1	Original Research Article
2	Serum ferritin and severity scores in sickle cell disease patients in Nnewi (south east
3	Nigeria)
4	Abstract
5	Background: Sickle cell disease (SCD) patients have mechanisms that are thought to protect
6	them more than apparently normal individuals from iron deficiency. However, evidence exists
7	that in SCD, hypoferritinaemia may be more prevalent than hyperferitinaemia, especially in
8	developing countries.
9	Method: Serum ferritin (SF) levels was measured - using an ELISA based kit (Biocheck, USA)
10	and calculated disease severity in fifty- two asymptomatic steady state (ASS) SCD patients; who
11	were iron chelation naive and correlated both parameters. Erythrocyte morphology and malaria
12	parasitaemia were assessed, patients with parasitaemia were excluded. 64 apparently normal
13	individuals in the same environment and socioeconomic group were also assessed as above and
14	served as controls. Statistical analysis was done using SPSS version 20. Results were expressed
15	as means and standard error of mean. Level of significance was set at $p=0.05$.
16	Results : 30.7% and 7.6% of the test subjects had hypoferritinaemia and hyperferritinaemia
17	respectively compared to controls where 56% had hypoferritinaemia and none had
18	hyperferritinaemia. Erythrocyte morphology showed hypochromia and microcytosis to different
19	degrees in all test subjects assessed: $1+(10.5\%)$, $2+(63.2\%)$ and $3+(26.3\%)$, while only 5% of
20	controls had hypochromia and microcytosis . Blood transfusion and age did not seem to
21	significantly affect SF levels (p= 0.652 and 0.929) respectively. SF levels increased
22	progressively with disease severity but didn't reach statistical significance (p=0.49).

Conclusion: The results suggest that hypoferritinaemia is more prevalent than hyperferitinaemia,
and that SF levels may be a useful index for computing an objective severity score in SCD
management. Anaemia of chronic inflammation may cause a significant part of the anaemia in
SCD.

27 Key words: serum ferritin, sickle cell disease, severity score, iron deficiency,
28 hypochromic microcytic anemia

29 Introduction

Sickle cell disease (SCD) patients are known to absorb more iron from the gut than normal 30 31 individuals because of intravascular hemolysis and increased loss of iron [1]. Also because of extravascular hemolysis, they are thought to recycle iron that should have been lost from 32 33 hemolysis. The expectation is that the above mechanisms acting together should protect the SCD individual from iron deficiency [2]. This informs the reluctance to give iron to this group of 34 patients. Some lines of evidence have however shown that iron deficiency may be more than 35 expected in SCD patients [3,4]. This potential is increased in developing countries where dietary 36 iron is low(5;6). Hence the need to screen for iron deficiency in asymptomatic steady state (ASS) 37 38 sickle cell disease (SCD) patients by measuring their serum ferritin (SF) levels as this can have 39 implications for their management. Objective severity scores were also correlated with their SF 40 levels, since increased levels of iron can add to the oxidative stress already present in this disease(7). 41

42 Method

43 **Patient selection**

A one year study including fifty-two ASS SCD patients comprising thirty males and twenty- two 44 females, -who had never had iron chelation therapy, were randomly selected from the sickle cell 45 clinic and out stations of Nnamdi Azikiwe University Teaching Hospital. ASS was defined as 46 patients who had not experienced crisis or had any febrile illness in the last two weeks and had 47 not been transfused in the last three months. Written and ethical consent were obtained from the 48 patents or their care givers and the hospital ethics committee respectively. Other data obtained by 49 questionnaire were phenotypic and demographic. Data such as age, sex, frequency of crises, time 50 of last crisis and complications such as priapism, ankle ulcers, stroke, avascular necrosis of any 51 bone, especially the femoral head and any other condition complicating the disease. Most of 52 these patients were on routine drugs such as folic acid, antimalarial prophylaxis, and vitamin 53 supplements. 54 Sixty-three apparently healthy individuals from the same community and social economic group 55 were selected as controls. Those that had any chronic disease, raised C-reactive protein (CRP), 56 had taken iron medications in the last six months, or in the last one month prior to recruitment, 57 had fever of felt unwell were excluded from the study. 58 59 60 **Disease severity** Disease severity was determined by calculating an objective score using a modification of the 61 method described by Hedo et al (8). The following characteristics were assigned points: 62 Hemoglobin concentrations, $\geq 10g/dl$; $\geq 8g/d$ to < 10g/dl; ≥ 6 to < 8g/dl; ≥ 4 to < 6g/dl and <63 4g/dl score 0, 1, 2, 3 and 4 respectively. Complications score 1 for each. White cell count, $<11 \times$ 64 $10^{3}/\mu$; ≥ 11 to $<15 \times 10^{3}/\mu$; ≥ 15 to $<20 \times 10^{3}/\mu$; $\geq 20 \times 10^{3}/\mu$ score 0, 1, 2, 3 and 4 65

- 66 respectively. Scores of ≤ 3 were deemed mild disease; scores of $3 \geq 5$ were considered moderate 67 disease, while scores > 5 were taken for severe disease.
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69 Sample collection and laboratory analysis

Five (5) mls of blood was collected, 2 mls were dispensed into tubes containing Ethylene

71 DiamineTetraacetic Acid (EDTA) for identification of malaria parasite (MP) by microscopy;

vising thick films stained with Giemsa. The same sample was used to prepare thin films, stained

vith Leishman, for examination of erythrocyte morphology. The remaining was dispensed into

74 plain tubes for the determination of serum ferritin (SF) and CRP (for control subjects). SF levels

vere assayed using commercially available kits (Biocheck, USA). This assay was based on

76 Enzyme Linked Immunoabsorbent Assay; the manufacturer suggested 20-250ng/ml, 10-

120ng/ml and 7-140ng/ml as normal values for male, female and children (6 months to 15 years)

respectively. Hypoferritinaemia for subjects was defined as <30ng/ul while hyperferritinaemia

79 was taken as greater than the higher value for normal in the age and sex bracket. Serum CRP was

80 assayed using CRP latex kits produced by BIOSYSTEMS[®] Inc according to manufacturer's

81 instructions. All subjects that were MP positive were excluded (9).

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83 Statistical Analysis

Data obtained was analyzed using the Statistical Package for Social Sciences software package version 20 (SPSS Inc., IL, Chicago, USA). Values obtained were tabulated by age and sex and expressed as means and standard error of mean. The chi square or Mann Whitney U tests were used to compare frequencies and generate p values - depending on whether the data was skewed or not. Pearson's or Spearman's correlation tests were used to determine correlation between
variables. P value less than 0.05 were considered significant.

90 **Results**

91	For SCD subjects, the mean±SD and age range were 20.52±10.50 years, and 4-47 years
92	respectively; while for controls they were 24.08 ± 11.19 and 5-47 respectively. The difference
93	between the mean ages for test and control subjects was not statistically significant. Mean±SD
94	SF levels for test and control subjects were 77.22 \pm 14.16 ng/ml and 22.95 \pm 4.32 ng/ml
95	respectively. The difference was statistically significant ($p = 0.001$). The range of SF in the SCD
96	subjects was 8.2-519.2ng/ml, median value was 48.3ng/ml; 30.7% had hypoferritinaemia, while
97	7.6% had hyperferritinaemia. For controls, range of SF was 0-170ng/ml, median and modal
98	values were 13.5ng/ml and 2.7ng/ml respectively; 56% had hypoferritinaemia, while none had
99	hyperferritinaemia. The mean SF value for different age groups among test and control subjects
100	did not show any statistical difference p=0.93 and 0.3 respectively (table 1).
101	The range, mean and median values of blood pints transfused for SCD subjects were 0-40, 2.8
102	and 1 respectively. There was no statistically significant difference in the ferritin levels of SCD
	and Trespectively. There was no statistically significant difference in the ferrain levels of Sed
103	subjects that were transfused compared to those who were not transfused $p=0.65$ (table 2).
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per year (p=0.867, 0.286, 0.124, 0.359, 0.124 and 0.456 respectively) table 3. The trend was
that mean SF levels increased with degree of disease severity in SCD subjects (figure 1). This
trend however didn't reach statistical significance p=0.49

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115 **Discussion**

The result clearly showed that in the test subjects, hypoferritinaemia was more prevalent than hyperferritinaemia. SF levels didn't correlate with level of erythrocyte hypochromia, number of blood units transfused or disease severity; although the trend was that it seemed to increase as disease severity worsened. Control subjects had a higher level of hypoferritinaemia compared to test subjects and none had hyperferritinaemia.

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122 The pathophysiology of SCD having intravascular and extravascular hemolysis and increased frequency of transfusion as part of it makes them prone to hyperferritinaemia. However, many 123 workers, especially in developing countries, have shown evidence that hypoferritinaemia is more 124 125 prevalent in this group of patients than hyperferritinaemia (4). This apparent contradiction may be due to the following reasons. Iron excretion in SCD patients have been found to be 126 abnormally high when compared to normal subjects or those with sickle cell trait and this has 127 been linked to extravascular hemolysis in this group of patients (10). In developing countries 128 iron deficiency is wide spread mainly because of dietary lack made worse by a high burden of 129 parasites such as hook worm which are usually common(6). This is evidenced by the low SF 130 levels found in the control subjects, 56% of them had hypoferritinaemia. The above, combined 131 with the fact that there are high levels of inflammatory cytokines in SCD;- since it is associated 132 with a chronic inflammatory state even in ASS (11) which cause a compartmentalization of iron 133

134 in such a way that this element is not as available for erythropoiesis as in normal subjects, may explain the findings of microcytosis and hypochromia even when ferritin levels may be within 135 the normal reference range or higher (12;13). There is evidence that microcytosis is an unreliable 136 indicator of HbS/thallasaemia syndrones in the absence of conclusive family studies and or 137 presence of HbA on electrophoresis (14). 138 The likely causes of microcytosis and hypochromia in SCD subjects are: HbS/thallasaemia 139 syndrones, iron deficiency (14) and chronic inflammation (as explained above) (15); of all these, 140 findings in this work seem to suggest that the most prevalent mechanism in this data set 141 (Nigerian SCD patients) is through chronic inflammation. So, although, the anaemia of SCD, in 142 the ASS is primarily caused by haemolysis, an important component is the anaemia of chronic 143 inflammation. To the best of our knowledge, we are the first to make this statement and show 144 145 evidence for it. Since SCD subjects have significantly higher levels of SF compared to controls, yet erythrocyte morphology showed microcytosis and hypochromia for every sample assessed 146 compared to controls where only 9% of samples assessed showed microcytosis and 147 hypochromia. Therefore iron deficiency is unlikely to be the main mechanism here. The 148 prevalence of alpha thalassemia among SCD patients in Nigeria is 0.24 and is the same with the 149 non SCD population (AA, AS, AC genotypes) (16) this therefore as a mechanism is unlikely to 150 cause any difference in prevalence of microcytosis and hypochromia between test and control 151 152 subjects.

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SCD is associated with a chronic inflammatory state and because SF is an acute phase protein,
judging hypoferritinaemia using reference values for normal subjects may not be appropriate.
Koduri PR in his review suggested a value of <30ng/ul as more likely to be diagnostic for iron

deficiency in SCD patents (12). This is the value used to define hypoferritinaemia for SCD
patients in this work.

In this data set, it was also found that number of blood units transfused over the test subjects life 160 time didn't correlate with SF levels neither was there any significant difference in the SF levels 161 of test subjects who were or were not transfused. This agrees with the work of Harmatz et al who 162 showed that SF did not correlate with months of transfusion or tissue iron stores in their cohort 163 of SCD patients, they thus concluded that SF was a poor marker for accurately assessing iron 164 overload in SCD patients.(17); especially with SF levels of < 1500 ng/ml (18). An additional 165 explanation for this finding is the fact that we used the total life time transfusion (TLT) records. 166 Over time, urinary (and other sources) of iron loss in the test subjects may reduce tissue iron 167 168 levels significantly such that it is unlikely to correlate with TLT levels. The authors also propose that the insignificant difference between transfused and non-transfused subjects may be for this 169 same reason. There is evidence that using transfusion rate (TR) -TLT/ years receiving 170 171 transfusion- would show a significant correlation between SF and number of pints transfused(19). 172 SF levels in the subjects increased with increasing disease severity, although this did not reach 173 significant levels.(fig.1). Evidence exists that iron overload seems to be a predisposing factor for 174 disease severity(20). The mechanism that has been proposed for this has to do with increasing 175 levels of non-transferrin bound iron (NTBI) as transferrin gets saturated with increasing 176 transfusions. NTBI and a subset of it, labile plasma iron (LPI) seem to enter the cell in a 177 deregulated fashion and cause organ damage secondary to its high redox potential (7;21). 178

Interestingly, in disorders of iron metabolism, NTBI can appear in plasma in the absence of
transferrin saturation. The mechanism by which this happens is not known (22). The authors
propose that SF may then be useful as one of the indices that can contribute to calculating an
objective score of SCD severity and should be assessed as part of routine management of these
patients.

In conclusion, in this cohort of SCD patients, association with a chronic inflammatory state,

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which interferes with the release of iron to erythropoietic cells from iron stores seem to be the 186 main mechanism by which microcytosis and hypochromia develop as compared to HbS/ 187 thallasaemia syndrones and iron depletion. Therefore caution should be exercised in giving 188 therapeutic iron to this group of patients. Iron deficiency should be proved by using other 189 190 methods of evaluation before iron can be safely given. Although SF only, especially at levels < 1500 ng/ml, may not be a good index to monitor iron overload; it may be an important index that 191 needs to be routinely assessed in the management of SCD. Clearly, more work with a larger 192 193 cohort of patients' needs to be done in our clime to corroborate these findings. The limitations to this work are that, although the association of SCD with chronic inflammation 194 is well established, markers of inflammation could have been done in the test subjects to see how 195 well their levels correlate with degree of microcytosis and hypochromia in them. 196

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Groups		Age categories	N	Mean	Std. Error Mean	P-value
Control	Ferritin	<15 years	14	14.04	3.30	0.295
		>15 years	49	25.30	5.35	
SCD	Ferritin	<15 years	12	81.83	30.27	0.870
		>15 years	40	76.15	16.63	

Table 1. Mean of Serum Ferritin levels in different age groups among SCD patients and controls

213 Table 2. Mean Serum Ferritin values in transfused and non-transfused SCD subjects

	Ν	Ferritin (ng/ul)	Mann-Whitney Test
		(Mean± SEM)	
Transfused	29	91.76 ± 24.58	0.652
Not transfused	23	58.89 ± 17.31	

227 Table 3. Correlation of severity score and other parameters with ferritin in SCD subjects

Parameters	Pearson's correlation	P-value
Ferritin vs number of unit transfused	0.024	0.867
Ferritin vs average number of crisis/yr	0.106	0.456
Ferritin vs age of Menarche	-0.230	0.359
Ferritin vs level of Hypochromia	0.309	0.124
Ferritin vs number of SCD complication	-0.069	0.124
Severity score vs Serum ferritin	0.151	0.286

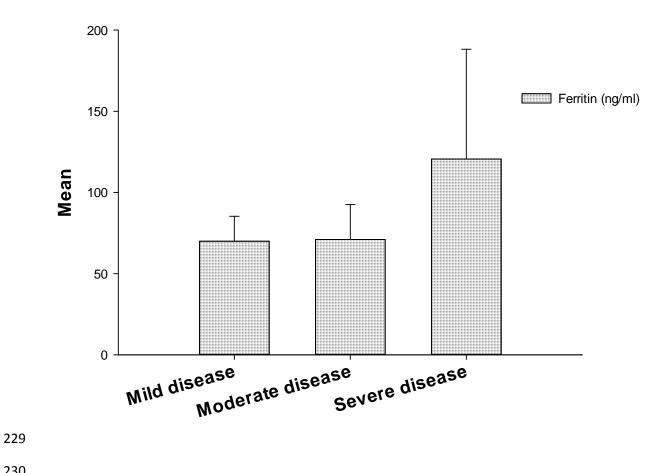


Fig.1 bar chart showing degrees of disease severity against mean serum ferritin levels in

- SCD subjects

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