Original Research Article 1 Serum ferritin and severity scores in sickle cell disease patients in Nnewi (south east 2 3 Nigeria) 4 Abstract 5 **Background:** Sickle cell disease (SCD) patients have mechanisms that are thought to protect 6 them more than apparently normal individuals from iron deficiency. However, evidence exists 7 that in SCD, hypoferritinaemia may be more prevalent than hyperferitinaemia, especially in developing countries. 8 9 **Method**: We measured serum ferritin (SF) levels- using an ELISA based kit (Biocheck, USA) and calculated disease severity in fifty- two asymptomatic steady state (ASS) SCD patients; who 10 were iron chelation naive and correlated both parameters. Erythrocyte morphology and malaria 11 parasitaemia were assessed, patients with parasitaemia were excluded. 64 apparently normal 12 individuals in the same environment and socioeconomic group were also assessed as above and 13 served as controls. Statistical analysis was done using SPSS version 20. Results were expressed 14 as means and standard error of mean. Level of significance was set at p=0.05. 15 **Results**: 30.7% and 7.6% of our test subjects had hypoferritinaemia and hyperferritinaemia 16 respectively compared to controls where 56% had hypoferritinaemia and none had 17 18 hyperferritinaemia. Erythrocyte morphology showed hypochromia and microcytosis to different degrees in all test subjects assessed: 1+(10.5%), 2+(63.2%) and 3+(26.3%), while only 5% of 19 20 controls had hypochromia and microcytosis. Blood transfusion and age did not seem to 21 significantly affect SF levels (p= 0.652 and 0.929) respectively. SF levels increased progressively with disease severity but didn't reach statistical significance (p=0.49). 22

- 23 Conclusion: Our results suggest that hypoferritinaemia is more prevalent than hyperferitinaemia,
- 24 and that SF levels may be a useful index for computing an objective severity score in SCD
- 25 management. Anaemia of chronic inflammation may cause a significant part of the anaemia in
- 26 SCD.

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- **Key words:** serum ferritin, sickle cell disease, severity score, iron deficiency,
- 28 hypochromic microcytic anemia

Introduction

- 30 Sickle cell disease (SCD) patients are known to absorb more iron from the gut than normal
 31 individuals because of intravascular hemolysis and increased loss of iron [1]. Also because of
- or marriadas because of induvascular hemorysis and increased 1055 of from [1]. This because of
- 32 extravascular hemolysis, they are thought to recycle iron that should have been lost from
- hemolysis. The expectation is that the above mechanisms acting together should protect the SCD
- individual from iron deficiency [2]. This informs the reluctance to give iron to this group of
- 35 patients. Some lines of evidence have however shown that iron deficiency may be more than
- expected in SCD patients [3,4]. This potential is increased in developing countries where dietary
- iron is low(5;6). Hence we undertook to screen for iron deficiency in our asymptomatic steady
- 38 state (ASS) sickle cell disease (SCD) patients by measuring their serum ferritin (SF) levels as
- 39 this can have implications for their management. We also correlated their SF levels to objective
- 40 severity scores, since increased levels of iron can add to the oxidative stress already present in
- 41 this disease(7).
- 42 Method
- 43 **Patient selection**

44	Fifty-two ASS SCD patients comprising thirty males and twenty- two females, ,-who had never				
45	had iron chelation therapy, were randomly selected from our sickle cell clinic and out stations.				
46	ASS was defined as patients who had not experienced crisis or had any febrile illness in the last				
47	two weeks and had not been transfused in the last three months. Written and ethical consent				
48	were obtained from the patents or their care givers and the hospital ethics committee				
49	respectively. Other data obtained were phenotypic and demographic. Data such as age, sex,				
50	frequency of crises, time of last crisis and complications such as priapism, ankle ulcers, stroke,				
51	avascular necrosis of any bone, especially the femoral head and any other condition complicating				
52	the disease. Most of these patients were on routine drugs such as folic acid, antimalarial				
53	prophylaxis, and vitamin supplements.				
54	Sixty-three apparently healthy individuals from the same community and social economic group				
55	were selected as controls. Those that had any chronic disease, raised C-reactive protein (CRP),				
56	had taken iron medications in the last six months, or in the last one month prior to recruitment,				
57	had fever of felt unwell were excluded from the study.				
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59	Disease severity				
60	Disease severity was determined by calculating an objective score using a modification of the				
61	method described by Hedo et al (8). The following characteristics were assigned points:				
62	Hemoglobin concentration, complications, and white cell count.				
63	Scores of ≤ 3 were deemed mild disease. Scores of $3 \geq 5$ were considered moderate disease,				
64	while scores > 5 were taken for severe disease.				
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Sample collection and laboratory analysis

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67	Five (5) mls of blood was collected, 2 mls were dispensed into tubes containing Ethylene
68	DiamineTetraacetic Acid (EDTA) for identification of malaria parasite (MP) by microscopy;
69	using thick films stained with Giemsa. The same sample was used to prepare thin films, stained
70	with Leishman, for examination of erythrocyte morphology. The remaining were dispensed into
71	plain tubes for the determination of serum ferritin (SF) and CRP (for control subjects). SF levels
72	were assayed using commercially available kits (Biocheck, USA). This assay was based on
73	Enzyme Linked Immunoabsorbent Assay; the manufacturer suggested 20-250ng/ml, 10-
74	120ng/ml and 7-140ng/ml as normals for male, female and children (6 months to 15 years)
75	respectively. Serum CRP was assayed using CRP latex kits produced by BIOSYSTEMS® Inc
76	according to manufacturer's instructions. All subjects that were MP positive were excluded (9).
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78	Statistical Analysis
79	Data obtained was analyzed using the Statistical Package for Social Sciences software package
80	version 20 (SPSS Inc., IL, Chicago, USA). Values obtained were tabulated by age and sex and
81	expressed as means and standard error of mean. The chi square or Mann Whitney U tests were
82	used to compare frequencies and generate p values - depending on whether the data was skewed
83	or not. Pearson's or Spearman's correlation tests were used to determine correlation between
84	variables. P value less than 0.05 were considered significant.
85	Results
86	For SCD subjects, the mean±SD and age range were 20.52±10.50 years, and 4-47 years
87	respectively; while for controls they were 24.08±11.19 and 5-47 respectively. The difference
88	between the mean ages for test and control subjects was not statistically significant. Mean±SD
89	SF levels for test and control subjects were 77.22 ±14.16 ng/ml and 22.95 ±4.32 ng/ml

respectively. The difference was statistically significant ($p = 0.001$). The range of SF in our SCL
subjects was 8.2-519.2ng/ml, median value was 48.3ng/ml; 30.7% had hypoferritinaemia, while
7.6% had hyperferritinaemia. For controls, range of SF was 0-170ng/ml, median and modal
values were 13.5ng/ml and 2.7ng/ml respectively; 56% had hypoferritinaemia, while none had
hyperferritinaemia. The mean SF value for different age groups among test and control subjects
did not show any statistical difference p=0.93 and 0.3 respectively (table 1).
The range, mean and median values of blood pints transfused for SCD subjects were 0-40, 2.8
and 1 respectively. There didn't seem to be any statistically significant difference in the ferritin
levels of SCD subjects that were transfused compared to those who were not transfused p=0.65
(table 2). Samples of all SCD subjects randomly chosen (nineteen) for assessment of erythrocyte
morphology showed hypochromia, and microcytosis to different degrees. These were 1+
(10.5%), 2+ (63.2%) and 3+ (26.3%). Of 56 control samples randomly chosen for assessment of
erythrocyte morphology, 5 (9%) showed hypochromia and microcytosis; while 51 (91%) had
normochromic, normocytic cells. Mean ferritin levels of SCD subjects, didn't correlate with any
of the following variables: severity score, number of blood units transfused, hypochromia, age a
which menarche occurred, number of complications or average number of crisis the subject had
per year (p=0.867, 0.286, 0.124, 0.359, 0.124 and 0.456 respectively) table 3. The trend was
that mean SF levels increased with degree of disease severity in SCD subjects (figure 1). This
trend however didn't reach statistical significance p=0.49

Discussion

Our result clearly showed that in the test subjects, hypoferritinaemia was more prevalent than hyperferritinaemia. SF levels didn't correlate with level of erythrocyte hypochromia, number of

blood units transfused or disease severity; although the trend was that it seemed to increase as disease severity worsened. Control subjects had a higher level of hypoferritinaemia compared to test subjects and none had hyperferritinaemia.

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The pathophysiology of SCD having intravascular and extravascular hemolysis and increased frequency of transfusion as part of it makes them prone to hyperferritinaemia. However, many workers, especially in developing countries, have shown evidence that hypoferritinaemia is more prevalent in this group of patients than hyperferritinaemia (4). This apparent contradiction may be due to the following reasons. Iron excretion in SCD patients have been found to be abnormally high when compared to normal subjects or those with sickle cell trait and this has been linked to extravascular hemolysis in this group of patients (10). In developing countries iron deficiency is wide spread mainly because of dietary lack made worse by a high burden of parasites such as hook worm which are usually common(6). This is evidenced by the low SF levels found in our control subjects, 56% of them had hypoferritinaemia. The above, combined with the fact that there are high levels of inflammatory cytokines in SCD;- since it is associated with a chronic inflammatory state even in SAA (11)- [c- reactive protein & disease outcomes] which cause a compartmentalization of iron in such a way that this element is not as available for erythropoiesis as in normal subjects, may explain our findings of microcytosis and hypochromia even when ferritin levels may be within the normal reference range or higher (12;13). There is evidence that microcytosis is an unreliable indicator of HbS/thallasaemia syndrones in the absence of conclusive family studies and or presence of HbA on electrophoresis (14). The likely causes of microcytosis and hypochromia in SCD subjects are: HbS/thallasaemia syndrones, iron deficiency (14) and chronic inflammation (as explained above) (15); of all these,

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our findings seem to suggest that the most prevalent mechanism in our data set (Nigerian SCD) patients) is through chronic inflammation. So, although, the anaemia of SCD, in the ASS is primarily caused by haemolysis, an important component is the anaemia of chronic inflammation. To the best of our knowledge, we are the first to make this statement and show evidence for it. Since SCD subjects have significantly higher levels of SF compared to controls, yet erythrocyte morphology showed microcytosis and hypochromia for every sample assessed compared to controls where only 9% of samples assessed showed microcytosis and hypochromia. Therefore iron deficiency is unlikely to be the main mechanism here. The prevalence of alpha thalassemia among SCD patients in Nigeria is 0.24 and is the same with the non SCD population (AA, AS, AC genotypes) (16) this therefore as a mechanism is unlikely to cause any difference in prevalence of microcytosis and hypochromia between test and control subjects. SCD is associated with a chronic inflammatory state and because SF is an acute phase protein, judging hypoferritinaemia using reference values for normal subjects may not be appropriate. Koduri PR in his review suggested a value of <30ng/ul as more likely to be diagnostic for iron deficiency in SCD patents (12). This is the value we have used to define hypoferritinaemia for SCD patients in this work.

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In our data set, we also found that number of blood units transfused over the test subjects life time didn't correlate with SF levels neither was there any significant difference in the SF levels of test subjects who were or were not transfused. This agrees with the work of Harmatz et al who showed that SF did not correlate with months of transfusion or tissue iron stores in their cohort

159	of SCD patients, they thus concluded that SF was a poor marker for accurately assessing iron
160	overload in SCD patients.(17); especially with SF levels of < 1500 ng/ml (18). An additional
161	explanation for our finding is the fact that we used the total life time transfusion (TLT) records.
162	Over time, urinary (and other sources) of iron loss in our test subjects may reduce tissue iron
163	levels significantly such that it is unlikely to correlate with TLT levels. The authors also propose
164	that the insignificant difference between transfused and non-transfused subjects may be for this
165	same reason. There is evidence that using transfusion rate (TR) -TLT/ years receiving
166	transfusion- would show a significant correlation between SF and number of pints
167	transfused(19).
168	SF levels in our subjects increased with increasing disease severity, although this did not reach
169	significant levels.(fig.1). Evidence exists that iron overload seems to be a predisposing factor for
170	disease severity(20). The mechanism that has been proposed for this has to do with increasing
171	levels of non-transferrin bound iron (NTBI) as transferrin gets saturated with increasing
172	transfusions. NTBI and a subset of it, labile plasma iron (LPI) seem to enter the cell in a
173	deregulated fashion and cause organ damage secondary to its high redox potential (7;21).
174	Interestingly, in disorders of iron metabolism, NTBI can appear in plasma in the absence of
175	transferrin saturation. The mechanism by which this happens is not known (Breuer et al, 2000).
176	The authors propose that SF may then be useful as one of the indices that can contribute to
177	calculating an objective score of SCD severity and should be assessed as part of routine
178	management of these patients.
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180	In conclusion, in our cohort of SCD patients, association with a chronic inflammatory state,
181	which interferes with the release of iron to erythropoietic cells from iron stores seem to be the

main mechanism by which microcytosis and hypochromia develop as compared to HbS/
thallasaemia syndrones and iron depletion. Therefore caution should be exercised in giving
therapeutic iron to this group of patients. Iron deficiency should be proved by using other
methods of evaluation before iron can be safely given. Although SF only, especially at levels <
1500 ng/ml, may not be a good index to monitor iron overload; it may be an important index that
needs to be routinely assessed in the management of SCD. Clearly, more work with a larger
cohort of patients' needs to be done in our clime to confirm our findings.

The limitations to this work are that, although the association of SCD with chronic inflammation
is well established, markers of inflammation could have been done in our test subjects to see how
well their levels correlate with degree of microcytosis and hypochromia in them.

Table 1. Mean of Serum Ferritin levels in different age groups among SCD patients and controls

Groups		Age categories	N	Mean	Std. Error Mean	P-value
Control	Ferritin	<15 years	14	14.04	3.30	0.295
		>15 years	49	25.30	5.35	
SCD	Ferritin	<15 years	12	81.83	30.27	0.870
		>15 years	40	76.15	16.63	

Table 2. Mean Serum Ferritin values in transfused and non-transfused SCD subjects

Groups	N	Ferritin (ng/ul)	Mann-Whitney Test
		(Mean± SEM)	
Transfused	29	91.76 ± 24.58	0.652
Not transfused	23	58.89 ± 17.31	

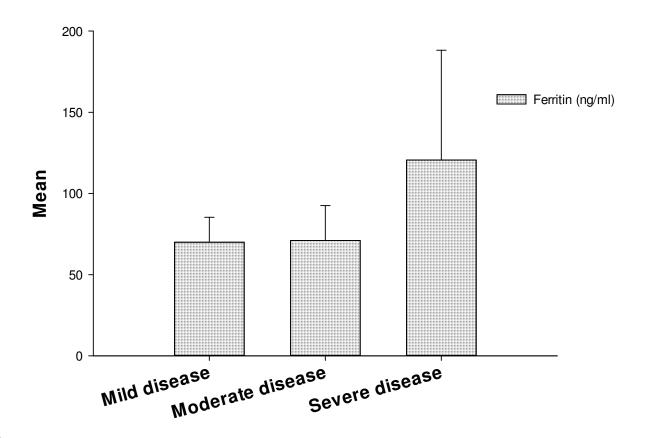
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Table 3. Correlation of severity score and other parameters with ferritin in SCD subjects

Parameters	Pearson's correlation	P-value
Ferritin vs number of unit transfused	0.024	0.867
Ferritin vs average number of crisis/yr	0.106	0.456
Ferritin vs age of Menarche	-0.230	0.359
Ferritin vs level of Hypochromia	0.309	0.124
Ferritin vs number of SCD complication	-0.069	0.124
Severity score vs Serum ferritin	0.151	0.286

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Fig.1 bar chart showing degrees of disease severity against mean serum ferritin levels in

SCD subjects

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