 results in: (a) lower levels of growth, (b) lower 533 photosynthesis and the over excitation of photosynthesis apparatus which in turn results in the 534 decrease of photosynthetic activity (Rai and Gaur, 2001) and (d) damage to the photosynthetic 535 apparatus which is irreversible (Demmig-Adams and Adams, 2000). Some photosynthetic organisms 536 have developed various pathways for controlling the amount of light allowed to be trapped in order to 537 avoid damage to the photosynthetic apparatus (Demmig-Adams and Adams 1992, 2000). These 538 stress the importance of avoiding low CO₂ concentrations (Rai and Gaur, 2001).

Huntley and Redalje (2007) reported that *Haematococcus pluvialis* capable of taking up 16-34 % carbon dioxide. De Morris and Costa (2007) noted that *Chlorella kessleri* was capable of taking up 18 % CO₂. Sobczuk et al. (2000) stated that the species *Phaeodactylum tricornutum* was 63 % efficient in the uptake of CO₂. Wahlen et al. (2011) reported that CO₂ is introduced into the culture by aeration with air at 1 % (v/v) of CO₂. Rodolfi et al. (2009) introduced CO₂ into the system by flushing it with air and CO₂ at 95/5 (v/v).

The various sources of carbon (bicarbonate, carbonate and carbon dioxide) exist at various pH levels. At acidic pHs (below 5) carbon is in the form of CO_2 , bicarbonate (HCO_3^{-1}) is the carbon form that exists in the pH range of 7-9, and at high pHs (above 9.5) carbonate (CO_3^{-2}) is the carbon form present (Round, 1973). Algae are also capable of using bicarbonate as a carbon source (Table 9).

Blake et al. (2006) reported of sodium bicarbonate uptake rates of 125 and 126 mg/L/day at a pH of 7 by*C. vulgaris* and *S. obliquus*, respectively. Huertas et al. (2000) noted that bicarbonate uptake by *Nannochloropsis gaditana*was activated by light. Merrett et al. (1996) reported a sodium bicarbonate uptake rate of 45.8 mg/L/day by *N. oculata*at a pH of 8.

553 **5.4.2.** *Nitrogen*

Nitrogen is the most critical nutrient which plays a large role in algal lipid (mainly TAGs) accumulation (Hu et al. 2008; Huesemann and Benemann, 2009). Large scale production of microalgae for oil requires 8-16 tons/ha/year of nitrogen fertilizer (Demirbas 2011). The green microalgae species*C. pyrenoidosa* showed a multiple fold increase in lipid content upon nitrogen depravation while almost no change/slight reduction in lipid content in the species *Dunaliella* and *Tetraselmis suecica* (Borowitzka, 1988). However, within the same genus *Chlorella* was found to produce starch under nitrogen stress (Hu, 2004).

561 In nitrogen deficient environments, the photosynthesis products shift from protein to carbohydrate 562 and then to lipid production (Rodolfi et al. 2009). However, the accumulation of lipid results at the 563 expense of biomass production (Rodolfi et al. 2009; Scott et al. 2010). Cell division in culture under 564 nitrogen deficiency are halted in the stationary phase, as a result of accumulation of inhibitory 565 products that are formed in nitrogen starved surroundings (Rai and Gaur, 2001). Young cells grown 566 in nitrogen deficient environments are able to grow and divide, generating a second generation of 567 cells with an alteration in their metabolic pattern. Instead, these cells favour the production of 568 carbohydrates (Fogg and Thake, 1987).

Rodolfi et al. (2009) noted that the marine species *Nannochloropsis* accumulated 60 % DCW lipid content under nitrogen starvation. Rai and Gaur (2001) noted that *Synechococcus* showed signs of protein destruction in nitrogen limited environments. Li et al. (2008) tested the effects of sodium nitrite concentrations (3 mM and 5mM) on the biomass yield and lipid productivity of *Neochloris oleoabundans* and noted biomass yield and lipid productivity of 40.91 g and 0.125 g/L/day at a sodium nitrite concentration of 3 mM and biomass yield and lipid productivity of 34.13 g and 0.133 g/L/day at a sodium nitrate concentration of 5mM, respectively.

576 The nitrogen source nitrate maybe reduced by the process illumination in green algae, through 577 which the hydrogen donors are, generated photochemically (Lewin, 1962). Round (1973) stated that 578 nitrogen source affects the pH, if ammonium salt is present and absorbed by the algal cells the pH 579 decreases whereas if the nitrate salt is present and absorbed by the algal cells the pH increases. In 580 cultures where nitrogen starvation is in effect, the algal cells assimilate ammonium much more 581 rapidly than normal cells do (Montesinos et al. 1998; Tapia et al. 1996).

Lewin (1962) stated that the amount of extracellular products does not vary with the different nitrogen sources (nitrate, nitrite and or ammonium)used. In the dark, the nitrogen starved cells continue to assimilate ammonium until they have exhausted their carbohydrate reserves. Solovchenko et al. (2008) indicated that the cells recovering from nitrogen deficiency (with addition of nitrogen) experience an increase in chlorophyll content in order to restore the original rate of photosynthesis.

Future Science (2011) noted that under nitrogen sufficient conditions, *C. vulgaris* consisted of 13.7 % dry cell weight (DCW) lipids, of which only 3 % were triacylglycerol's(TAGs) that can be used for biodiesel production. However, when nitrogen deficiency was increased, the lipid content increased to 20 % (DCW) with TAGs making up to 50 %. Under nitrogen sufficient environments, the culture reached its maximum cell density of 4.2 g/L after 9 days, with a biomass, lipid and

593 biodiesel productivities of 480, 66 and 2 mg/l/day, respectively. Under nitrogen deficient conditions, 594 it was noted that the cultures with low initial densities resulted in the highest cell TAG content. 595 However, the highest TAG content was noted in cells coming from very dense cultures. Therefore, 596 an optimal initial cell density must be established for maximum cell production of TAG, when taking 597 the initial cells from a nitrogen sufficient media into a nitrogendeprived environment. The effect of 598 various initial nitrogen concentrations were tested, and results indicated that over the nitrate 599 concentrations of 10-550 mg/L, the highest TAG content of 39-46 % was achieved at initial nitrate 600 exposure of 100-200 mg/L.

It was noted that high TAG content within the cells, as well as high productivities were achieved in environments that use up all of the nitrogen present as appose to exposing the algal cells to an environment with no nitrogen present. In this environment, as the TAG concentration was increased, a decrease in protein and chlorophyll was noted. Generally, cultures that are nitrogen deprived show a higher lipid content, but lower biomass production than those for which nitrogen was sufficient (Rodolfi et al. 2009).

607 **5.4.3**.*Phosphorous*

Phosphorous as a nutrient plays an important role in the building blocks of nucleic acids, phospholipids, complex carbohydrates (Rai and Gaur, 2001) and normal algal growth (Xin et al. 2010), it also plays a central role in catabolic and anabolic pathways and in the conversion of energy through the energy rich phosphoanhydride bonds (Rai and Gaur, 2001). It has been reported by several researchers that phosphorous limitation enhances the lipid accumulation in microalgae cells (Xin et al. 2010; Rodolfi et al. 2009; Hu et al. 2008; Khozin-Goldberg and Cohen, 2006).

Kin et al. (2010) reported on enhancing lipid accumulation to 53 % of algal biomass of *Scenedesmus sp.* by limiting phosphorous concentrations to 0.1 mg/L. Rodolfi et al. (2009) reported
that during phosphorous starvation, the lipid content of *Nannochloropsis* species increased from 13.2
% to 50.1 % (total biomass), of which 67 % consisted of TAGs. Khozin-Goldberg and Cohen (2006)
noted that *Monodus subterraneus* lipid content increased from 6.5 to 39.3 %, mainly TAG, due to
phosphorus limitation over the range of 0-175μM.

620

6. MICROALGAE PRODUCTION SYSTEMS

621 Microalgae cultivation can be done in either open or closed systems. These microorganisms can 622 grow virtually anywhere as long as the right nutrients and environmental requirements for growth are

present. However, it is much harder to control the amount of nutrients entering the open system,
while closed systems are much easier for algal cultivation but cost much more than open systems.
Sunlight energy for algal cultivation can be utilized in open systems and closed systems (Demirbas,
2011). Current microalgae production systems include: open pond (circular and raceway), enclosed
photobioreactores (tubular and plate), and hybrid systems.

628 **6.1. Open ponds**

629 Open ponds are the simplest and oldest methods known for mass cultivation of microalgae. Open 630 pond systems for algal cultivation are shallow with nutrients entering the system via runoff water. 631 Nutrients may also be obtained from sewage water (Demirbas, 2011; Demirbas, 2010). Although 632 open ponds require low operation and construction costs. However, they have many limitations 633 which include low productivity, temperature fluctuation, water loss via evaporation, high harvesting 634 costs and lower carbon dioxide transfer, and are prone to contamination by predators (Chen et al. 635 2009). Open pond productivity is assessed based on the biomass production per day per unit surface area available (Demirbas, 2010). There are various types of open pond systems which include 636 637 circular and raceway ponds.

638 6.1.1. Circular Pond

639 Agitation in circular ponds is provided by the rotation arm as shown in Figure 6 (Chen et al. 640 2009; Demirbas, 2010). The pivoted agitator arm can extend to 45 m in diameter. The average size of circular ponds is limited to 1000 m^2 with a depth of 0.3 m because agitation through rotation arm is 641 no longer possible in larger ponds (Borowitzka, 2005; Lundquist et al. 2010). The productivity of 642 microalgae grown in circular ponds can range from 1.5-16.5 g dry weigh/ m^2 /d (Chen et al. 2009). 643 644 Circular ponds are less popular then raceway ponds because of the high expenses associated with 645 construction. They are made up of concrete and consume a lot of energy for stirring. They are also 646 inefficient in land use and face high complexity when it comes to supplying CO_2 (Chen et al. 2009).

647 Henrikson (2011) reported on mass cultivation of Spirulina and Chlorella in Taiwan using circular 648 ponds producing hundreds of tons of algae per year. Borowitzka (1999) reported on a large scale 649 production facility in Taiwan achieving *Chlorella* spp. volumes of 15 000 L using circular ponds with 650 a rotating arm. Ranga Rao et al. (2012) noted a biomass productivity in *Botryococcus braunii* of only 651 1.25 g/L in a circular pond as appose to 1.75 g/L in a raceway pond. Sheehan et al. (1998) 652 cultivated Oscillatoria in an open circular pond and achieved a biomass productivity of 15 g/m²/d. 653 Kanazawa et al. (1958) achieved a biomass productivity of 2.43-13.52 $g/m^2/d$ from Scenedesmus sp. 654 grown in a circular pond.



Figure 6. Circular algae ponds in Yaeyama, Japan (Chen et al. 2009).



Figure 7. Raceway pond, for algal cultivation (Algae Energy, 2013).

663 6.1.2. Raceway Pond

664 The raceway pond (Figure 7) consists of a paddlewheel, propeller or air lift pumps which function 665 to circulate and mix the algae and nutrients around the pond. Agitation and circulation are produced 666 by the paddlewheel which operates continuously in order to bring the algae to the surface of the water 667 and to prevent sedimentation. Shallow ponds are necessary for algal exposure to sunlight due to 668 limited light penetration in the water, which is typically 15-25 cm deep (Demirbas, 2011; Chen et al. 669 2009; Demirbas, 2010). Raceway ponds can be made of concrete or more simply earth dug and lined 670 with a plastic liner. The plastic liner prevents the water from penetrating into the ground. In this 671 system the water and nutrients are fed into the pond continuously, while the water containing the 672 algae is removed from the other end (Demirbas, 2011).

673 Blanco et al. (2007) reported of lutein rich cells from *Muriellopis* sp. cultivated in a raceway pond 674 operated with a wheel peddle. Moheimani and Borowitzka (2006) achieved a 33 % lipid content and 675 a productivity of 0.19 g/L/day using*Pleurochrysis carterae* in a raceway pond. Jimenez et al. (2003) 676 cultivated Spirulina in raceway pond and obtained a productivity of 10.3 g/m² per day over the 677 duration of 9 months. Olguin et al. (2003) noted a productivity of 9-13 g/m²/d of *Spirulina platensis* 678 grown in a raceway pond. Moreno et al. (2003) achieved a productivity of 9.4-23.5 g/m²/d 679 for Anabaena sp. grown in a raceway pond. Garciaet al. (2003) achieved a biomass productivity of 680 $1.6-3.5 \text{ g/m}^2/\text{d}$ of *Dunaliella salina* in raceway pond.

681

6.2. Enclosed Photobioreacters

682 Although the cost of enclosed production systems is much higher than open ponds, they require 683 less light and land area for cultivation. Cultivation of algae in photobioreacters (PBR) does not only 684 function to grow the algae but also to remove nutrients from wastewaters as well as reducing the 685 amount of gases released into the atmosphere by power plants and transportation industry (Demirbas, 686 2011). PBR are closed bioreactors consisting of a light source. An open pond may also be 687 considered as a PBR if it is enclosed within a greenhouse, in which all the required nutrients are 688 introduced into the system for cultivation purposes (Demirbas, 2011). Enclosed PBR mediums can 689 achieve high cell densities and are easily maintained because of their enclosed structure (Chen et al. 690 2009).

Tubular and plate PBR are the major types. Their advantages over open pond systems include: their narrow light path (1.2-1.3 cm) which allows for more cell concentration, large illuminating area and less contamination. The enclosed PBR disadvantages include: wall growth, fouling, formation of dissolved oxygen and CO_2 along the tube, pH gradients, hydrodynamic stress and the high cost (Chen

et al. 2009). Singh and Gu (2010) stated that although enclosed photobioreacters produce higher fuel
per hectare as appose to open ponds, the start-up cost is much greater.

697 6.2.1. Tubular Photoreactors

698 Tubular PBR are made up of several horizontal tubes running parallel to one another (Figure 8) 699 and made of transparent glass or plastic (Demirbas, 2011; Chen et al. 2009). Due to limited sunlight 700 penetration ability into the tubes, the diameter is 0.1 m or less in order to achieve high cell 701 productivity and high biomass yield (Demirbas, 2011). The shape of tubular PBR can be horizontal, 702 vertical, conical, or inclined. Mixing of the biomass can be achieved in the system by use of an airlift 703 or pump system (Chen et al. 2009). The advantages of using a tubular photobioreactor include: its 704 large illumination surface area, biomass productivity and its suitability for outdoor operation. 705 However, scale up of this system is poor because the mass transfer problems (Ugwu et al. 2008).

Kong et al. (2009) achieved a productivity of 2.0 g/L/day of *Chlamydomonas reinhardtii* grown in a vertical coil reactor. Ugwu et al. (2008) reported on*Porphyridum cruentum* grown in an airlift tubular photobioreactor achieving a productivity of 1.50 g/L/day. Barbosa et al. (2004) achieved a biomass productivity of 0.5 g/L/d from the species *P. cruententum* grown in a vertical tubular reactor. Alias et al. (2004) achieved a productivity of 0.25 g/L/d from *S. Platensis* grown in a horizontal tubular reactor. Lee (2001) reported on *Chlorella pyrenoidosa* grown in an inclined tubular PBR that achieved a biomass density of 2.90 g/L/day.

713 6.2.2. Plate Photobioreactor

Plated PBRs (Figure 9) are made up of a transparent plastic material. The large surface area
allows for more illumination which increases photosynthetic activity (Demirbas, 2011; Demirbas,
2010). Plate PBRs also possess low concentrations of accumulated dissolved oxygen (Demirbas,
2011). The plate PBR can be horizontal, vertical or inclined (Chen et al. 2009).

Ugwu et al. (2008) reported on*Nannochloropsis sp.* achieving a productivity of 0.27 g/L/day when grown in a flat plate reactor. Lee (2001) noted that *Spirulina platensis* grown in an inclined plate achieved a productivity of 4.30 g/L/day. Zhang et al. (2001) noted a biomass productivity of 1 g/L/day using the species *Synechocystis aguatillis* in an outdoor flat plate photobioreactor. Cuaresma et al. (2009) cultivated *Chlorella sorokiniana* in a flat panel photobioreactor and achieved a productivity of 12.2 g/L/d. Meiser et al. (2004) noted a productivity of 1.37 g/L/d for *Phaeodactylum tricornutum* grown in a flat panel airlift reactor.

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- 729 Figure 8. Tubular photobioreactor (ATSE, 2013).



Figure 9. Plated microalgae photobioreactor (IGV Biotech, 2003).
733 **6.3. Hybrid Systems**

A hybrid system is a combination of both an open pond and a closed bioreactor as shown in Figure 10 (Demirbas, 2011; Chen et al. 2009). Since open ponds are very proficient but are easily contaminated, a mix of both is most likely the most cost effective algae cultivation method (Demirbas, 2011).

738 Putt et al. (2011) noted a CO₂ mass transfer efficiency of 83 % using a hybrid system for 739 microalgae growth as opposed to a 37 % conventional system. Rodolfi et al. (2009) described a two 740 stage hybrid production plant that was dedicated to producing 22 % biomass and 78 % oil production. 741 Huntley and Redalje (2007) reported on *Haematococcus pluvialis*, cultivated in a two stage system for 742 the production of oil and astaxanthin (salmon feed), achieving an oil production rate of 10 tons/ha 743 annually. Pulz (2001) noted that *Haematococcus* grown in a combination of closed and open systems 744 for use in astaxanthin production resulted in a productivity of 50 tons/acre. Kizilisoley and 745 Helvacioglu (2008) reported a productivity of 0.35 g/L using Spirulina cultivated in a closed pond 746 system.

747 7. ALGAE HARVESTING AND OIL EXTRACTION

The algal biomass must be harvested and treated to release TAGs which can then be utilized to produce biodiesel. It is important to find a method for releasing the TAGs from the cells in the most economical and energy efficient way, while utilizing minimal amounts of solvent. It is best to release the oil from the algal biomass while avoiding contamination of DNA and chlorophyll which are also present in the cells and can be extracted and used in the medical industry (Scott et al. 2010).

753 7.1 Algae Harvesting

The algal biomass must be harvested to separate the algal cells from their surrounding liquid media. Numerous techniques can be deployed including: membrane filtration, chemical flocculation, air flotation, centrifugation and ultrasound waves.

757 7.1.1. Membrane filtration

Membrane filtration is a simple method used for water removal and collection of algal cells. This method can be used with the aid of suction or a vacuum pump. The membranes used are made of modified fibers or cellulose. The problem associated with this method is membrane clogging or fouling due to the ability of algal cells to penetrate into the membrane. Drum filters and disc filters have been developed to overcome this problem. In order to avoid cell penetration into the filter, a



Figure 10. Hybrid microalgae production system (enclosed pond) (National Geographic, 2013).

reverse-flow vacuum filter method is utilized to move the liquid upward across the membrane (Chenet al. 2009).

Tsukalhara and Sawayama (2005) used membrane filtration on *B. braunii* to achieve high cell density. Sawayama et al. (1992) filtered *Botryococcus braunii* through filter paper of 0.2 μ m pore size to recover the algal cells grown in treated sewage from domestic wastewaters. Grima et al. (2003) noted that microfiltration is cost effective for small volumes of algae (less than 2 m³/d), than centrifugation.

773 7.1.2. Chemical Flocculation

Algal cells possess a negatively charged surface which allows the separation from one another upon suspension. The surface charge of the cells may be disrupted by the addition of iron, alum, lime, cellulose, salts, polyacrylamide polymers, surfactants and chitosan. These chemicals result in cell flocculation and settling. Filtration through this method has been reported to achieve 95 % recovery of the algal cells from the culture media (Chen et al. 2009).

Bilanovic and Shelef (1988) noted that microalgae flocculation was effective at salinity levels less than 5 g/L. Sukenik et al. (1988) reported on the marine microalgae *Isochrysis galbana* and *Chlorella stigmatophora* requiring 5-10 times more flocculent dosages than those required by freshwater microalgae. Morales et al. (1985) noted a 100 % flocculation efficiency using chitosan concentration of 40 mg/L for 20 L batch cultures of *Chlorella* sp. and *Thalassiosira nordenskoldii*.

784 **7.1.3.** *Air Flotation*

785 Air flotation method for filtration of algal cells is performed by the generation of fine air 786 bubbles. Air is then adhered to the cells, causing them to float to the surface of the column in a form 787 of foam. The foam of cells formed on the surface may be removed or the water may be drained from 788 below the cells. Different types of flotation methods may be performed including air floatation, 789 dissolved air flotationand suspended air flotation. These methods work by generating small air 790 bubbles that adhere to the algal cells and float to the surface (Chen et al. 2009; Wiley et al. 2009). 791 Suspended air flotation differs from dissolved air flotation in that the bubbles generated are coated 792 with a surfactant (Wiley et al. 2009). This method is expensive and impractical because air 793 compression requires a tremendous amount of energy (Chen et al. 2009).

McMahon (2013) achieved a microalgae harvesting efficiency of 95 % using dissolved air floatation method. Elder (2011) optimized dissolved air floatation method for algae harvest and noted a capture efficiency of 68-70 %. Wiley et al. (2009) reported suspended air floatation and dissolved air

flotation capture efficiencies of microalgae of 76.6 % and 84.9 %, respectively. Boussiba et al. (1988) reported of a 100 % biomass removal efficiency by means of flocculation with 180 mg/L of FeCl₃ and dissolved air flotation using *Isochrysis galbana*. Chen et al. (1998) noted that removal of microalgae is more efficient by means of flotation as appose to sedimentation.

801 7.1.4. Centrifugation

This method is more commonly used either on its own or as a second step for further water removal. Cream separator centrifuges are used to separate large volumes of algal cultures. In this method, the algal cells form a paste on the walls of the centrifuge tubes (Chen et al. 2009).

805 Heasman et al. (2000) reported a harvesting efficiency of 95-100 % and a cell viability of 88-100 806 % using centrifugation at 13000xg. Chen et al. (2011) reported a 80-90 % microalgae recovery 807 efficiency from the liquid media using centrifugation at 500-1000x g. Grima et al. (2003) noted that 808 the preferred method for microalgae biomass recovery is centrifugation, especially producing 809 extended concentrates for shelf-life. Sim et al. (1988) compared air flotation, drum filtration, and 810 centrifugation and noted that the most efficient method for biomass recovery was by means of 811 centrifugation. Golueke and Oswald (1965) compared flotation, filtration and centrifugation for algal 812 removal efficiencies and concluded that centrifugation is the only one of the three that is 813 economically feasible.

814 7.1.5. Ultrasound Wave

In this method, the algal cells agglomerate to the low pressure nodes of ultrasound waves generated by low energy ultrasound waves. The particle-particle interaction and acoustic interaction forces aid the mass collection of the cells. When the ultrasonic field is turned off the cells settle due to gravity. This technique for dewatering of algal biomass is non-fouling, free of mechanical failures (no movement of parts involved) and offers continuous operation. However, this method requires high consumption of power and is only capable of being used on low concentrations of biomass (Chen et al. 2009).

Joen et al. (2013) noted that increasing sonication time (10- 60 min) of algal biomass decreased the algal surface area from 75 to 28 %. Bosma et al. (2003) reported efficiencies higher than 90 % using ultrasound separation of *Mondus subterraneus*. Zhang et al. (2006) noted that ultrasonic irradiation improved algae settleability, but also change the structure of the algal cell. Yin et al. (2004) reported that ultrasonication significantly hydrophilizes algal cells.

828 **7.2. Oil Extraction**

There are five common methods for oil extraction: oil press, solvent extraction, supercritical fluids, ultrasound and liquefaction (Demirbas and Demirbas, 2011). Table 14 summarizes some of the advantages and limitations of the various methods for oil extraction.

832 7.2.1. Oil Press

833 The oil press (or expeller) method is one of the simplest ways known for oil extractions that is 834 capable of extracting 70-75 % of the algal oil (Demirbas and Demirbas, 2011; Singh and Gu, 2010). 835 The expeller press is a mechanical process that squeezes the oil out of the raw materials under high 836 pressure. The raw materials are supplied to the press in a continuous feed and pressure is applied to 837 break the cells in order to compress the oil out of the cells. (Singh and Bargale, 2000). For maximum 838 efficiency when utilizing this method, the algae should first be dried (Singh and Gu, 2010). Despite 839 itshigh extraction efficiency and simplicity, this method has been noted to be less desirable than other 840 methods because of the long extraction time required (Popoola and Yangomodou, 2006).

Shah et al. (2012) achieved an oil extraction of 115 ml from 500 g of *Scenedesmes dimorphus* using the expeller method. Topare et al. (2011) experimented with filamentous algae obtained from an open pond and obtained an oil extraction efficacy of 75 % using the expeller method. Demirbas (2009) achieved a microalgae oil extraction efficiency of 70-75% using the oil press method. Popoola and Yangomodou (2006) reported an oil extraction efficiency of 75% using the oil press method. Govindarajan et al. (2009) achieved a 95 % oil extraction using the combined methods of expeller and solvent.

848 7.2.2. Solvent Extraction

Oil extraction from microalgae can also be performed by the solvent extraction method. In this method, the oil in the wet algae paste is extracted utilizing organic solvents (benzene, cyclo-hexane, hexane, acetone, or chloroform) that break down the algal cell walls and extract the oil from the aqueous medium, due to its solubility in the organic solvents as oppose to water. The oil can then be separated via distillation from the solvent extract (Singh and Gu, 2010).

For maximum lipid extraction efficiency, the solvent used should possess several features: (a) has a lipid polarity that matches those of the cells, (b) economical, (c) easy to recover, (d) non-toxic, (e) water insoluble and (f) recyclable (Chen et al. 2009). Despite the simplicity of this method, it is

Extraction Method	Advantages	Limitations	Reference
Oil Press	No solvent required Easy to use	Time consuming Large amount of sample required	Mata et al. (2010)
Solvent Extraction	Inexpensive solvents Reproducible	Organic solvents are highly flammable or toxic Energy intensive solvent recovery Large volume of solvent required	Herrero et al. (2004) Galloway et al. (2004)
Supercritical fluid extraction	Non-toxic Non Flammable Simple operation	Often fails in large extractions of polar analyte Insufficient interaction between supercritical CO2 and the sample	Macias-Sanchez e al. (2005) Pawliszyn, (1993)
Ultrasound	Reduced extraction time Reduced solvent use Higher solvent penetration Improves release of cell content into the medium	High power consumption Scale up difficulty	Luque-Garcia and Luque De Castro, (2003) Martin, (1993)

Table 14. Advantages and limitations associated with various microalgae oil extraction methods.

861 impractical for use on a large commercial scale because these solvents are environmentally862 destructive and costly (Singh and Gu, 2010; Demirbas, 2011).

Demirbas (2009) and Serrato (1981) stated that hexane is the most efficient solvent for algal oil extraction because it is cost effective and has the highest extraction capability. Demirbas and Demirbas (2011) stated that hexane is the most inexpensive chemical known for algal oil extraction. Xiou and Xu (2005) reported that butanol is effective in extracting lysophospholipids but high boiling point of this solvent makes it difficult to evaporate and its high polarity tends to extract more impurities.

Fajardo et al. (2007) reported an 80 % lipid recovery via two stage extraction, the first stage by ethanol extraction and the second stage by hexane in order to purify the lipids. Long and Abdelkader (2011) reported that the mixture of chloroform-methanol provided the highest extraction efficiency of microalgal lipids (25-27 %) from *Nannochloropsis*.

873 Li et al. (2011) noted that methanol is a poor solvent for oil extraction and since the solvent plays 874 a major role in transesterification for biodiesel conversion, n-hexane or chloroform should be used. 875 The authors tested solvent volumes in the range of 2-8 mL of hexane and chloroformand reporteda 10 876 % higher biodiesel yield with hexane as appose to chloroform. Although a higher biodiesel yield was 877 achieved with the chloroform solvent, it contained solid residues whereas the hexane was found to be 878 light vellow with no residues. The solid residues found using the chloroform may be a result of its 879 high polarity which resulted in a better solubility of proteins. Therefore, for the production of 880 biodiesel one must consider using nonpolar solvents in order to avoid the solid residues that result in 881 polar solvents.

882 7.2.3. Supercritical Fluid

883 Fluids above their critical point are known as supercritical fluids. Their diffusivity is enhanced 884 and the viscosity of this fluid is decreased upon its critical point. Such properties allow fluids to 885 diffuse easily through solid materials (Chen et al. 2009). The supercritical fluid extraction (SFE) 886 method for oil extraction is the most efficient of all extraction methods because of its high selectivity, 887 time efficiency and non-toxicity (Demirbas and Demirbas, 2011; Chen et al. 2009; Singh and Gu, 888 2010). This, results in a product of high purity (Demirbas and Demirbas, 2011; Chen et al. 2009). In 889 this method, if carbon dioxide is the chemical used for extraction, it would have to be liquefied under 890 heat and pressure to a point where its properties consist of both liquid and gas, acting as the oil 891 extracting solvent. This method utilizes high temperatures and pressures in order to rupture the algal 892 cells (Demirbas and Demirbas, 2011; Singh and Gu, 2010).

Canela et al. (2002) reported that the pressure and temperature of supercritical fluid extraction (SFE) does not influence the yield of the extracted compounds, but instead it influences the extraction rate. Andrich et al. (2005) reported that over the temperature range of 45-55°C and the pressure range of 40 000-70 000 kPa there was no impact on the extraction of bioactive lipids (polyunsaturated fatty acids) from the species *Nanochloropsis*. However, they also reported that SFE system resulted in a similar yield when utilizing hexane as the solvent.

Demirbas (2009) and Demirbas and Demirbas (2011) noted that the supercritical fluid is capable of extracting 100% of oils. Andrich et al. (2005) reported a higher polyunsaturated fatty acid (PUFA) extraction yield from the species *Spirulina platensis* using supercritical fluid extraction system as oppose to solvent extraction method. Couto et al. (2010) extracted 50 % of the total oil from *Crypthecodinium cohnii* using supercritical carbon dioxide at 30 MPa and 323 K. Halim et al. (2011) extracted 7.1 % of the lipids present in *Chlorococcum* using supercritical carbon dioxide.

905 **7.2.4.** *Ultrasound*

906 Ultrasound is another method that can be used for oil extraction. In this method, algae cells are 907 exposed to high intensity ultrasonic waves, creating tiny cavitation bubbles around the cells. The 908 desired compounds are released into the solution when the bubbles collapse and emit shockwaves that 909 shatter the cell walls (Singh and Gu, 2010).

Wiltshire et al. (2000) reported a 90 % extraction efficiency of fatty acids and pigments from the species *Scenedesmus obliquus* using ultrasound extraction. Pernet and Tremblay (2003) concluded that the ultrasonic method for oil extraction from *Chaetoceros gracilis* increased the extraction rate which intern affected the recovery of lipid extracts.

Hu et al. (2008) reported an oil extraction yield of 93 % in adlay seeds using ultrasound assisted supercritical fluid extraction. Araujo et al. (2013) achieved a 52.5 % oil extraction from C. vulgaris using ultrasound method. Latheef (2012) reported an oil lipid extraction efficiency of 69.53 % from *Nannochloropsis oculata* using ultrasound-assisted solvent extraction.

918 **7.2.5.** *Liquefaction*

A more practical and effective method for algal oil separation, is liquefaction of the algal cells with high moisture content. Hydrothermal liquefaction is done in an aqueous solution consisting of alkali or alkaline earth salts at a temperature of 302°C and a pressure of 10 MPa (Demirbas, 2011). These conditions result in the formation of supercritical waterwhich enhances the reaction rate. In this method, the algal cells are liquefied and the product is then extracted with dichloromethane

924 (CH₂Cl₂) to separate the oil fraction. This method is performed utilizing a stainless steel autoclave
925 with mechanical mixing (Demirbas, 2010; Chen et al. 2009; Demirbas, 2011). Liquefaction can be
926 done using two methods, direct liquefaction and indirect liquefaction (Demirbas, 2010).

927 7.2.5.1. Direct Liquefaction Method: In this method, rapid pyrolysis takes place resulting in liquid 928 tars and oils (Demirbas, 2010). One of the major advantages of algae cell liquefaction is that it does 929 not require the drying of water (Chen et al. 2009). Liquefaction is done using hexane in order to 930 obtain the primary oil (Demirbas, 2011). This process for conversion of wet algal biomass into liquid 931 fuel is convenient because it would eliminate the heating costs associated with drying the wet 932 biomass. The liquefaction of these cells results in an oil-like product by the reaction of carbon 933 monoxide/hydrogen in the presence of sodium carbonate. In this process, the algal biomass is 934 changed into the liquefied products by alteration in the physical structure and undergoing chemical 935 change. The biomass is broken down into smaller unstable and reactive molecules that repolymerize 936 into oily compounds (Demirbas, 2000).

937 Jena et al. (2011) achieved an oil yield of 39.9 % using liquefaction of Spirulina plantensis at 938 350°C in 60 min. Minowa et al. (1999) reported a 37 % oil yield from Dunaliella tertiolecta using 939 direct liquefaction which consists of a moisture content of 78.4 % at 302°C and 10 MPa. Jazrawi et 940 al. (2013) used hydrothermal liquefaction on *Chlorella* and achieved a bio crude yield of 41.7% (wt) 941 at 350°C in 3 min. Yu et al. (2011) obtained an bio-crude oil yield of 39.4 % at 200°C in 120 min 942 using hydrothermal liquefaction of C. pyrenoidosa. Biller and Ross (2011) achieved a bio-crude 943 yield of 5-25 % (wt) which is higher than the lipid content of the microalgae species Chlorella 944 vulgaris and Nannochloropsis occulate.

945 7.2.5.2. Indirect Liquefaction Method: This method utilizes catalysts for the conversion of non-946 condensable, gaseous products of pyrolysis or gasification into liquid products (Demirbas, 2010). 947 Chen et al. (2009) obtained 57 % petroleum like product from Botvyococcus braunii using 948 liquefaction with sodium carbonate as the reaction catalyst at a temperature of 300°C. Sawayama et 949 al. (1995) reported a maximum oil yield of 64 % by liquefaction of *Botryoccus brunii* at 301.9°C with 950 sodium carbonate as the reaction catalyst. Shuping et al. (2010) used sodium bicarbonate as the 951 reaction catalyst for hydrothermal liquefaction of Dunaliela tertiolecta and achieved a bio-oil 952 extraction of 25.8 % at 360°C and 50 min. Yang et al. (2004) obtained a 33 % oil yield from 953 Microcystis virdis using liquefaction with sodium bicarbonate catalyst. Aresta et al. (2005) reported 954 that biodiesel production from microalgae is more effective using the hydrothermal liquefaction 955 method for the extraction as compared to the supercritical carbon dioxide method.

956

8. TRANSESTERIFICATION

957 Unlike microemulsion and thermal cracking which are problematic, transesterification has 958 become the preferable method for the production of biodiesel (Ma and Hanna, 1999; Akoh et al., 959 2007; Robles-Medinaet al., 2009; Ranganathan et al., 2008). The transesterification reaction occurs 960 when alcohol reacts with triglycerides to give esters and glycerol as a by-product (Figure 11). The 961 stepwise transesterification reaction is shown in Figure 12. Short chain alcohol like methanol, 962 ethanol, octanol and other branched alcohols are widely used in the transesterification process 963 (Fukuda et al., 2001). Alcohols and esters are likely to produce fatty acid methyl esters (FAME's) 964 (Robles-Medina et al., 2009). The alcohol plays the role of both the solvent (it extracts the lipids from 965 the biomass) and the reactant (converts the lipids into FAME) (Wahlen et al. 2011; Demirbas, 2010). 966 The alcohol in this case is methanol and a base or acid is used as the catalyst to speed up the reaction 967 (Demirbas and Demirbas, 2011; Wahlen et al. 2011). The transesterification of algae oil is depicted 968 in the following equation (Johnson and Wen, 2009; Demirbas, 2010).

969 Triglycrides + 3methanol
$$\stackrel{Catalyst}{\leftarrow}$$
 Gbycerince + 3methylesters (Biodiesel) (1)

970 Figure 12 shows the transesterification process which consists of three continuous steps: (a) the 971 conversion of triglycerides to diglycerides, (b) the conversion of diglycerides to monoglycerides and 972 (c) the conversion of monoglycerides to methyl esters and glycerin (Freedman et al., 973 1984; Noureddini and Zhu, 1997; Marchetti et al., 2008). One fatty acid alkyl ester (FAAE) molecule 974 is produced from each conversion of fats/oils by alcohol (Leung et al. 2010). Several catalysts (acids, 975 alkali and enzymes) were used to increase the rate of transesterification reaction for the production of 976 biodiesel (Bacovsky et al., 2007; Murugesan et al., 2009; Leung et al., 2010). McNeff et al. (2008) 977 suggested that using the catalyst may affect the rate of reaction, purity of the feedstock, and the 978 purification process of the product. Factors such as mixing intensity, alcohol to oil ratio, 979 concentration of catalyst and temperature can also affect the reaction rate considerably (Marchetti et 980 al., 2007).

Direct transesterification is a one-step method whereby the oil does not need to be extracted before conversion into biodiesel. However, it has been noted that the addition of a good solvent to extract the oil, dramatically increases the biodiesel yield. Johnson and Wen (2009) noted that direct transesterification produced a biodiesel yield of 12.7 % and emphasised the importance of the presence of a solvent for more efficient biodiesel yield. Although a solvent is required to increase the biodiesel yield, it can still be done in one step (direct transesterification), which decreases the overall



988	Figure	11.	Overall	reaction	of	the	transesterification	process	(Leung	et	al.,	2010)).
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992 reaction time. Patil et al. (2011) reported 84 % fatty acid methyl ester content by means of direct 993 transesterification of *Nannochloropsis sp.* Umdu et al. (2009) achieved a biodiesel yield of 97 % in direct 994 transesterification of *Nannochloropsis oculata* with the aid of CaO/Al₂O₃ catalyst. Johnson and Wen 995 (2009) reported of a biodiesel yield of 67 % (dry biomass) in *Schizochytrium limacinum*.

996 Extraction-transesterification requires the extraction of algal lipids from the cells first before 997 transesterification. If solvents are used to extract the lipids, the solvent must then be evaporated by 998 distillation before the transesterification step. The draw back with extraction followed by 999 transesterification is the long time required for the reaction (Chen et al. 2009). Johnson and Wen (2009) 1000 reported on an extraction-transesterification process which resulted in 59.7 % biodiesel yield from the 1001 microalgae Schizochytrium limacinum using solvent chloroform. Nagle and Lemke (1990) used an 1002 extraction-transesterification process and achieved 81 % oil yield from M. minutum using 1-butenol as the 1003 solvent. Wahlen et al. (2011) extracted 27.3 mg of TAG from *Chaetoceros graciis* using solvent system 1004 chloroform:methanol (2:1) of which 82 % were transesterified. Krohn et al. (2011) reported a total lipid 1005 extraction of 19 % (total biomass) using hexane solvent and a 1.2 % FAME yield (total biomass).

1006 **8.1. Chemical Transesterification**

Both acids and alkalis are used as catalysts in chemical transesterification. Alkali catalysts are commercially used because of their cost effectiveness, minimum reaction time and low temperature and pressure environment (Bacovsky et al., 2007; Leung et al., 2010). Acid catalysts are not widely used as alkali catalysts.

1011 The major acids used in the transesterification process are sulfuric acid, hydrochloric acid and 1012 sulfonic acid. Acid catalysts can achieve a high yield without the formation of soap. The disadvantages of 1013 using acids as catalysts are that corrosion can occur in the reaction and the rate of reaction is slow 1014 compared to alkali catalysts (Freedman et al., 1984; Bacovsky et al., 2007).

1015 Alkali catalysts have higher conversion yields but the major disadvantage of these catalysts is their 1016 effect on the purification process of biodiesel as saponified products are produced. Figure 13 shows the alkali process of transesterification for biodiesel production (Ranganathan et al., 2008). Many free fatty 1017 1018 acids and water in the reaction mixture reduce the efficiency of the transesterification process (Leung and 1019 Guo, 2006; Marchetti et al., 2008). The purification step removes water from the transesterification 1020 process (0.2 ton of waste water per ton of biodiesel is produced) which makes the process expensive and 1021 not environmentally friendly (Fjerbaek et al., 2009). The major alkali catalysts used commercially are 1022 sodium hydroxide (NaOH) and potassium hydroxide (KOH) (Schuchardt et al., 1998; Marchetti et al., 1023 2008; Robles-Medina et al., 2009).

Both acid and alkali catalysts consume energy due to the complexity of the purification process (Xu and Wu, 2003). A separation process is required after completion of the transesterification process to separate biodiesel from impurities, monoglycerides, diglycerides, triglycerides, catalyst, glycerol, monoacylglycerols and diacylglycerols. The separation process involves few steps including gravitational settling or centrifugation (to separate glycerol from the end product), deodorization and pigment removal (Antczak et al., 2009; Banerjee and Chakraborty, 2009).

1030 8.2. Enzymatic Transesterification

1031 The use of enzyme catalysts eliminates the requirement of excess energy by reducing the downstream 1032 processing and the problems associated with both alkali and acid catalyst (Roberts, 1989; Arnold, 1033 1998). There are other advantages of using lipases as biocatalysts (compared to acid or alkali) 1034 including:(a) separation of high quality glycerol as by-product, (b) no washing step is required toesterify 1035 both free fatty acids and triglycerides, (c) no limitation in raw material, (d) less energy for conversion of 1036 free fatty acids to FAAE's is required and (e) lower molar ratios are required than chemical 1037 transesterifcation (Narasimharao et al., 2007; Tamalampudi et al., 2008; Fjerbaek et al., 2009). The 1038 disadvantages of enzymatic transesterification process are: (a) slower rate of reaction, (b) lengthy reaction 1039 time, (c) high dosage of catalyst is required and (e) high production cost (Bacovsky et al., 2007; Jeong 1040 and Park., 2008; Fjerbaek et al., 2009).

1041 Jegannathan et al. (2008) stated that enzyme transesterification has proved to be more effective in 1042 reducing feedstock limitations and the separation of glycerol from biodiesel. Harding et al. (2007) and 1043 Fjerbaek et al. (2009) indicated that contrary to alkali catalysts, enzyme catalysts do not allow formation 1044 of soap in the reaction and hence the presence of free fatty acids in the reaction is not a problem. Dizge 1045 and Keskinler(2008) reported that the waste water produced with enzyme catalysts are lower in volume 1046 and strength than that produced with acid catalysts. Fukuda et al. (2001) stated that unlike chemical 1047 catalysts (which do not convert insoluble feedstock in the reaction), enzyme catalysts converts the entire 1048 free fatty acids in the reaction to product allowing waste oil and fats from all sources to be used as the 1049 feedstock.

Enzymes can be used in immobilized forms so that the separation process of enzyme catalysts from the FAAE's is simplified and the enzyme can be reused (Akoh et al., 2007; Robles-Medina et al., 2009). Table 15 illustrates the differences between the alkali and enzyme catalysts. China is the first and major producer of biodiesel using lipase as the catalyst, producing 20,000 tons of biodiesel per annum (Du et al., 2008). The schematic diagram of producing biodiesel using enzymes is shown in Figure 14.



1057 Figure 13. Alkali process of transesterification of biodiesel production (Ranganathan et al., 2008).1058

Table 15. Comparison of alkali catalyst and biocatalyst transesterification (Shah et al., 2003, Fukuda et al., 2001). 1059 1060 1061

Major factors	Alkali catalyst transesterification	Biocatalyst transesterification				
Temperature	60-80°C	20-60°C				
Presence of FFA's in feed stock	Soap formation	Complete conversion into the methyl ester				
Presence of water	Soap formation is more likely as hydrolysis of the oil may take place	No effect on final product				
Yield of biodiesel production	High, nearly 99%	Comparatively lower than alkali catalyst, around 90%				
Downstream processing	Multi-step purification of end products	None				
Biodiesel production cost	Cheap as catalysts comparatively cost less	Very expensive as biocatalyst are expensive				
Commerialization	100% commercialized	China and Brazil				
Waste water generation	Saline and alkaline effluent needs treatment before discharge	No waste water generation				

1063 9.FACTORS AFFECTING ENZYMATIC TRANSESTERIFICATION

1064 In the enzymatic transesterification process, the factors affecting the rate of conversion of biodiesel 1065 include the selection of alcohol, use of solvents, alcohol to oil molar ratio, water activity and reaction 1066 temperature.

1067 **9.1. Selection of Alcohol**

1068 Alcohols can be divided in two types namely long chain alcohols and short chain alcohols. Long 1069 chain alcohols can be used in the transesterification reaction but the conversion yield is lower than that 1070 obtained with the short chain alcohols because they inhibit the lipase activity (Coggon et al., 2007). Short 1071 chain alcohols like methanol and ethanol are widely used in the transesterification process for the 1072 enzymatic production of biodiesel. Other short chain alcohols can be used in the process including 1073 propanol, iso-propanol, 2-propanol, n-butanol and iso-butanol (Iso et al., 2001; Antczak et al., 2009; 1074 Varma and Madras, 2010). Salis et al. (2005) used different types of short chain alcohols with 1075 Pseudomonas cepacia without a solvent system and obtained a conversion yield of 40% with methanol, 93% with ethanol, 99% with propanol, 99% with 1-butanol, 83% with 2-butanol, 99% with 2-methyl-1-1076 1077 propanol and 99% with pentanol.

1078 Short chain alcohols like methanol and ethanol are cost effective but are responsible for deactivation and 1079 inhibition of immobilized lipase (Chen and Wu, 2003; Samukawa et al., 2000). The deactivation of 1080 enzyme was reported by insoluble methanol present in the oil or fats (Salis et al., 2005; Al-zuhair et 1081 al.,2007). Glycerol also inhibits the immobilized lipase. Kumari et al.(2009) reported that glycerol 1082 deactivates and destabilizes the lipase because it has the tendency to get absorbed by the surface support 1083 matrix. Deactivation of the enzyme is determined by the decrease in carbon atoms in the alcohol (Chen 1084 and Wu, 2003; Ranganathan et al., 2008). Antczak et al. (2009) states that the rate of transesterification 1085 process is directly proportional to the length of alcohol carbon chain and indicated that ethanol is more 1086 favorable than methanol in some reactions.

Some researchers have suggested ways to avoid the inhibition of the enzyme by short chain alcohols including stepwise addition of alcohol or adding it in sequence (Shimada et al., 1997; Watanabe et al., 2002; Soumanou and Bornscheuer, 2003; Matassoli et al., 2009) and using a solvent system (Nelson et al., 1996; Mittelbach, 1990; Modi et al., 2007). Stepwise addition of short chain alcohol is applicable only for methanol because ethanol has less of an inhibition effect towards immobilized lipase. To prevent the methanol inhibition effect, the ratios of oil: fat should be maintained below 3 and for ethanol it should



Figure 14. Enzymatic production of biodiesel (Ranganathan et al., 2008).

maintain below 11 (Robles-Medinaet al., 2009). Lee et al. (2008) obtained a 98.92% conversion yield using stepwise addition of methanol and 65% conversion yield when methanol was added in a batch process. Every lipase has different inhibition level and lipases that are extracted from *Pseudomonas* are more resistant towards alcohol inhibition than lipases extracted from *Thermomyces lanuginosa* and *Rhizomucor miehei* (Fjerbaek et al., 2009).

1103 9.2.Use of Solvents

1104 Solvents are used to lower the inhibition effect of alcohol by increasing its solubility (Kumari et al., 1105 2009). Solvents can also solubilize the by-product glycerol which can prevent the surface coating of the 1106 immobilized enzyme and the inhibition effect (Royon et al., 2007). Solvent systems provide a 1107 homogenous mixture between reactants and products which reduces the inhibition of enzymes and 1108 stabilizes the immobilized lipase in the reaction (Ranganathan et al., 2008; Fjerbaek et al., 2009). The 1109 homogenous mixture readily reduces the problems associated with multiple phase reactions and mass 1110 transfer reduction due to the high viscosity of the oil/fat substance (Fjerbaek et al., 2009). Vasudevan and 1111 Briggs (2008) stated that the rate of the transesterification reaction increases in the solvent system when 1112 compared to a solvent free system.

1113 The solvents commonly used in the transesterification process are hydrophobic in nature and include 1114 hexane, n-heptane, petroleum ether and cyclohexane (Holmberg and Hult, 1990; Nelson et al., 1996; 1115 Soumanou and Bornscheuer, 2003; Ghamgui et al., 2004; Lara and park, 2004; Coggon et al., 2007). The 1116 most stable solvent commonly used is hexane which has a moderate polarity towards enzymes (Li et al. 1117 2006; Fjerbaek et al., 2009). Tert-butanol and 2-butanol are alcohols which can also be used as solvent for 1118 regeneration of lipase (Robles-Medinaet al., 2009). Royon et al. (2007) showed that the Candida 1119 antarctica (Novozyme 435) conversion yield was higher when tert-butanol was introduced to the solvent 1120 system. In a methanolysis reaction, the enzyme catalyst *Thermomyces lanuginosa* showed a conversion of 1121 10% in solvent free system but when *tert*-butanol was added, a conversion yield of about 75% was 1122 obtained (Li et al., 2006). Qin et al. (2008) investigated the methanolysis of soybean oil using an enzyme 1123 from *Rhizopus chinensis* as a catalyst with different solvents and found n-heptane to be the best solvent 1124 with respect to efficiency. The conversion yields were 84.2, 73.5, 73.4, 71.1 and 65.8% for the solvents n-1125 octane, iso-octane, petroleum ether, acetone and cyclohexane when used with tert-butanol as alcohol in 1126 the reaction, respectively.

1127 The solvents are used in the reaction to reduce the inhibitory effect of short chain alcohols but there 1128 are some disadvantages of using solvents in the reaction mixture including: (a) additional processing is

1129 required to separate the biodiesel product from the solvents, (b) organic solvents are unstable and

1130 hazardous, (c) the volume of reactors must be increased and (d) using solvents increases the overall cost

1131 for the producing the biodiesel (Ranganathan et al., 2008; Fjerbaek et al., 2009).

1132 9.3.Alcohol: Substrate Molar Ratio

In the transesterification process, the alcohol: oilmolar ratio is a vital part of the reaction. The rate of the reaction is directly proportional to the alcohol: oil ratio and the alcohol: oil molar ratio should be more than one to enable the process to proceed at specific rate (Antczak et al., 2009). Deactivation of the enzyme occurs when alcohol is insoluble in the reaction. Alcohol must be dissolved completely in the reaction mixture to prevent the deactivation of lipase and to increase the reaction rate (Jeong and Park, 2008). In solvent free methanolysis, the concentration of methanol is inversely proportional to the activity of lipase in the reaction (Iso et al., 2001; Kose et al., 2002; Chen et al., 2006).

The selected alcohol must have more than three carbons. If the carbons are less than three, the alcohol has a tendency to inhibit lipase in the reaction. The stoichiometric ratio of both methanol and ethanol are 1:3 and 2:3, respectively. The inhibition of lipase can be restricted by dissolving the alcohol completely in the reaction mixture within their stoichiometric ratios (Shimada et al., 2002; Robles-Medina et al., 2009). Matassoli et al. (2009) suggests that the ratios of methanol and ethanol to oil in solvent system should be 1:3 and 1:6, respectively.

In a solvent-free reaction, the inhibitory effect of lipase can be lowered when the addition of alcohol occurs in a stepwise manner (Selmi and Thomas, 1998; Kose et al., 2002; Vasudevan and Briggs, 2008). The molar ratios of short chain alcohols like methanol to oil must be around 3:1 (Antczak et al., 2009). In ethanol, the molar ratio of ethanol: oil can reach 11:1 (Robles-Medinaet al., 2009; Munio et al., 2008).

1150 Salis et al. (2005) reported that in the butanolysis of triolein with the enzyme catalyst *Pseudomonas* 1151 *cepacia*, the molar ratios 3:1, 6:1, 9:1, and 12:1 were used and the optimum ratio was found to be in the 1152 range of 3:1 - 6:1. The conversion yield in that range was 100% after 4 hours of reaction but the ratios 9:1 1153 and 12:1 showed 100% conversion yield after 5 and 6 hours, respectively. Jeong and Park (2008) reported 1154 that in the methanolysis of rapeseed oil using *Candida antartctica*, the optimum ratio was between 2:1 1155 and 5:1, which gave a high conversion yield. The 6:1 ratio gave low yield due to inhibition effect of lipase 1156 in the reaction (). However, the optimum level of molar ratio depends on the alcohol, lipase and feedstock 1157 used (Shimada et al., 2002; Robles-Medina et al., 2009; Matassoli et al., 2009).

1159 9.4. Water Activity

1160 Water activity is one of the vital factors in enzymatic transesterification which sustains the three 1161 dimensional structure of the enzyme and determines the FAME yield and rate of reaction (Jegannathan et 1162 al., 2008; Lu et al., 2009). It can be expressed as water activity or percentage concentration (Antczak et 1163 al., 2009). The optimum water activity increases the activity of lipase and reduces the hydrolysis in the 1164 enzymatic transesterification process even with short chain alcohols (Noureddini et al., 2005; Akoh et al., 1165 2007; Jegannathan et al., 2008). Optimization of water activity depends on factors such as the reaction 1166 system, alcohol type, lipase source, immobilization technique and stability of enzyme (Jegannathan et al., 1167 2008; Antczak et al., 2009). Few lipases such as those from *Candida rugosa*, *Pseudomonas cepacia*, and 1168 Pseudomonas fluorescens do not react with alcohols if there is no water activity but they show high 1169 conversion yield with water activity between 1% and 20% (Akoh et al., 2007; Fjerbaek et al., 2009). The 1170 conversion yield of *Rhizopus oryzae* was high with water activity between 4% and 30%. The water 1171 activity for some lipase can lead to no reaction. For example, the lipase from *Candida antarctica* does not 1172 like water in the transesterification process (Deng et al., 2005; Fjerbaek et al., 2009). Robles-Medinaet al. 1173 (2009) suggests that the water activity leads to flooding the pores which tends to lower the reaction rate. Li 1174 et al. (2006) stated that the optimum water activity must be 2% or less for transesterification process to 1175 give high conversion yield. He found that when Thermomyces lanuginosa and Candida antarctica were 1176 used in combination with tert-butanol as solvent, the water activity was maintained above 2% which gave 1177 low methyl ester yield.

1178 9.5. Reaction Temperature

According to Marchetti et al. (2008), lipases are thermally stable within the temperature range of 20°C - 70°C. However, the rate of conversion is highly dependent on temperatures outside this range. Antczak et al. (2009) states that the optimum temperature of immobilized lipase depends upon stability of lipase, type of solvent and type of alcohol. Jeong and Park (2008) performed a transesterification process with reaction temperature between 25°C - 55°C and found the optimum reaction temperature to be 40°C. Lee et al. (2008) showed an optimum reaction temperature of 45°C using combination of *Rhizopus oryzae* and *Candida rugosa* with methanol as the alcohol.

1186

10. GLYCEROL USES

1187 Glycerol is known as glycerin or glycerine. It is a simple alcohol with many applications in various 1188 industries such as cosmetics, paint, automotive, food, tobacco, pharmaceuticals, pulp and paper, leather

1189 and textile industries (Biebl et al. 1998; Wang et al., 2001). Various chemicals can be obtained from 1190 glycerol as feedstock. Biebl et al. (1998) reported that glycerol can be used as a feedstock in the chemical 1191 synthesis of poly trilmethylene or polyterephthalate which can enhance certain physical properties (good 1192 resilience, stain resistance and low static generation) of fiber used in the textile industries. The conversion 1193 of (5 -15%) glycerol to (75 - 90%) dihydroxyacetone using Acetobacter suboxidans bacterium as the 1194 medium in submerged fermentation is an example of using glycerol as a feedstock for industrial 1195 fermentations (Wang et al., 2001). The dihydroxyacetone can be further converted from 1196 dihydroxyacetone kinase to dihydroxyacetone phosphate which is a substrate molecule for aldolases to 1197 produce optically active sugar derivatives (Itoh et al., 1999). Table 16 shows the usage of glycerol in 1198 various applications.

1199 The annual production of glycerol was 600,000 tons in 2001. The production of glycerol from 1200 hydrolysis of fats has decreased, due to soap being replaced by detergents in the developing countries and 1201 industrial nations (Agarwal, 1990). Also, the production of glycerol can be obtained from the oxidation or 1202 chlorination of propylene. However, the cost of propylene is high and there are associated environmental 1203 concerns (Wang et al., 2001), thus the production of glycerol from propylene has been in decline. 1204 Glycerol can also be produced as a byproduct during the microbial fermentation of sugar to ethanol using 1205 Saccharomyces cerevisiae in a redox-neutral process (Agarwal, 1990; Wang et al., 2001). This method 1206 became more attractive and cost effective than the chemical synthesis from petrochemical feedstocks or 1207 the recovery as a byproduct of the soap manufacture process from fats (Wang et al., 2001).

1208

11. CONCLUSIONS

1209 The increase in the annual global energy consumption over the past century has relaved heavily on 1210 fossil fuels. Fossil fuel burning have accelerated CO₂ emissions on a global scale. Carbon dioxide makes 1211 up 63 % of the greenhouse gasses present in the atmosphere. The environmental concerns associated with 1212 greenhouse gas emissions emphasise the need for alternate energy sources that are more environmentally 1213 friendly. Microalgae are abundant in nature and can be used as an alternate source of energy. They are 1214 photosynthetic microorganisms that are capable of growing in marine and fresh water environments and 1215 convertorganic substances to oil. Their high growth rate, ability to produce large amounts of lipids which 1216 can be used for biodiesel production and the fact that they utilize CO₂ present in the atmosphere for 1217 growth, makes them a good alternative to current fossil fuel. Microalgae generate large amounts of oil in 1218 the form of triacylglycerols which can be converted into biodiesel, via chemical or enzymatic a

Field of Use	Percent Use (%)						
	USA	Europe	Japan		China		
Drugs	39.5		23.1	34.0	5.0		
Tobacco	15.8		2.5	5.3	7.0		
Glycerintriacetate	ND		14.4	ND	ND		
Food	14.5		5.6	ND	ND		
Polyether alcohol	10.5		13.1	11.6	5.2		
Paints	9.2		13.1	19.5	49.0		
Cellophane	2.0		4.4	3.8	1.5		
Dynamite	0.6		3.1	1.9	3.1		
Toothpaste	ND		ND	ND	16.0		
Cosmetics	ND		ND	ND	6.0		
Miscellaneous	7.9		20.7	23.9	7.2		

Table 16. Usage of glycerol in various applications (Wang et al., 2001)

*ND = No Data

USA Production = 160,000 tons/yr Europe Production = 190,000 tons/yr Japan Production = 50,000 tons/yr China Production = 80,000 tons/yr

1228 transesterification processes. Biodiesel is a renewable fuel that generates the same amount of energy as 1229 that generated frompetroleum diesel without the release of harsh compounds into the atmosphere. It is 1230 biodegradable and nontoxic and can be utilized in existing diesel engines without modification. Various 1231 production systems for microalgae growth have been reviewed and their advantages and disadvantages 1232 have been noted, these include open ponds (circular and raceway), enclosed photobioreactores (tubular 1233 and plate), and hybrid systems. Lipid synthesis (i.e. non-polar TAGs) are the best substrates for biodiesel 1234 production, which can be formed by altering some of the algal growth conditions. These conditions can 1235 be either chemical or physical environmental stimuli. Chemical stimuli include depravation of nutrients 1236 and pH and physical include light intensity and temperature. The various nutrients, obtained from the 1237 surrounding media of the microalgae, play a role in lipid accumulation. Altering the amount of these 1238 nutrients affects the rate of growth and the organisms' ability to synthesize lipids. Such nutrients include: 1239 carbon, nitrogen, and phosphorous. Currently, the use of microalgae for biodiesel production is not 1240 economically feasible because of the high harvesting and pre-treatment costs associated with the 1241 production process. This can be overcome by extractingproteins, vitamins, carotenoids, nucleic acid, 1242 carbohydrates and lipids from the algae and processing the algae biomass into various value added 1243 products such as ethanol, methane, animal feed and fertilizer. Additionally, the glycerol produced as a 1244 by-product during the conversion of lipids into biodiesel can be further fermented to produce products such as methanol, lactic acid, ethanol and hydrogen. By producing these value-added products in addition 1245 1246 to the biodiesel, the economics of the harvesting, pre-treatment and processing of microalgae into 1247 biodiesel can be improved significantly.

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12. ACKNOWLEDGEMENTS

- 1250 This research was funded by the Natural Science and Engineering Research Council (NSERC) of Canada.
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