Original Research Article **Application of Multiplicative and Additive Hazards Models to Injury Prevention among Healthcare Workers ABSTRACT** The Cox multiplicative model is used widely in survival analysis, where the covariates act multiplicatively on unknown baseline hazards. However, the Cox model requires the proportionality assumption, which limits its applications. The additive hazards model has been used as an alternative to the Cox model, where the covariates act additively on unknown baseline hazards. In this study, performance of the Cox multiplicative hazards model and the additive hazards model has been demonstrated, using an injury prevention study. Both the multiplicative and additive hazards models showed similar results in selecting significant covariates in the final model in our study. The coefficient of the covariates in the additive hazards model is easy to interpret in an additive manner and should be considered when the proportionality assumption of the Cox model is doubtful. The multiplicative and additive hazards models describe different features of the association between the risk factors and the study outcomes. They may be used each other as supplementary approach for further understanding of the data. **Keywords**: survival analysis, Cox model, multiplicative model, additive model, injury prevention, healthcare worker 

36 37 38 39 40 41 42 1. INTRODUCTION 43 In survival analysis, the Cox hazards model [1] is the most widely used in survival 44 analysis. In this model, the effect of the covariates acts multiplicatively on some 45 unknown baseline hazard. However, when the proportionality assumption is not satisfied, 46 the Cox model can lead to potentially biased estimates and conclusions [2]. Alternatively, 47 additive hazards model has been proposed. The additive hazards model assumes that the 48 covariates act in an additive manner on an unknown baseline hazard. Aalen's additive 49 model as a non-parametric approach specifies how the hazard rate depends on covariates 50 in a linear way and allows one to assess possible changes in the influence of the 51 covariates over time [3]. The estimation procedure for Aalen's model was determined by 52 the cumulative regression functions. By several authors, applications of Aalen's model 53 have been described and further development has been recommended [4-9]. Aalen's 54 approach leads to weighted comparisons of the crude estimate of the hazards rate of each 55 group as compared to a baseline group [10]. This weighting leads to inconsistent tests in 56 the sense that the test statistic depends on which group someone picks as the baseline 57 group. 58 59 The Lin and Ying observed that this lack of progress is attributed to the fact that the 60 partial likelihood approach cannot be used directly to eliminate the baseline hazard in 61 estimating the intercept [11, 12]. They have developed procedures with high efficiencies 62 for making inferences about the regression parameters under the additive hazards model 63 with an unspecified baseline hazards function. In their study, a simple semi-parametric 64 estimating function for the intercept was constructed, which imitated the martingale 65 feature of the partial likelihood score function for baseline hazards. In the subsequent

paper, they suggested the semi-parametric analysis of general additive-multiplicative hazard models for the counting process and the additive hazards regression models for survival data but compared these with the frailty model [13]. Others applied the additive hazards model to competing risks setting [14, 15]. Yin and Cai [16] proposed an additive hazard model for multivariate failure time data. Lim and Zhang [17, 18] compared both the additive and multiplicative hazards models in recurrent event data. As an extension, a flexible additive-multiplicative hazard model based on Aalen's and Cox's models have been proposed [19-24]. For additive-multiplicative hazard model, some covariate effects are believed to result in multiplicative effects whereas other effects are best described as additive. However, in practice, it is not easy to decide which covariates to be included additively and which ones to be included multiplicatively. For the additive model, plots of the cumulative regression function provided an appealing explanation for how the hazards profiles were distributed.

### 2. STUDY DESCRIPTION

Patient handling injuries are common among health care workers and the risk of injury increases with the number of patient handling tasks performed. Studies showed that a transfer, lifting and repositioning (TLR) program may prevent injuries while performing one type of manoeuvre and not another depending on the emphasis of the intervention. To evaluate patient handling injuries following a multi-factor ergonomic intervention program among health care workers, a quasi-experimental study which had a TLR intervention group and a non-randomized control group was conducted. Descriptions of the overall study design and profile have been published elsewhere [25, 26]. Briefly, this study was conducted in two Health Regions (3 hospitals for the intervention and 3 for the control) in Saskatoon, Canada, from September 2002 to December 2006. The hospitals were matched on hospital type and size. The TLR intervention program component consisted of staff education on anatomy, injuries, body mechanics, personal health, lifting and patient handling procedures, standardized patient handling needs assessment and patient handling algorithms. All direct health care workers, who were employed as such in the study time periods, were eligible for inclusion into the study. Injuries occurred in

96 lower and upper back, shoulder, neck, extremity, and other body parts were included. The 97 control hospitals had not received any form of injury prevention program during the 98 study period other than standard occupational health and safety practice. Each 99 intervention and control hospital was followed for two year after completion of the 100 intervention program. Gender, age, occupation type, work department, and hospital size 101 were also obtained from the database. The primary outcome was the times to the event of 102 TLR related injury occurring in subjects during the study time. A total of 1,467 subjects 103 were eligible for the study. 104 With this example, we use three models (Cox multiplicative hazards model, Aalen's 105 additive hazards model, and Lin & Ying's additive hazards model) (i) to determine which 106 combination of potential explanatory variables affects the form of the hazard function and 107 (ii) to obtain an estimate of the hazard function itself for an individual. We will also 108 examine the goodness-of-fit analysis of the models. 109 110 3. MODELS AND METHODS 111 Within the framework of the multiplicative or additive hazards regression models, a 112 variety of models have been proposed and utilized in real applications. The Cox 113 multiplicative and Lin & Ying's additive hazards models received the greatest attention due to relatively easy interpretation of the covariate effects. These two models assume 114 115 unspecified baseline hazards and constant covariate effects. In our study, we will assume 116 that all censoring is non-informative and independent, i.e., knowledge of a censoring time 117 for a subject provides no further information about the subject's likelihood of survival at 118 a future time. 119 120 3.1. Basic Notations 121 Suppose that there are n subjects in a study. Let  $T_i$  be the time when the event of interest 122 occurs for the *i*th subject and  $C_i$  be the corresponding censoring time.  $T_i$  is measured from 123 the subject's study enrollment and the censoring C<sub>i</sub> occurs after the subject has been 124 entered into a study to the right of the last known failure time; thus, it is right censoring. 125 When  $T_i$  is subject to right censoring, the failure time  $X_i$  is a minimum of  $(T_i, C_i)$ , i.e.,  $X_i$ 

- is equal to  $T_i$  if the event was observed and is equal to  $C_i$  if it is censored. Let  $\delta_i$ =
- I( $T_i \le C_i$ ), where I(.) is an indicator function and takes the value 1 when  $T_i \le C_i$  and is 0
- otherwise. Let  $Z_i$  be a covariate vector of p-dimensions for the ith subject. The hazard
- function for the *i*th subject,  $\lambda_i(t)$ , is assumed to take either multiplicative or additive
- forms.

131

- 132 3.2. Multiplicative Hazards Model
- The Cox model is one of the most commonly used multiplicative hazards models.
- The effect of the covariates in the Cox model was to act multiplicatively on some
- unknown baseline hazards. The model is very useful in practice because either the
- estimated coefficients themselves or simple functions of them can be used to provide
- estimates of hazard ratios. In addition, statistical software is readily available, and it is
- easy to fit models, check model assumptions, and assess model fit.

139

140 For Cox proportional hazards model, the hazard function is

141 
$$\lambda(t) = \lambda_0(t) e^{\bar{\beta}'\bar{z}(t)} \tag{1}$$

- where t is the time since a subject's study enrollment. Note that  $\lambda_0$  (t) are unspecified
- baseline hazard functions. The corresponding partial likelihood function [2] is

$$L(\vec{\beta}) = \prod_{j=1}^{n} \left\{ \frac{e^{\vec{\beta}' \vec{Z}_{j}(X_{j})}}{\sum_{j=1}^{n} Y_{j}(t) e^{\vec{\beta}' \vec{Z}_{j}(X_{j})}} \right\}, \qquad (2)$$

- where  $Y_j(t) = I(X \ge t)$  is a risk set indicator.  $\vec{\beta}$  is a *p*-vector of regression coefficients of
- 146  $Z_i$ . In order to draw a semi-parametric inference on  $\vec{\beta}$  for the model (1), the score
- functions  $U(\vec{\beta})$  are obtained by differentiating the logarithm of  $L(\vec{\beta})$  with respect to  $\vec{\beta}$ .
- The maximum partial likelihood estimator  $\hat{\beta}$  is obtained by solving the corresponding

- score equation,  $\frac{\partial \ln L(\vec{\beta})}{\partial \vec{\beta}} = 0$ . The variance-covariance matrix is estimated from the
- 150 inverse of the information matrix,  $\Gamma^{-1}(\hat{\vec{\beta}})$ .

151

- 152 3.3. Additive Hazards Model
- The simple additive hazards model given by Cox and Oakes [27] is  $h(t|\mathbf{Z}) = h_0(t) + \varphi(\mathbf{Z})$
- where  $\varphi(0)=0$  and  $\varphi(\mathbf{Z})$  is constrained so that the right-hand side is non-negative.  $h_0(t)$  is
- the baseline hazard and the covariates act in an additive manner on an unknown baseline
- hazards rate. Aalen's additive model 3, 281 and Lin and Ying's additive models (L-Y
- model) [11] have received great attention in the literature. In Aalen's model, the
- unknown risk coefficients are allowed to be functions of time so that the effect of a
- 159 covariate may vary over time. The least-squares approach is used to estimate the
- 160 cumulative regression functions and the standard errors of these functions [29]. In the L-
- 161 Y model, the time-varying regression coefficients in Aalen's model are replaced by
- 162 constants and the estimating equation is obtained from the score function to estimate the
- model. In the next section, these additive hazards models will be reviewed.

- 165 3.3.1. Aalen's additive hazards model
- In the Aalen's additive hazards model, the covariates are assumed to impact additively
- upon an unknown baseline hazard, but the effects are not constrained to be constant [28].
- Thus, the hazard function under the Aalen's model for the *i*th subject with a *p*-vector of
- 169 the covariates  $Z_i = (z_{i1}, ..., z_{ip})$  is defined as:

170 
$$\lambda_{i}(t) = \lambda_{0}(t) + \gamma_{1}(t) z_{i1}(t) + \dots + \gamma_{p}(t) z_{ip}(t). \tag{1}$$

- where  $\lambda_0(t)$  is an unspecified baseline hazard function, and coefficient  $\gamma_k(t)$  is allowed to
- vary freely over time, where k = 1, 2, ..., p. Aalen shows that if a covariate is
- independent of all the other covariates in the model, then the regression model with this
- 174 covariate eliminated is the same as the regression model with this covariate included [28].
- Note that this fact is not true for the Cox proportional hazards model. The additive effect
- 176  $\gamma_k(t)$  may change in magnitude and even sign with time. As it is not straightforward to

- estimate  $\lambda_0(t)$  non-parametrically, direct estimation of the coefficient  $\gamma_k(t)$  is difficult.
- Aalen and others [8, 28] have developed least square estimation of integrated coefficients

$$\Gamma_k(t) = \int_0^t \gamma_k(u) \ du.$$

180

- 181 The usual method of representing the effect  $\gamma_k(t)$  is to graph them against time. To define
- 182 how the effects of covariates changes over the time, cumulative regression function plots
- 183 estimated by the Aalen's model can be examined. The values of  $\gamma_k(t)$ , the absolute
- increase in hazard at time t, are not actually observed, but their relative size may be
- inferred from the slope of the line. The Aalen's plots are obtained by estimating the
- instantaneous contributions of covariates to the hazard at each distinct failure time and
- summing up the resulting estimates. The slop of such plots indicates whether a specific
- covariate has a constant or a time-dependent effect [6]. Slope of an estimated cumulative
- 189 regression function is positive when covariate increase corresponds to hazard increases,
- and negative when covariate increases correspond to hazard decrease. Cumulative-sums
- slop approaches zero when a covariate has no effect on the hazard.

- 193 3.3.2. Lin & Ying's (L-Y) additive hazards model
- We know from Aalen's additive hazards model the conditional hazards rate of a subject,
- given a set of covariates, and that the regression coefficients are the function of time. Lin
- and Ying proposed an alternative additive hazards regression model, which is the most
- 197 closely connected and analogue to the Cox model [11-13]. The L-Y additive hazards
- model for the *i*th subject with covariate vector  $Z_i = (z_{i1}, ..., z_{ip})$  is  $\lambda_i(t), \Box \Box$

199 
$$\lambda_i(t) = \lambda_0(t) + \gamma_1 z_{i1}(t) + \dots + \gamma_p z_{ip}(t).$$

- The covariates are assumed to act additively on a baseline hazard  $\lambda_0(t)$  and coefficient
- 201  $\gamma_k$  is constant additive effects, where k = 1, 2, ..., p. Lin and Ying [11] propose a
- heuristic estimation method based on a estimating equation due to the Cox's partial
- 203 likelihood. Their method successfully treats the baseline hazard as nuisance and removed
- them from estimating the regression coefficients. Using the counting process and

- 205 martingale approach, they obtained closed-form estimators for the regression parameters 206 and the cumulative baseline hazard function.
- In order to draw semi-parametric inference on the coefficient  $\vec{\gamma}$  for model, the key
- quantities are given by:

207

210 
$$U = \sum_{i=1}^{n} \int_{0}^{\tau} \left[ Z_{i}(t) - \overline{Z}(t) \right] dN_{i}(t)$$

211 
$$A = \sum_{i=1}^{n} \int_{0}^{\tau} [Z_{i}(t) - \overline{Z}(t)]^{\otimes 2} Y_{i}(t) dt$$

212 
$$\mathbf{B} = \sum_{i=1}^{n} \int_{0}^{\tau} \left[ Z_{i}(t) - \overline{Z}(t) \right]^{\otimes 2} dN_{i}(t)$$

- where, for any vector a,  $a^{\otimes 2} = aa^T$ ;  $\tau$  is a pre-specified time point usually set to
- 215  $\max\{X_1, X_2, ..., X_n\}$  such that all observed failures are included in the analysis, and

$$\overline{Z}(t) = \sum_{i=1}^{n} Y_i(t) Z_i(t) \sum_{i=1}^{n} Y_i(t)$$

- is the at-risk weight covariate mean at time t. Lin and Ying [11] proposed to estimate  $\vec{\gamma}$
- 218 by

222

$$\hat{\vec{\gamma}} = A^{-1} U,$$

- 220 while the estimated variance of  $\vec{\gamma}$  was derived to be:
- $\hat{V}(\hat{\gamma}) = A^{-1} B A^{-1}.$
- Here, neither **A** nor **B** involves the regression parameter. They showed that  $\hat{\vec{\gamma}}$  is
- asymptotically normal with mean  $\vec{\gamma}$  and with a variance-covariance matrix consistently
- estimated by  $\hat{V}(\hat{\vec{\gamma}})$ . More precisely,  $(A^{-1} B A^{-1})^{-1/2} (\hat{\vec{\gamma}} \vec{\gamma})$  converges in distribution to
- 226 N(0, 1). The L-Y model has a limitation that the linear predictor  $\vec{\gamma} Z_i(t)$  needs to
- constrained to ensure positivity [13]. One may avoid this constraint by replacing  $\vec{\gamma} Z_i(t)$

228	by $e^{\vec{\gamma}Z(t)}$ , in which case $\lambda_0(t)$ pertains to the hazard function under $\vec{\gamma}$ $Z_i(t) = -\infty$ rather				
229	than under $Z_i(t) = 0$ .				
230					
231	3.3.3. Model Goodness of Fit				
232	The use of diagnostic procedures for model checking is an essential part of the modeling				
233	process. While there are several residuals plots for testing the goodness of fit for the Cox				
234	model [2], the residuals plot for the additive models is limited. Arjas' plot was used to				
235	assess the adequacy of the fit of the additive model [17, 31-33]. The concept behind				
236	Arja's plot is to plot expected number of failures against actual number of the injury even				
237	with different covariate values. Arjas' plot is not a true residual plot, but deviations from				
238	the 45° slope will give essentially the same information, which is a clearer indication of				
239	lack of model fit.				
240					
241	SAS version 9.2 and R were used for the analysis in this study. The additive hazards				
242	models are not available in commonly used computer packages, while for the Cox model				
243	most statistical software are readily available and easy to use to fit models, check model				
244	assumptions and assess model fit. Both the Aalen and L-Y additive hazards models can				
245	perform by either a SAS macro available at				
246	http://www.mcw.edu/FileLibrary/Groups/Biostatistics/Software/addmacro.txt [34] or a				
247	combination of PROC PHREG and PROC REG [33].				
248					
249					
250	4. APPLICATION TO INJURY PREVENTION STUDY				
251	A total of 1,467 subjects (789 from the intervention group and 678 from the control				
252	group) were eligible for the present study. Of these subjects, 263 subjects had the event				
253	of the TLR related injury with 114 (14.4%) from the intervention group and 149 (22%)				
254	from the control group. Our study observation was completed at December 1, 2006. The				
255	Kaplan-Meier analysis was performed to assess the overall difference among the intervention				
256	and control groups [35]. This result indicated that before 8 months the two survival curves				
257	were very close. After 8 months, the intervention group had a higher probability of survival				
258	as compared to the control group (p=0.0013 for log-rank test and p=0.0063 for Wilcoxon test				

259 Figure 1). 260 261 4.1. Cox multiplicative model 262 The result of Cox model showed that group, occupation, and body parts were significant 263 (Table 1). No significant interaction was observed between covariates. The intervention group had a 27% lower risk of injury as compared to the control group after controlling 264 265 for occupation, and body parts(hazard ration (HR)=0.63; 95% CI: 0.497, 0.804; p=0.0002). Nurses and nursing aides (NNA) had a 72% higher risk of injury compared to 266 267 Non-NNA (HR=1.72; 95% CI: 1.219, 2.416; p=0.002). The back, neck and shoulder (BNS) were the most injured body parts. Compared to other body parts (Non-BNS), the 268 269 back, neck and shoulder (BNS) had a 115% increased risk of injury (HR=2.15; 95% CI: 270 1.618, 2.85; p<0.0001). Martingale residuals are used to check the overall fit of the 271 multiplicative hazards model for the intervention and control groups (Figures 2). 272 Martingale residuals showed that the fit of the multiplicative hazards model is 273 questionable. 274 275 4.2. Aalen's additive model 276 In order to visualize a covariate effect over time, the estimated cumulative regression 277 function has been examined, along with its upper and lower 95% point-wise confidence 278 limits. The plot of the estimated cumulative regression functions for group showed that 279 there was no covariate effect on the hazard up to 8 months. However, the slope was 280 negative and clear effects of decreasing hazard for the period of 8-24 months but after 281 that it was approximately constant hazard (Figure 3-a). Based on the estimated 282 cumulative regression functions, it has been concluded that intervention group had the 283 less risk of the injury event as compared to the control group. There may be time varying 284 occupation effect because the cumulative regression function shows the non-zero slope 285 over time (Figure 3-b). It has been observed that the effects of occupation have been 286 increased in hazard up to 10 months, disappearing afterwards. For body parts, Figure 3-c 287 also shows the positive slope over time and the 95% confidence limits of the covariate 288 effects did not includes zero. 289

290

291

292

293

294

295

296

297

298

299

300

301

302

303

304

305

306

307

308

309

310

311

312

313

314

315

316

317

318

319

320

4.3. Lin and Ying's additive model The result of L-Y additive hazards model showed that group, occupation and body parts were significant effect on the injury event (Table 2). The intervention group was significantly different for the injury event comparing to the control group (pvalue=0.0005). The estimate is negative (-0.0025), indicating that the intervention group had protection from injury as compared to the control group. This is interpretable as the intervention group had 0.0025 less injuries than the control group after adjusting for occupation and body parts. It means that 25 person injuries can be prevented per 10,000 persons by the injury prevention program. Regarding occupation, nurses and nursing aides (NNA) had the significantly different on injuries than non-nurses occupations (Non-NNA). NNA had 0.0024 excess risk of injuries (excess risk (ER) =0.0024; pvalue=0.0005; 95% C.I=0.001, 0.0038), which indicates that NNA had 24 more injury compared to non-NNA per 10,000. Similarly, the body parts, combined back, neck & shoulder had 0.0038 excess risk of injury than other body parts (ER=0.0038; p-value <0.0001; 95% CI=0.0025, 0.0051). The Arjas plots were used for the selected covariates to check the adequacy of the model. Figures 4-a shows that the plot are close to 45°, indicating the group fits the model well. Notably, the Arjas plot of nurses and nursing aides is not long enough, but it reasonably satisfies the model (Figure 4-b). However, for the body parts, the plot is concave downwards and the deviations from the optimal fit was shown (Figure 4-c). 5. DISCUSSION We showed the differences in estimates of the coefficients from the Cox multiplicative hazards model and the additive hazards models and their interpretation using an injury prevention program implemented for the healthcare workers. The Cox multiplicative and L-Y additive hazards models gave similar results with regard to covariates selected to be significant: group, occupations, and body parts. The estimates from the models also had the same signs, indicating the same directions of the covariate effects. Based on our analysis, both the Cox and L-Y models, as well as Aalen's additive hazards model, showed that the injury intervention program had a significant impact on reducing the TLR related injures induced by patient handling among healthcare workers.

321

322

323

324

325

326

327

328

329

330

331

332

333

334

335

336

337

338

339

340

341

342

343

344

345

346

347

348

349

350

351

The parameter estimates and the standard errors from the Cox multiplicative and L-Y additive hazards models are noticeably different. While the coefficients of the Cox model act in a multiplicative way on unknown baseline hazards, those of the additive hazards models act in an additive way on unknown baseline hazards. Because the coefficients act in different ways in the multiplicative and additive hazards models, it is very difficult to compare them directly. Moreover, the Cox model gives a higher estimate than additive model when using a more compromised covariate profile probably due to the multiplicative effect of fixed covariate on baseline function [11]. The association between the covariates and the time to injuries in the additive hazards models was explained in terms of the risk difference or excess risk rather than the risk ratio. Thus the different models interpreted the coefficients in different ways. The Cox model is most widely used; however, the proportional hazard assumption may not always be satisfied in the data. In such cases, there are various solutions to consider, for example, inclusion of a time-dependent covariate or stratification. In Aalen's model, and the main focus was the cumulative regression plots, where the slope of the plots at any given time provides information on the influence of the covariate at that moment. From a practical standpoint, the graphical representation of the cumulative regression functions is attractive, because it provides a direct perception of data and a picture of how effects and the model fit in with change over time. Even one visualizes all covariate effects over time, and a simple interpretation of the effects is not possible, which makes Aalen's model less appealing in real applications than other models. However, it is still useful particularly when we are interested in temporal effects. The unknown risk coefficients used in Aalen's model are replaced by a constant covariate effect in the L-Y model-additive hazards model. A theoretical limitation of the L-Y model is that the linear predictors in the model constrain to be positive [13]. Research on the additive hazard model in relation to generalizing estimating function to the case of multivariate failure time data as well as methods for checking the adequacy of the model is still rare. While various statistical software packages are available for fitting the Cox model, the procedure is limited to some software for the additive hazards model. Few macros are

352 353	available for the analysis of goodness of fit [17, 34].
,55 854	Generally, the preference between the Cox hazards model and the additive hazards model
355	is normally a practical matter. Although in theory, either model can provide adequate fit
356	to a given time to event dataset, the more parsimonious one will unquestionably be
357	preferable to clinical investigators. One of the major advantages of using the additive
358	hazards model over the Cox multiplicative hazards model is that the resulting regression
359	parameter estimator has a closed form. In cases where both the additive and
360	multiplicative models fit the data fairly well, an additive specification may be preferred,
861	due to the easy interpretation of the regression parameters. Regression coefficients from
362	the additive model give more sensible and interpretable in public health research or
363	patient management/care, where the risk difference can be more important than the risk
364	ratio in understanding an association between a risk factor and disease occurrence [13,
365	17].
866	
367	In summary, the Cox multiplicative and additive hazards models describe different
368	features of the association between the risk factors and the study outcomes. These
369	hazards models give different information and should not be viewed as alternative to each
370	other. Rather it seems desirable to use together to gain a more comprehensive
371	understanding of the data. Practitioners may benefit from these approaches, which help in
372	predicting the effect of one or more variables and in verifying their influence on the study
373	outcomes.
374	
375	
376 377 378 379 380	COMPETING INTERESTS Authors have declared that no competing interests exist.
381	
382	REFERENCES
383 384	1. Cox DR. Regression models and life tables (with discussion). Journal of the Royal

385		Statistical Society: Series B. 1972;34:187–220.
386		
387	2.	Kalbfleisch JD and Prentice RL. The statistical analysis of failure time data,
388		Wiley, New York; 2002,
389		
390	3.	Aalen OO. Further results on the non-parametric linear regression model in
391		survival analysis. Statistics in Medicine. 1993;12:1569–1588,
392		
393	4.	Andersen PK, Vaeth M, Simple Parametric and Nonparametric Models for Excess
394		and Relative Mortality, 1989;45:523-35,
395		
396	5	Mau J. On a Graphical Method for the Detection of Time-dependent Effects of
397	٥.	Covariates in Survival Data, Applied Statistics-Journal of the Royal Statistical
398		Society Series C, 1986;35:245-55,
399		300101y 301103 C <sub>1</sub> 1900,33.273-33 <sub>1</sub>
400	6	May I. A comparison of counting process models for complicated life histories
	0.	Mau J. A comparison of counting process models for complicated life histories.
401		Applied Stochastic Models and Data Analysis. 1988;4:283-98.
402	7	
403	1.	McKeague IW. Estimation for a Semimartingale Regression Model Using the
404		Method of Sieves. Annals of Statistics. 1986;14:579-89.
405	0	
406	8.	Huffer FW, McKeague IW, Survival analysis using additive risk models,
407		technical report. Department of Statistics, Stanford University;1987,
408		
409	9.	McKeague IW, Utikal KJ, Goodness-of-Fit Tests for Additive Hazards and
410		Proportional Hazards Models. Scandinavian Journal of Statistics. 1991;18:177-95
411		
412	10.	Bhattacharyya M and Klein JP. A note on testing in Aalen's additive hazards
413		regression models. 2005;24:2235-40.
413 414		regression models. 2005;24:2235-40.
	11.	Lin DY and Ying ZL. Semiparametric Analysis of the Additive Risk Model.
414	11.	
414 415	11.	Lin DY and Ying ZL. Semiparametric Analysis of the Additive Risk Model.
414 415 416		Lin DY and Ying ZL. Semiparametric Analysis of the Additive Risk Model.
414 415 416 417		Lin DY and Ying ZL. Semiparametric Analysis of the Additive Risk Model. Biometrika. 1994;81:61-71.  Lin DY and Ying ZL. Semiparametric analysis of general additive-multiplicative
414 415 416 417 418 419		Lin DY and Ying ZL. Semiparametric Analysis of the Additive Risk Model. Biometrika. 1994;81:61-71.
414 415 416 417 418 419 420	12.	Lin DY and Ying ZL. Semiparametric Analysis of the Additive Risk Model. Biometrika. 1994;81:61-71.  Lin DY and Ying ZL. Semiparametric analysis of general additive-multiplicative hazard models for counting processes. The Annals of Statistics. 1995;23:1712-34.
414 415 416 417 418 419 420 421	12.	Lin DY and Ying ZL. Semiparametric Analysis of the Additive Risk Model. Biometrika. 1994;81:61-71.  Lin DY and Ying ZL. Semiparametric analysis of general additive-multiplicative hazard models for counting processes. The Annals of Statistics. 1995;23:1712-34.  Lin DY and Ying ZL. Additive regression models for survival data. Proceedings
414 415 416 417 418 419 420 421 422	12.	Lin DY and Ying ZL. Semiparametric Analysis of the Additive Risk Model. Biometrika. 1994;81:61-71.  Lin DY and Ying ZL. Semiparametric analysis of general additive-multiplicative hazard models for counting processes. The Annals of Statistics. 1995;23:1712-34.  Lin DY and Ying ZL. Additive regression models for survival data. Proceedings of the First Seattle Symposium in Biostatistics: Survival Analysis, Springer, New
414 415 416 417 418 419 420 421 422 423	12.	Lin DY and Ying ZL. Semiparametric Analysis of the Additive Risk Model. Biometrika. 1994;81:61-71.  Lin DY and Ying ZL. Semiparametric analysis of general additive-multiplicative hazard models for counting processes. The Annals of Statistics. 1995;23:1712-34.  Lin DY and Ying ZL. Additive regression models for survival data. Proceedings
414 415 416 417 418 419 420 421 422 423 424	12.	Lin DY and Ying ZL. Semiparametric Analysis of the Additive Risk Model. Biometrika. 1994;81:61-71.  Lin DY and Ying ZL. Semiparametric analysis of general additive-multiplicative hazard models for counting processes. The Annals of Statistics. 1995;23:1712-34.  Lin DY and Ying ZL. Additive regression models for survival data. Proceedings of the First Seattle Symposium in Biostatistics: Survival Analysis, Springer, New York. 1997;185–198,
414 415 416 417 418 419 420 421 422 423 424 425	12.	Lin DY and Ying ZL. Semiparametric Analysis of the Additive Risk Model. Biometrika. 1994;81:61-71.  Lin DY and Ying ZL. Semiparametric analysis of general additive-multiplicative hazard models for counting processes. The Annals of Statistics. 1995;23:1712-34.  Lin DY and Ying ZL. Additive regression models for survival data. Proceedings of the First Seattle Symposium in Biostatistics: Survival Analysis, Springer, New York. 1997;185–198.  Klein JP. Modelling competing risks in cancer studies. Statistics in Medicine.
414 415 416 417 418 419 420 421 422 423 424 425 426	12.	Lin DY and Ying ZL. Semiparametric Analysis of the Additive Risk Model. Biometrika. 1994;81:61-71.  Lin DY and Ying ZL. Semiparametric analysis of general additive-multiplicative hazard models for counting processes. The Annals of Statistics. 1995;23:1712-34.  Lin DY and Ying ZL. Additive regression models for survival data. Proceedings of the First Seattle Symposium in Biostatistics: Survival Analysis, Springer, New York. 1997;185–198,
414 415 416 417 418 419 420 421 422 423 424 425 426 427	12. 13.	Lin DY and Ying ZL. Semiparametric Analysis of the Additive Risk Model. Biometrika. 1994;81:61-71.  Lin DY and Ying ZL. Semiparametric analysis of general additive-multiplicative hazard models for counting processes. The Annals of Statistics. 1995;23:1712-34.  Lin DY and Ying ZL. Additive regression models for survival data. Proceedings of the First Seattle Symposium in Biostatistics: Survival Analysis, Springer, New York. 1997;185–198.  Klein JP. Modelling competing risks in cancer studies. Statistics in Medicine. 2006;25:1015–1034.
414 415 416 417 418 419 420 421 422 423 424 425 426 427 428	12. 13.	Lin DY and Ying ZL. Semiparametric Analysis of the Additive Risk Model. Biometrika. 1994;81:61-71.  Lin DY and Ying ZL. Semiparametric analysis of general additive-multiplicative hazard models for counting processes. The Annals of Statistics. 1995;23:1712-34.  Lin DY and Ying ZL. Additive regression models for survival data. Proceedings of the First Seattle Symposium in Biostatistics: Survival Analysis, Springer, New York. 1997;185–198.  Klein JP. Modelling competing risks in cancer studies. Statistics in Medicine. 2006;25:1015–1034.  Zhang X, Akcin H, Lim HJ, Regression Analysis of Competing Risks Data via
414 415 416 417 418 419 420 421 422 423 424 425 426 427 428 <b>429</b>	12. 13.	Lin DY and Ying ZL. Semiparametric Analysis of the Additive Risk Model. Biometrika. 1994;81:61-71.  Lin DY and Ying ZL. Semiparametric analysis of general additive-multiplicative hazard models for counting processes. The Annals of Statistics. 1995;23:1712-34.  Lin DY and Ying ZL. Additive regression models for survival data. Proceedings of the First Seattle Symposium in Biostatistics: Survival Analysis, Springer, New York. 1997;185–198.  Klein JP. Modelling competing risks in cancer studies. Statistics in Medicine. 2006;25:1015–1034.  Zhang X, Akcin H, Lim HJ, Regression Analysis of Competing Risks Data via Semi-Parametric Additive Hazards Model. Statistical Methods and Applications.
414 415 416 417 418 419 420 421 422 423 424 425 426 427 428	12. 13.	Lin DY and Ying ZL. Semiparametric Analysis of the Additive Risk Model. Biometrika. 1994;81:61-71.  Lin DY and Ying ZL. Semiparametric analysis of general additive-multiplicative hazard models for counting processes. The Annals of Statistics. 1995;23:1712-34.  Lin DY and Ying ZL. Additive regression models for survival data. Proceedings of the First Seattle Symposium in Biostatistics: Survival Analysis, Springer, New York. 1997;185–198.  Klein JP. Modelling competing risks in cancer studies. Statistics in Medicine. 2006;25:1015–1034.  Zhang X, Akcin H, Lim HJ, Regression Analysis of Competing Risks Data via

431		
432	16.	Yin G and Cai J. Additive hazards model with multivariate failure time data.
433		Biometrika. 2004;91:801–818.
434		
435	17.	Lim HJ and Zhang X. Semi-parametric additive risk models: Application to injury
436		duration study. Accident Analysis and Prevention. 2009:41:211-6.
437		and the standard of the standa
438	18.	Lim HJ and Zhang X. Additive and multiplicative hazards modelling for recurrent
439		event data analysis. BMC Medical Research Methodology. 2011;11:101 doi:
440		10.1186/1471-2288-11-101.
441		
442	19.	Martinussen T and Scheike TH. A flexible additive multiplicative hazard model.
443		Biometrika. 2002;89:283-98.
444		=
445	20.	Scheike TH and Zhang MJ. An additive-multiplicative Cox-Aalen model.
446	_0.	Scandinavian Journal of Statistics. 2002;28:75–88.
447		2002,20176 301
448	21.	Scheike TH and Zhang MJ. Extensions and applications of the Cox-Aalen
449		survival model. Biometrics. 2003;59:1033–45.
450		2000,0717,012 110 0001 210 110 110 110 110 110 110
451	22	Zahl PH. Regression analysis with multiplicative and time-varying additive
452		regression coefficients with examples from breast and colon cancer. Statistics in
453		Medicine. 2003;22:1113–27.
454		1710dicine. 2003,22.1113 27.
455	23	Cortese G and Scheike TH. Dynamic regression hazards models for relative
456	25.	survival. Statistics in Medicine. 2008;27:3563–84.
457		5di 11 val. 5daisties in iviedicine. 2000,27.3365 01.
458	24.	Cortese G, Scheike TH, Martinussen T, Flexible survival regression modeling.
459		Statistical Methods in Medical Research. 2010;19::5–28.
460		Statistical filedious in filedical fiescaron 2010,19110 201
461	25.	Lim HJ, Black TM, Shah SM, Sarker S, Metcalfe J, Evaluating Repeated Patient
462		Handling Injuries Following the Implementation of A Multi-factor Ergonomic
463		Intervention Program among Health Care Workers, Journal of Safety Research.
464		2011;42: 185-191.
465		2011, 12, 100 1911,
466	26.	Black TM, Shah SM, Busch AJ, Metcalfe J, Lim HJ., Effect of Transfer, Lifting
467	-0.	and Repositioning (TLR) injury prevention program on musculoskeletal injury
468		among direct care workers. Journal of Occupational & Environmental Hygiene.
469		2011;8:226-235.
470		2011,0.220 2001
471	27	Cox DR and Oakes D. Analysis of Survival Data, London: Chapman & Hall.
472	_,.	1984.
473		
474	28	Aalen OO. A Linear-Regression Model for the Analysis of Life Times. Statistics
475	_0.	in Medicine. 1989;8:907-25.
476		
., 0		

477	29. Klein JP and Moeschberger ML. Survival Analysis: Techniques for Censored and
478	Truncated Data. Springer, 2003.
479	
480	30. Aalen OO. Model for Nonparametric Regression Analysis of Counting Processes
481	Lecture Notes in Statistics. 1980;2:1-25.
482	21 Asias E A Carabias I Mathed for Associate Conductor of Ethia Company at and
483 484	31. Arjas E. A Graphical Method for Assessing Goodness of Fit in Cox Proportional Hazards Model. Journal of the American Statistical Association. 1988;83:204-12
485	Tiazatus Model, Journal of the American Statistical Association. 1988,85.204-12
486	32. Torner A. Proportional Hazards and Additive Regression Analysis of Survival fo
487	Severe Breast Cancer. Technical Report. Stockholm: Stockholm University,
488	Mathematical Statistics. 2004.
489	
490	33. Schaubel DE and Wei G. Fitting the additive hazards model using standard
491	statistical software. Biometrical Journal. 2007;49:719-730,
492	24 H 11 AM CACM f 4h Add' H
493 494	34. Howell AM. SAS Macro for the Additive Hazards Model: Medical College of Wisconsin Riostatistics 2007
495	Wisconsin, Biostatistics, 2007.
496	35. Kaplan EL and Meier P. Nonparametric Estimation From Incomplete
497	Observations. Journal of the American Statistical Association. 1958;53:457-81.
498	
499	
500	
501	
502	
503	
504	
505	
506	
507	
508	
509	
510	
511	
512	
513	
514	
515	
516	

Table 1. Estimation of coefficient, hazard ratio, 95% confidence interval, and p-value from the Cox multiplicative hazards model.

Covariate	Estimate (S.E)	H.R	95% C.I	p-value
Group Occupation Body Parts	-0.469 (0.128)	0.63	0.497, 0.804	0.0002
	0.540 (0.175)	1.72	1.219, 2.416	0.002
	0.764 (0.144)	2.15-	1.618, 2.850	<0.0001

\*S.E.: Standard Error; \* HR: Hazard Ratio; \* CI: Confidence Interval

Note: In this analysis, the reference group: Control group, , non-nurses for occupation (Non-NNA), and other body parts except back, neck and shoulder for body parts (Non-BNS)

Table 2. Estimation of coefficient, excess risk, 95% confidence interval, and p-value from the Lin and Ying's additive hazards model.

536	
537	

Covariate Es	stimate ( <del>S.E</del> )	E.R	95% C.I	p-value
Group -0 Occupation 0.	0.0025 (0.0007)	-0.002	-0.0039, 0.0010	0.0005
	0024 (0.0006)	0.002	0.0010, 0.0038	0.0005
	0038 (0.0006)	0.003	0.0025, 0.0051	<0.0001

S.E.; Standard Error; \*ER: excess risk; \*CI: Confidence Interval

Note: In this analysis, the reference group: Control group, , non-nurses for occupation (Non-NNA), and other body parts except back, neck and shoulder for body parts (Non-BNS)

Figure 1: Estimated survival probability curve by group,

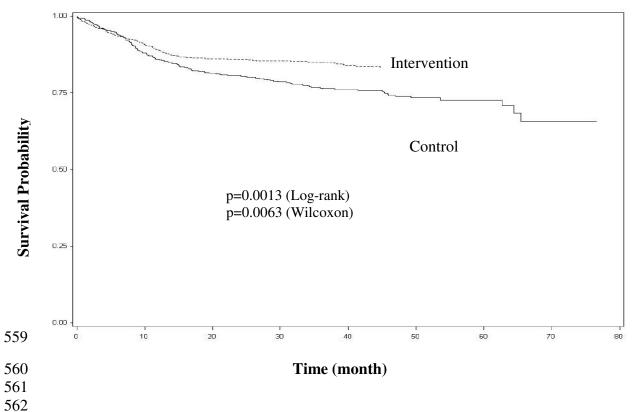


Figure 2: Martingale residuals plot for the multiplicative model,



571

572573

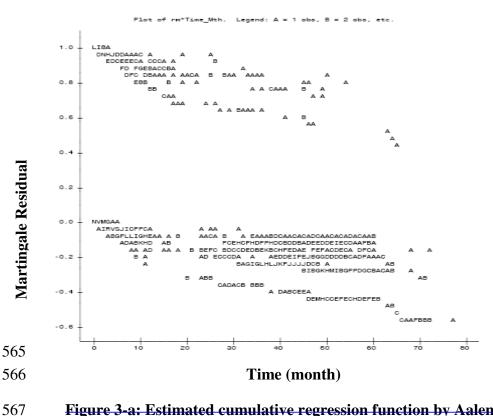


Figure 3-a: Estimated cumulative regression function by Aalen's additive model with its upper and lower 95% point-wise confidence limits for group,

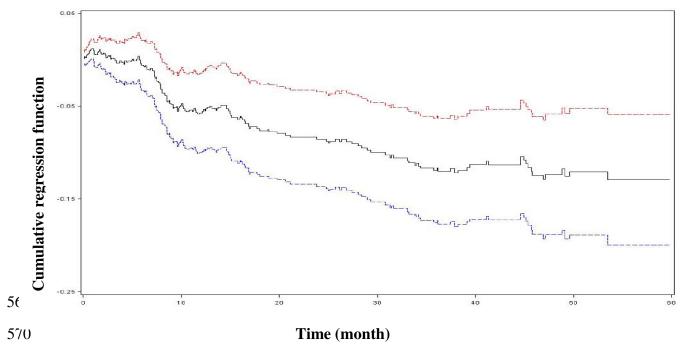


Figure 3-b: Estimated cumulative regression function by Aalen's additive model with its upper and lower 95% point-wise confidence limits for occupation.

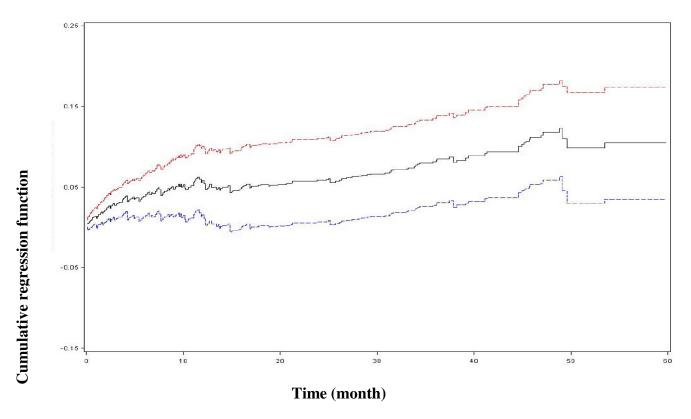
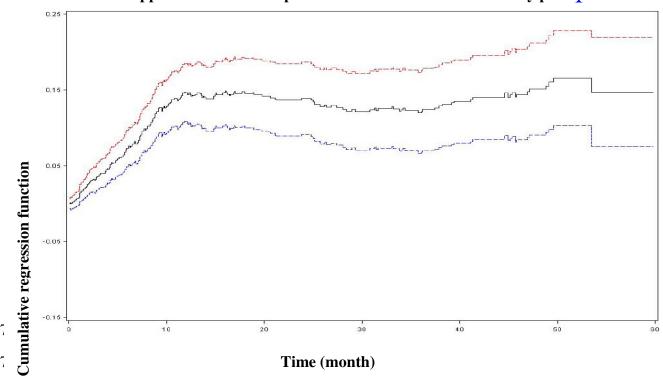


Figure 3-c: Estimated cumulative regression function by Aalen's additive model with its upper and lower 95% point-wise confidence limits for body parts,



589

590 591 592

#### 582 Figure 4-a: Arjas plot of the estimated cumulative hazard by group.

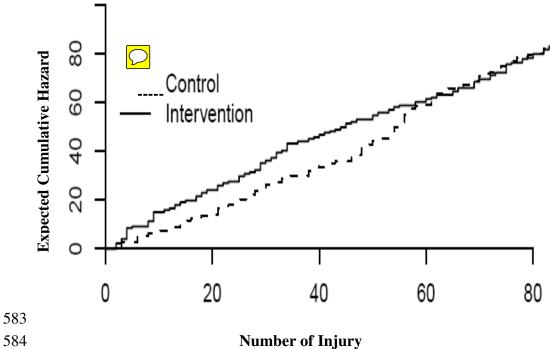
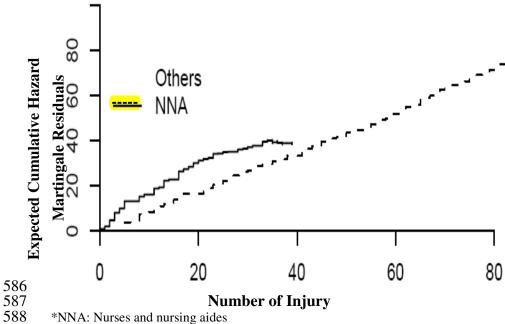


Figure 4-b: Arjas plot of the estimated cumulative hazard by occupation,



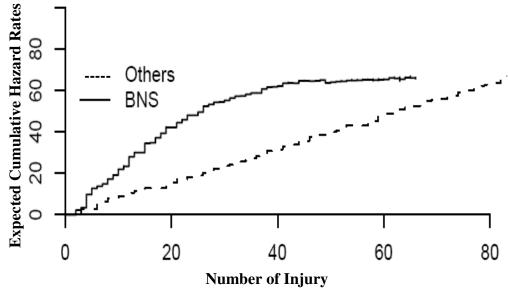
\*NNA: Nurses and nursing aides

<sup>\*</sup>Others include therapists, technicians, unit supporters, paramedics, etc.

Figure 4-c: Arjas plot of the estimated cumulative hazard for the additive model by body parts,

<del>593</del>

594



596 597 598

599

\* BNS: Back, neck and shoulder

\* All other body parts include abdomen, chest, face, etc.