

2 **Status Of Postharvest Operations In Upper East Region of Ghana:**
3 **The Case Of Maize Producers**
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7 **ABSTRACT**

8 **A baseline survey was conducted in the Upper East of Ghana to assess**
9 **current postharvest practices and factors influencing long and bulk**
10 **storage of maize. The research tools employed were field survey, farm**
11 **visits and key informant interviews. Twenty farmers were randomly**
12 **selected from each community making a total of 120 farmers. Household**
13 **structure on average is made up 7±5 individuals, mean age of household**
14 **heads was 45-47 years compared to their wives 35 to 38 years. Maize is**
15 **mostly stored in polypropylene sacs and jute sacs on raised platform in**
16 **household stores. Majority of respondents indicated that post-harvest**
17 **losses during storage are critical challenges to production and household**
18 **food security. The main causes of loss were insect pest, rodents and grain**
19 **moulds. Majority of farmers store maize for 5-8months. Though some local**
20 **and synthetic grain protectants were used, post-harvest losses in 1 year**
21 **of storage were still beyond acceptable limits. However, there was high**
22 **willingness to adopt new efficient methods of crop protection like**
23 **biological control. The idea of community storage methods was still not a**
24 **technology farmers may adopt; due to a myriad of socio-cultural reasons.**
25 **The results of the baseline study will guide the implementation of the**
26 **project as well as serve as reference point for future impact assessment.**
27 **Overall, integrated strategies involving clean farm operations, use of**
28 **appropriate storage technologies and provision of improved storage**
29 **structures are required to reduce current losses.**

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31 **Key words: Maize farmers, postharvest losses, storage and biological control**
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35 **INTRODUCTION**

36 Maize (*Zea mays* L.) has become an important staple food crop in all parts of Ghana. Currently, maize
37 based cropping systems have become dominant in drier northern savanna areas of Ghana where
38 sorghum and millet were the traditional food security crops. According to SRID (2011), maize is the
39 most cultivated in Ghana, occupying up to 1,023,000ha on arable land compared to rice (197,000ha),
40 millet (179,000ha), sorghum (243,000ha), cassava (889,013ha), yam (204,000ha) and plantain
41 (336,000) (SRID, 2012). Currently, Ghana is net-importer of maize even though it has great potential
42 to be self-sufficient and net-exporter. Per capita consumption of maize is estimated at 44
43 kg/person/year (FAOSTAT, Feb 2013). Declining yields of maize are now observed due to decreasing
44 soil fertility and high cost of fertilizer. Over the last 2 decades, a myriad of maize varieties, cultivars
45 and hybrids have been released. These genotypes possess traits such as early maturing, drought

46 resistance, diseases and pest resistance, striga resistance, as well as additional nutritional values
47 such as quality protein, yellow and sweet corn. Grains of these genotypes possess diverse textural,
48 physical and compositional characteristics which relate differently to light, moisture and temperature
49 as well as susceptibility to pests and disease pathogens; particularly during prolonged storage. This
50 requires commensurate postharvest techniques and strategies to contain harvested surpluses. Also,
51 due to intensification and productivity increase, the need for bulk and prolonged storage has become
52 critical. This increase can be attributed to government and donor assisted projects such as providing
53 subsidies on agricultural inputs. Nonetheless, current storage methods are suited for small-holder
54 farmers requiring storage of less than 1 ton. Interventions to introduce large storage units such as
55 community warehousing, community grain banks or metal silos which can contain several tons of
56 grain is still constrained by national agricultural policies as well as low adoption from farmers.

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58 One of the challenges faced by African countries in achieving food security is high postharvest losses.
59 It has been estimated that the value of postharvest losses in sub-Saharan Africa is about US\$48
60 billion a year. In Ghana, for example, postharvest losses for maize, cassava and yam are estimated to
61 be 35%, 35% and 24%, respectively (CTA 2014).

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63 Generally, stored maize can be damaged by insect pests if they are not properly conditioned and
64 protected (Obeng-Ofori, 2008). This challenge may be exacerbated due to cropping intensification
65 and introduction of hybrid cultivars. Maize is harvested towards the cessation of rainy season and
66 stored during the drier months of the year. Maize is often stored on cob in traditional grain silos or
67 shelled into jute and polypropylene sacs with or without protection for storage. However, pest
68 infestation is a perennial constraint; the conditions favorable for grain storage are as well suitable for
69 insect pest reproduction. On-farm infestation of notorious storage pests such as larger grain borer
70 (*Prostephanustruncatus*), lesser grain borer (*Rhyzoperthadominica*), maize weevil (*Sitophiluszeamais*),
71 granary weevil (*S. granarius*) as well as mycotoxins accumulation, are a threat in grain storage.
72 Indiscriminate use of common grain protectants such as Actellic (Pirimiphos methyl), bioresmethrin
73 (pyrethroid) phostoxin and Gastox (Aluminium phosphate) is widespread among small-holder farmers
74 (Sugri, et al 2010). Most farmers acquire agro-chemicals from non-accredited input dealer without any
75 training on appropriate use. There is the need to integrate production and postharvest practices to
76 achieve quality food for consumers. Integration of good agronomic operations, pest management and
77 appropriate storage techniques to minimize pest damage is therefore very essential. This project
78 seeks to improve agricultural productivity and farm family livelihoods by deploying improved storage
79 and handling practices to reduce postharvest losses of smallholder farmers in the Upper East Region
80 of Ghana (Osei-Agyeman et al 2014).

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82 As part of activities of a project titled 'containing productivity increases of maize in Northern Ghana
83 through large-scale storage methods', a baseline study was initiated to generate relevant information
84 to describe the prevailing socioeconomic conditions in the project communities. The results of the
85 baseline study are expected to guide the implementation of the project and to serve as a data base
86 (reference point/measuring scale) against which progress can be measured. The study will also
87 measure the levels of key project indicators to inform the setting of targets. This will also help in the
88 design of the indicator performance tracking table (IPTT). Moreover, it will provide the basis for future
89 impact studies. More specifically the baseline study will; Assess crop (maize) production system in the
90 project Communities, identify maize postharvest challenges and the causal factors, inventor the
91 existing maize storage methods and rank them in order of importance, assess the level of awareness
92 of using biological control methods in maize storage, assess the willingness to adopt biological control
93 method of maize storage, and estimate the rates of adoption of existing maize storage methods
94 Determine the factors affecting adoption of improved maize storage methods

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96 **MATERIALS AND METHODS**

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98 **Study Area**

99 The Upper East Region (UER) of Ghana lies between longitude 1015'W to 005'E and stretch from
100 latitude 10030'N to 1108'N. The region lies in the Sudan savanna agro-ecology, which forms the
101 semi-arid part of Ghana. The area is part of what is sometimes referred to as interior savanna and is
102 characterized by level to gently undulating topography. Important crops include millet, sorghum,
103 maize, rice, sweet potato, groundnut, cowpea, soybean, cotton onion and tomato. The sheanut tree
104 grows wild and it is an important cash crop. It has alternating wet and dry seasons with the wet

105 season occurring between May and October during which about 95% of rainfall occurs. Maximum
106 rainfall occurs in August-September, and severe dry conditions exist between November and April
107 each year. Annual rainfall ranges from 800-1200 mm. There is wide fluctuation in relative humidity
108 with as low values as 30% in dry season and above 75% in the wet season
109 (www.ghanadistricts.com).

111 **Approach**

112 The study used different data collection methods. These included both quantitative methods
113 (questionnaires) and qualitative (participatory rural appraisal tools, focus group discussions, key
114 informants interviews) methods. Besides that, some secondary data were obtained through desktop
115 research of literature on existing studies already done on similar subjects. Semi-structured
116 questionnaire was developed and administered to multi-phase purposive and randomly selected
117 farmers within the project district to enable us obtained data from them.

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119 Focus group discussions (Chambers, Robert 1993) were carried out with randomly selected farmer
120 farmers within the project district. This was aimed at collecting qualitative data to support the data
121 gathered by the farmer questionnaire and also as a means of triangulation to ensure that the data is
122 realistic and reliable. This was guided by a pre-printed checklist tailored to meet some of the
123 information needs of this assignment.

125 **Sampling Technique**

126 The population of interest for the study included all farmers in Bawku East, Binduri and Pusiga District
127 of the Upper East Region of Ghana. The unit of study is the farmer who we define for purposes of this
128 study as an individual who lives and farm within the selected communities. A purposeful and multi-
129 stage sampling approach targeting maize producing communities and households was adopted. This
130 procedure allowed us to take a representative sample with characteristics that can be generalized for
131 the entire population which it represents.

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133 The sample size was determined using the following formula:

$$134 N = (Z^2PQ \div D^2).$$

135 Essentially three factors determine the size of the sample for a survey within a population:

136 Estimated prevalence of the variable studied – in this case, farmers in the community. The confidence
137 level aimed at the acceptable margin of error.

138 N: required size of the sample

139 Z: confidence level of 95% (standard deviation of 1.96).

140 P: estimated prevalence of farmers in the project area (80%), i.e. the proportion of the target
141 population with a given characteristic.

142 Q: 1-P.

143 D: margin of error of 5 % (standard deviation of 0.05).

$$144 N = 3.8416 \times 0.8 (0.1/0.0025) = 122$$

145 A total of 122 farmers were randomly sampled from a purposive sample of two communities in the
146 three districts of the Upper East region. The communities were selected because of their attitude to
147 farming and response to project requirement.

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149 Data was collected from farmers using structured questionnaires in a face-to-face interview.
150 Questions covered household demographics including age, household size, education and gender of
151 household members. Household assets were inventoried to include both agriculture and non-
152 agriculture assets and, crops and livestock inventories. An agricultural system module surveyed crop
153 production and agricultural land use, storage methods, post-harvest trainings, etc.

155 **RESULTS AND DISCUSSION**

157 **Demographic Information**

158 *Table 1-4* provide a summary of the demographic structure of the households sampled. In all, 42% of
159 respondents were female farmers and 58% male farmers (*Table 4*). Household structure on average
160 was made up of 7±5 individuals (*Table 2*). The mean age of household heads was 45-47 years
161 compared to their wives whose mean age was 35 to 38 years. The results also showed that migration
162 of household members was not common during the rainy season but up to 10% migrate down south

163 when agricultural activities decline. The observations indicate that most of the household heads (99%)
 164 were involved in crop production. The annual agricultural related household income for about 26% of
 165 farmers ranged from 100.00- 2,000.00 GHS as the lowest category whereas the biggest category of
 166 8100 -10,000.00 GHS constituted about 18.5% of farmers surveyed. Farmers within the income
 167 brackets of 4,000.00 – 8,000.00 constituted about 43% of farmers surveyed.

168 **Table 1: Gender of Respondents**

Gender	Frequency	Percentage
Female	50	42
Male	70	58
Total	120	100

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170 **Table 2: Composition and age of households sampled**

Description	Variable	Mean	Standard Deviation	Minimum	Maximum
Head (N = 120)	HH size	7	3	2	22
	Age (HHH)	47	14	26	78
	Age (WHH)	38	10	18	70
Partner (wife) (N = 120)	HH size	7	3	1	17
	Age (HHH)	45	14	27	75
	Age (WHH)	35	10	19	65

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172 **Table 3: Income status of households**

Income(GHS 00)	Frequency	Percentage
1-20	31	26.1
21-40	14	11.8
41-60	26	21.8
61-80	26	21.8
81-100	22	18.5
Total	119	100

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174 Majority of respondents (63%) had no formal education, only 26% had basic education and 10% had
 175 post-basic education (Table 4). Livestock rearing is considered as an occupation by very few
 176 households (1%). Majority (84.2%) of the respondents were crop farmers, 2.5% were students, a few
 177 were engaged in various forms of trade, and only 4% unemployed (Table 5).

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179 **Table 4: Educational Status of respondents**

Education level	Frequency	Percentage
None	75	63
Primary	15	13
JHS/Middle school certificate	16	13
SHS/Technical school	12	10
Non-formal	2	1
Total	120	100

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181 **Table 5: Primary Occupation of Respondents**

	Frequency	Percentage
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Student	3.0	2.5	182
Unemployed	4.0	3.3	183
Farmer	101.0	84.2	184
Teacher	1.0	8.0	185
Nurse	1.0	8.0	186
Retired	1.0	8.0	187
Self employed	5.0	4.2	188
Pastor	1.0	8	189
Kente weaving	3.0	2.5	190
Total	120.0	100.0	191
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Cropping Systems

197 Majority (89%) of respondents were engaged in crop production while a little minority were involved
 198 in animal (7%) and tree (4%) production as the main livelihood strategies (Table 6). Major livelihood
 199 crops include maize, sorghum, millet, soybean, cowpea, rice, sweet potato and vegetables (Table 7).
 200 Maize is cultivated on up to 4 acres and a maximum land size of 15 acres. The range for cowpea is 2-
 201 12 acres, while bambara beans, groundnut and sweet potato recorded the least production area of 1,
 202 2 and 2 acres, respectively.

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Table 6: Main farming systems in the study area

Farming type	Frequency	Percentage
Crop production	107	89
Tree crop Production	5	4
Livestock marketing	8	7
Total	120	100

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Table 7: Main crops and acreage of production

Crops	Acreage Mean	(Ha) Min.	Max.
Maize	4	0	15
Sorghum	1	0	4
Soyabeans	2	0	5
Cowpea	2	0	12
Vegetable	2	0	3
Pearl Millet	2	0	9
Groundnut	1	1	2
Bambarabeans	1	1	1
Sweet Potato	1	1	2
Total land size of HH	8	1	45

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Maize Post-Harvest Operations and Losses

212 In Table 8 below, 95.8% perceive high levels of post-harvest losses in recent times while 4.2 % of the
 213 respondents were adamant. The main causes of maize grain damage were insect pests (69.2%),

214 rodents (16.2%) grain moulds (6.7%), weight loss (5.7%) and loss of flavor/nutrition (1.7%). Only 1.7%
 215 of the respondents recorded no incidence of post-harvest losses and pest infestation at storage
 216 (Table 9). Dzisi et al. (2007) identified field and post-harvest losses as the most important constraints
 217 limiting maize production in Ghana. They reported losses in the field and post-harvest sectors as 5-
 218 10% and 15-20% respectively. Edusah (2006) reported losses of 15 to 30%. This supports the fact
 219 that majority of our farmers has their losses ranging from 15-25%.
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222 **Table 8: incidence and estimated maize postharvest losses under farmer storage**

Incidence of produce infestation at storage			Quantities of losses incurred (%)		
	Frequency	Percentage		Frequency	Percentage
Yes (incidence)	115	95.8	0 - 8	29	24.2
No (incidence)	5	4.2	10 – 25	67	55.8
			27 - 60	24	20
			TOTAL	120	100

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225 **Table9: Description of major causes of maize postharvest losses**

Main causes of losses	Frequency	Percentage
Insects infestation	83	69.2
Rodents	20	16.7
Grain moulds	8	6.7
Weight loss	5	4.2
Quality (taste/ aroma/colour)	2	1.7
No incidence	2	1.7
Total	120	100.0

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228 **Maize Storage Methods**

229 Table 10 describes the various storage methods used in the study area. Majority of farmers, 40% and
 230 27.3%, store maize in poly-sacs and jute sacs respectively. The use of poly-sacs has gradually
 231 replaced jute sacs due to low cost and readily availability. Though, the use of PICS sacs has recently
 232 been introduced, only few champion farmers opt for them apparently due to high initial cost. Up to
 233 16.7% of farmers store their maize for 1-4months, 64.2% store maize for 5-8months, and 17.5 store
 234 up to 12months (Table 11). Only 1.7% store maize store maize beyond 12 months confirming that
 235 they produce in small quantities for subsistence. Only small quantities 1-3bags are stored by 37.5 %
 236 of respondents and up to 37.5% store 4-10bags, only about 8.3% stored more than 25bags of maize
 237 (Table 11).
 238

239 **Table 10: Maize storage methods**

Maize storage methods	Frequency	Percentage	Ranked	Reasons for selection
Bare floor	15	12.6	3	Easy to store, affordability
Stored in jute sacs	33	27.3	2	Availability, durability,
Stored in poly-sacs	48	40.3	1	Availability, durability, low cost
Stored mud silos	10	8.4	5	Common traditional method, regulate grain use
Stored in maize ban	14	14	4	Regulates use of maize/ reduce wastage
Total	119	100		

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Poly-sacs was ranked the most preferred storage method This is supported by a study by **USAID PHHS Final Report (2012)**. The reason for that rank is that it is not expensive, readily available and durable. Jute sacs was ranked second most preferred and the reason was that it is available and durable. Bare floor, maize ban and mud silos were ranked 3rd, 4th, and 5th respectively.

Table 11: Duration of maize at storage

Duration of storage			Volume of produce stored		
Storage period	Frequency	Percentage	Bags	Frequency	Percentage
1-4 months	20	16.7	1-3bags	45	37.5
5-8 months	77	64.2	4-10bags	45	37.5
9-12 months	21	17.5	11-25bags	20	16.7
1-2 years	2	1.7	Above 25 bags	10	8.3
Total	120	100	Total	120	100

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Pest Management Strategies Adopted by Farmers

Results from focus group discussions indicated that farmers' prior knowledge on the type, severity and time of pest infestation in different commodities guided their choice of pest management. Table 12 provides a summary of approximate time of pest infestation and management options for different crops. Close 44.2% of the respondents noticed pest infestation within 1-4 months, 33.3% within 5-months, while 12.5% noticed no pest incidence. From the group discussions, over 50% of respondents alluded that, except in cowpea and bambara nuts, pest infestation occurred late at 6 months after storage. Farmers therefore applied postharvest chemicals few months after storage or when some level of infestation was noticed. Where storage was anticipated above 4 months, over 50% of farmers used some kind of protection in cowpea and bambara nuts. The use of biological control was not a familiar term; probably this control measure has not been introduced into the area. Only 1.7% of farmers resorted to the use of botanicals such as *neem* products, pepper, *mahogany* bark, *Jethropha* and other local oils. Majority use insecticidal dust (43.3%) and phostoxin (13.3%) for pest management. It was realized that only 1 respondent use ash to actually prevent pest attack. The common grain protectants were *Actellic* (Pyriphos methyl), *bioresmethrin* (pyrethroid) *phostoxin*, *Gastox* (Aluminium phosphate), *Wander77* powder.

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Table 12: Period of pest infestation and common pest management strategies

Months after storage	Frequency	Percentage
1-4	53	44.2
5-8	40	33.3

After 8	12	10
No pest incidence	15	12.5
Total	120	100
Methods of maize grain protection	Frequency	Percentage
Only drying	48	40
Botanicals (neem, mahogany etc)	2	1.7
Photoxin tablet	16	13.3
Insecticidal dust	52	43.3
No measure taken	1	0.8
use of ash	1	0.8
Total	120	100

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Farmers expressed their willingness to adopt both the poly-tank storage method and the biocontrol storage method. Those who indicated they will agree to adopt the poly-tank method were about 45% while those who strongly agreed also scored 45.5%. 55.5% of the farmers indicated they will agree to adopt the biological control method while 31.1% said they strongly agree to adopt the biological control method. From all indication the farmers are willing to adopt both the poly-tank and biological control method of maize storage in the Bawku municipality as shown in table 13 below.

Table 13: Willingness to adopt new storage techniques

I will adopt a new poly-tank storage method		
	Frequency	Percent
Strongly Disagree	1	0.8
Disagree	1	0.8
Neither agree nor disagree	9	7.5
Agree	54	45
Strongly agree	55	45.8
Total	120	100
I will adopt biocontrol storage method		
Strogly disagree	1	0.8
Disagree	2	1.7
Neither agree nor Disagree	13	10.9
Agree	66	55.5
Strongly agree	37	31.1
Total	119	100

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Conclusion and Recommendation

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In all, 42% of respondents were female farmers and 58% male farmers. Household structure on average is made up 7±5 individuals, mean age of household heads was 45-47 years compared to their wives 35 to 38 years. Majority of the household heads and their wives had no education and their primary occupation was crop production. Household wealth was largely concentrated on Livestock inventory. Maize is mostly stored in polypropylene sacs (48%) and jute sacs (33%) on raised platform in household stores. Close to 95.8% of respondents indicated that post-harvest losses during storage are critical challenges to production and household food security. The main causes of loss were insect pest (69.2%), rodents (16.2%) grain moulds (6.7%), weight loss (5.7%) and loss of flavor/nutrition (1.7%). Up to 16.7% of farmers store their maize for 1-4months, 64.2% store maize for

307 5-8months, and 17.5% store up to 12months. Only 1.7% store maize beyond 12 months; confirming
308 that they produce in small quantities for subsistence.

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310 Poly-sacs was ranked the most preferred storage method. The reason for that rank is that it is not
311 expensive, readily available and durable. Jute sacs was ranked second most preferred and the
312 reason was that it is available and durable. The idea of community storage methods is still not a
313 technology farmers may adopt; due to a myriad of socio-cultural reasons. The results of the baseline
314 study was expected to guide the implementation of the project as well as serve as reference point for
315 future impact evaluation. Overall, integrated strategies involving clean farm operations, use of
316 appropriate storage technologies and provision of improved storage structures are required to reduce
317 current losses.

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319 Major crops produced include: maize, millet, peanuts, Bambara nuts, soy beans, rice, and cassava.
320 Though some local and synthetic grain protectants were used, post-harvest losses in 1 year of storage
321 were still beyond acceptable limits. However, there was high willingness to adopt new efficient and
322 effective methods like biological control and poly-tank storage methods being introduced to them. The
323 idea of community storage methods was however still not a technology farmers may adopt; due to a
324 myriad of socio-cultural reasons. Integrated strategies involving clean farm operations, use of
325 appropriate storage technologies and provision of improved storage structures may have to be
326 adopted to reduce current losses.

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328 It is recommended that integrated strategies involving clean farm operations, use of poly-tank and
329 biological control storage technologies are used by farmers to reduce current postharvest losses in
330 the area.

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334 study.

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