

Solid state characterization and effect of PEG 20000 and lecithin on particle size reduction and stability of complexed glibenclamide nanocrystals

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ABSTRACT

Aims: To formulate and characterize GLB-PEG-LEC NCs (lecithin complexed Glibenclamide nanocrystals) and to analyze the effect of PEG 20000 and lecithin on drug properties, particle size reduction and stability of GLB NCs.

Study design: Precipitated (GLB-PEG) and complexed nanocrystals (GLB-PEG-LEC) of glibenclamide were characterized for particle size, size distribution, zeta potential and stability assessment using photon correlation spectroscopy. The crystallinity was analyzed by X-ray powder diffraction spectroscopy and differential scanning calorimetry. The chemical stability was assessed by means of infrared spectroscopy and surface morphology by scanning electron microscopy.

Place and Duration of Study: Asian Institute of Medicine Science and Technology, Malaysia, between May 2102 and June 2013.

Methodology: GLB-PEG NCs were prepared by precipitation technique using PEG 20000 and complexed by soybean lecithin. The effect of lecithin in particle size reduction, change in crystallinity, stability and surface properties of NCs were analyzed and compared with pure glibenclamide (GLB) and precipitated NCs. The formulations were optimized and its stability was assessed during a 3 month period.

Results: Pure GLB exhibited an average particle size of 1551 nm. The average particle size of precipitated NCs was between 236 - 7000 nm, while that of complexed NCs was between 155 - 842 nm. The particle size of NC was found to decrease, whereas its zeta potential was found to increase after complexation. DSC studies showed no change in crystalline structure. PXRD studies proved that crystallinity was maintained in NCs. SEM analysis showed presence of spherical shape particles with a lipid coat on the surface after complexation. Stability studies revealed no change in particle size during 3 month period. FTIR studies showed the compatibility of excipients with the drug.

Conclusion: These results show that lecithin complexed GLB NCs could be utilized as promising carriers in development of various formulations due to its high stability and decreased particle size.

Keywords: Nanocrystals, complexation, lecithin, stability, particle size, precipitation

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16 **1. INTRODUCTION**

17

18 Nanotechnology has emerged as a pivotal area of research and it may affect our lives
19 tremendously over the next decade in every field, including medicine and pharmacy [1]. In
20 medicine and pharmaceuticals, nanotechnology is used to improve human health at molecular
21 level and is applied in development of nanoparticulate systems [2]. Although
22 nanoformulations are clinically approved in the past decade, major problems like loss in
23 functional properties and poor stability inhibit their wide spread adoption.

24 The drug delivery efficiency of a nanoformulation depends on various factors like its type,
25 particle size, surface properties and stability of the particles in medium. Ideally a successful
26 nanoformulation should have high drug loading capacity, considerable drug release and
27 better stability [3]. During the process of formulation, the particle size, shape, surface
28 properties and composition of nanocarriers need to be precisely controlled and their effects
29 on pharmacokinetics and pharmacodynamics profiles need to be clearly elucidated [4]. Also,
30 for effective utilization of nanoformulations, its comprehensive structure - function
31 relationships between the nanoparticle structure and pharmacological properties need to be
32 fully established [5]. These characterizations are emerging as new focus for assessing the
33 safety and effectiveness of various nanoformulations.

34 Nanoformulations include nanocrystals, carbon nanotubes, fullerenes, polymeric micelles,
35 nanosuspensions and nanoemulsions, and they are generally prepared by top down or
36 bottom up approach [6]. Compared to all nanoformulations, nanocrystals are considered to
37 be the least complex and are developed by precipitation or nanonization process.
38 Nanocrystals (NCs) contain 100% drug with no carriers, offer excellent solubility and can
39 solve the issues associated with poor solubility of a drug [7]. Nanonization or nanosizing
40 techniques reduce the particle size and increase the surface area-to volume ratio of drugs
41 thereby offering higher rate of drug dissolution [8]. The particle size reduction process of
42 NCs depends upon the type of polymers, surfactants, stabilizers and the milling method [9].

43 NCs possess major limitations like crystal growth (aggregation) on contact with fluids or
44 electrolytes, and loss in its functional properties [10]. A strategy to overcome the limitations
45 is to alter the surface properties of NCs by attaching ligands to them or by increasing its
46 stealthiness by complexation [11]. This approach could decrease particle aggregation,
47 improve *in vivo* stability and could provide a more complete and consistent absorption
48 profiles similar to solid lipid nanoparticles (SLN) [12].

49 During the production process of NCs, real time monitoring of immediate NCs and
50 assurance tests for final product are necessary. This could help in development of a stable
51 formulation and the drugs could be delivered safely and efficiently at a particular site with
52 improved bioavailability. Solid state characterization studies could provide useful information
53 about the properties of NCs. Parameters like particle size, zeta potential, size distribution,
54 surface morphology, crystallinity and aggregation need to be controlled precisely as they
55 may affect the absorption, distribution, metabolism, excretion (ADME) and toxicity of
56 nanoformulation [13]. The above properties can be analyzed using Photon Correlation
57 Spectroscopy, Powder X-ray Diffractometry (PXRD), Differential Scanning Calorimetry
58 (DSC), Infrared Spectroscopy (FTIR) and Scanning Electron Microscope (SEM).

59 Glibenclamide (GLB) is a second generation oral hypoglycemic agent (BCS Class II drug),
60 with high permeability, low aqueous solubility ($\sim 38 \mu\text{mol L}^{-1}$ at 37°C) and poor dissolution
61 rate [14,15]. GLB is also a drug of choice for long term therapy for diabetes mellitus and it
62 requires a rapid GI absorption, to prevent a sudden increase in the blood glucose level after
63 food intake [16]. The objective of the present study is to formulate and characterize the
64 properties of GLB NCs by various techniques. NCs were developed by precipitation process

65 using PEG 20000 and stabilized (complexed) by means of soybean lecithin. PEG20000 was
66 used in the study as it is non toxic, applicable to drug carriers and is also used in solubility
67 enhancement studies [17]. The effect of PEG 20000 and lecithin on particle size reduction
68 and change in crystallinity of NCs were also assessed. Solid state characterization studies
69 facilitate in development of a stable formulation with fewer drugs - excipient interactions and
70 enable to design a formulation with improved therapeutic efficiency.

71

72 **2. MATERIAL AND METHODS**

73 **2.1 Materials**

74 Glibenclamide sample was obtained from S.D Biomed (Malaysia). PEG 20000 and soybean
75 lecithin was procured from Sigma Aldrich (Malaysia). Acetone, Tween 80, sodium dodecyl
76 sulphate, polysorbate 80, dichloromethane and methanol were purchased from R and M
77 Chemicals, (Malaysia). Deionized water was obtained from Millipore, MilliQ-Plus. All the
78 other solvents and reagents used were of Anala R grade.

79

80 **2.2 Methods**

81 **2.2.1 Preparation of GLB-PEG NCs**

82

83 GLB (5% w/w) was dissolved in a solvent mixture of acetone and methanol (2:1). PEG
84 20000 at different drug-polymer ratio (1:1, 1:2, 1:4, 1:8 and 2:1) was added to the drug
85 solution and stirred using a magnetic stirrer (Erla- EMS H7000) at a temperature not
86 exceeding 60°C. The drug-polymer solution was injected slowly (1 ml/min) into an aqueous
87 phase containing Tween 80 (3% w/v) as stabilizer with mechanical stirring (400 rpm)
88 overnight at room temperature to precipitate NCs. The volume (80 ml) was adjusted to 100
89 ml using double distilled water. The solution was gently heated (65°C) with magnetic stirring
90 (Erla- EMS H7000, Korea) for 30 min to remove the organic solvent. Later, the contents
91 were centrifuged (Heraeus - Labofuge 200, Germany) at 5000 rpm for 20 min to separate
92 the NCs. The clear supernatant liquid was discarded, and the thick viscous dispersion was
93 collected. The dispersion was further suspended in 15 ml of distilled water and recentrifuged
94 (Hitachi - CT15E, Indonesia) at 20000 rpm for 10 min to remove the impurities and the
95 residual surfactants. The NCs were recovered using a vacuum filter (Kontes Ultra ware - 0.2
96 µm, USA) and dried in a hot air oven (Mettler - UF110, Germany) at 35°C for 20 min. The
97 procedure was repeated to prepare different batches [18].

98

99 **2.2.2 Complexation of GLB-PEG NCs**

100 GLB-PEG NCs were complexed using soybean lecithin. 50 mg of dried NCs were accurately
101 weighed and dispersed in 50 ml of phosphate buffer (pH 7.4) in presence of 0.1 % w/v
102 Tween 80 by gentle stirring (Erla - EMS H7000, Korea) for 10 min. Soybean lecithin (2% w/v)
103 previously solubilized in chloroform was gradually added (2 ml/min) to the dispersion and
104 stirred continuously using a magnetic stirrer (Erla - EMS H7000, Korea) at 250 rpm for 30
105 min at a temperature above its melting point (35°C) so as to obtain a homogenous
106 dispersion. The dispersion was transferred to a shaking incubator (Daiki Scientific - DK-SI
107 010, Korea) and shaken at 120 rpm for 1 h at 15°C. Later, 5% w/v mannitol was added to the
108 dispersion as a cryoprotectant and shaken for 10 min prior to lyophilization [19].

109

110 **2.2.3 Freeze Drying**

111 The milky homogenous dispersion "prepared in 2.2.2 above" was subjected to freeze drying
112 in a freeze dryer (Thermo Scientific, USA), with an inbuilt Pirani 501 microprocessor. The
113 samples were lyophilized at a slow freezing temperature (shelf temperature -40 °C at 6 torr
114 and 10⁻¹mbar pressure) for 10 h. The lyophilized products were stored in borosilicate glass
115 vials and placed in a desiccator at room temperature until further use.

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117 **2.4 Solid State Characterization**

118 **2.4.1 Photon Correlation Spectroscopy (PCS)**

119 The mean particle size and polydispersity index (PDI) of precipitated and complexed NCs
120 were measured using Malvern Zetasizer Nano ZS (Malvern Instruments, UK). 2 mg of NC
121 was dispersed in 150 ml of deionized water containing 0.1% w/v of tween 80 and 0.15 mg of
122 sodium dodecyl sulphate (SDS). The dispersion was sonicated using a bath sonicator
123 (Power sonic 410, Lab Tech, Korea) and kept aside for 24 h prior to analysis. 4 µl of each
124 suspension was diluted with 2 ml of deionized water and the samples were pipetted into a
125 disposable polystyrene cuvette. The samples were measured for the mean particle size and
126 PDI at a fixed angle of 90° and at a temperature of 25°C. Each measurement was performed
127 in triplicate. A refractive index of 1.616 and 1.300 were used for the drug and solvent
128 respectively [20].

129
130 **2.4.2 Zeta potential measurement (ZP)**

131 The zeta potential of precipitated and complexed NCs was measured using the light
132 scattering technique (M3-PALS) in a Malvern Zetasizer Nano ZS (Malvern Instruments, UK).
133 Samples were dispersed in deionized water and kept aside for 24 h and were injected into a
134 clear disposable zeta cell after suitable dilution. The zeta cell was checked for presence of
135 air bubbles and if any, was removed by tapping. The average zeta potential was measured
136 for each sample after 3 scans.

137
138 **2.4.3 X-Ray Powder Diffraction (XRPD)**

139 XRPD diffractograms of pure GLB, polymers, physical mixtures (PM-1:1) and NCs before
140 and after complexation were recorded in X-ray diffractometer (Bruker AXS D8, Germany)
141 with Anton Paar, TTK 450 temperature attachment, using Si (Li) PSD detector. The samples
142 were placed in a glass sample holder and Cu ka radiation was generated at 30 mA and 40
143 Kv. The samples were scanned from 3° to 70° 2θ with a step size of 0.02° in duplicate [21].

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145
146 **2.4.4 Differential Scanning Calorimetry (DSC)**

147 DSC analysis of pure GLB, polymer, physical mixtures (PM-1:1) and NCs before and after
148 complexation were analyzed in a DSC calorimeter (TA Instruments, Q200, USA), equipped
149 with a liquid nitrogen cooling system. High purity indium was used to calibrate the heat flow
150 and heat capacity of the instruments. About 5 mg of samples were loaded to open aluminum
151 pan, crimped, sealed and further examined at a scanning rate of 10°C / min from 15 to
152 200°C under nitrogen atmosphere (flow rate 100 ml/min) in room temperature. The analysis
153 was also performed in duplicate [22].

154
155
156 **2.4.5 FTIR Analysis**

157 Spectra of pure GLB, PEG 20000, physical mixtures (PM-1:1) and NCs were recorded in FT-
158 IR spectrophotometer (Thermo Nicolet, Avatar 370, USA). 2 mg of sample was mixed with
159 1% KBr, compressed into a pellet and scanned for 4 seconds at a resolution of 4 cm⁻¹ from
160 4000 to 400 cm⁻¹ in duplicate [23].

161
162 **2.4.6 Scanning Electron Microscopy (SEM)**

163 Morphological evaluation of NCs was performed using a scanning electron microscope (LEO
164 1530, Gemini, Germany). The samples were mounted to steel stubs (Jeol - 10 mm Dia x 5
165 mm) using a double sided adhesive tape and sputtered with a thin layer of Au at 20 mA,
166 under 1x10⁻¹ bar vacuum for 10 min using a sputter coater (EM S550X - Electron microscopy
167 sciences) and was operated at an acceleration voltage of 3 kV [24].

168
169 **2.5 Stability Studies**

170 The optimized formulation (Batch F1) was placed in a clean airtight glass vials and stored at
 171 room temperature and 40°C (RH ± 75%) over a period of 3 months. During the storage
 172 period, the samples were evaluated for average particle size and any change in spectra [25].
 173

174 3. RESULTS AND DISCUSSION

175 3.1 Photon Correlation Spectroscopy

176 3.1.1 Effect of Polymer on Particle Size Reduction

177 The particle size analysis data of precipitated and complexed NCs are shown in Table 1.
 178 The average particle size of pure GLB was found to be 1551 nm, while that of precipitated
 179 NCs (F1-F5) was between 236 - 7000 nm. The particle size was found to increase with an
 180 increase in polymer content in precipitated NCs. The complexed NCs were found to have
 181 smaller particle sizes than the equivalent uncomplexed systems. The particle size
 182 distribution of precipitated NCs was found to be broader, while that of complexed NCs were
 183 narrow as the PDI was below 0.5 (except in batch F5). It can be inferred that maximum size
 184 reduction was observed in batch F1 with a drug- polymer ratio of 1:1.
 185

186 3.1.2 Effect of Zeta Potential and Stability of NCs

187 The zeta potential of pure GLB, precipitated and complexed NCs are compared in Table 1.
 188 The zeta potential of precipitated NCs were much lower in comparison to pure GLB (-38.1
 189 mV). A high negative zeta potential value was observed in all samples after complexation.
 190 This may be attributed to the presence of lecithin coating on its surface [26, 27].
 191

192 **Table 1. Particle size and zeta potential report of GLB NCs.**

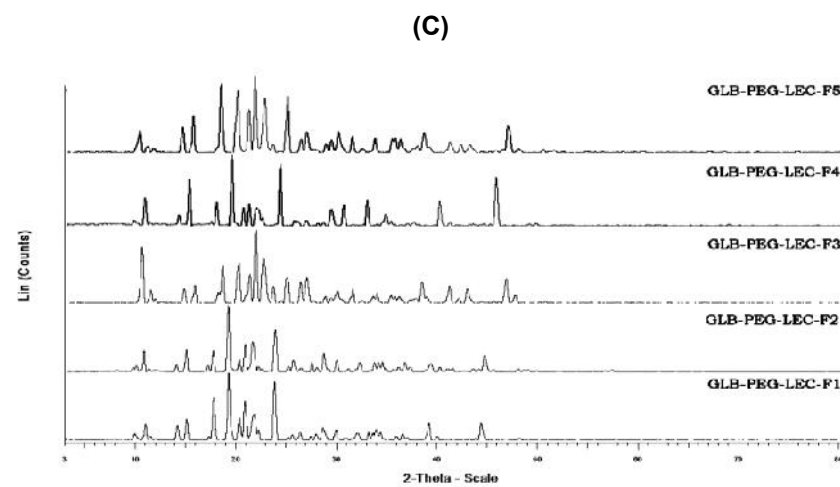
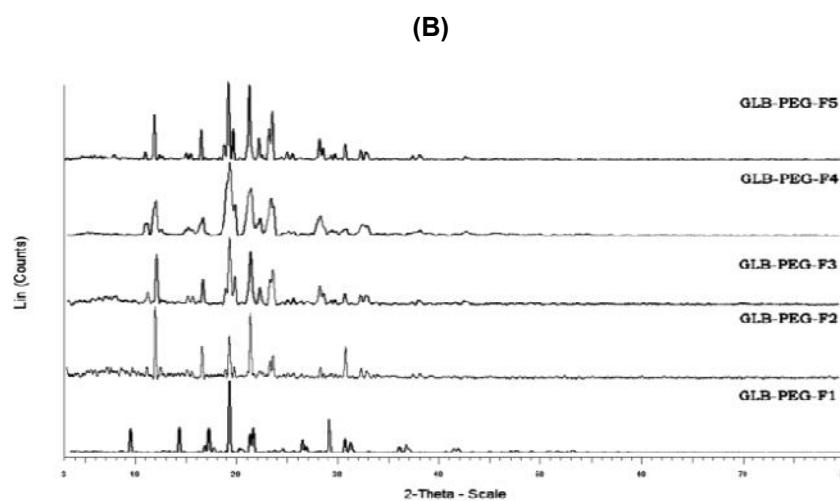
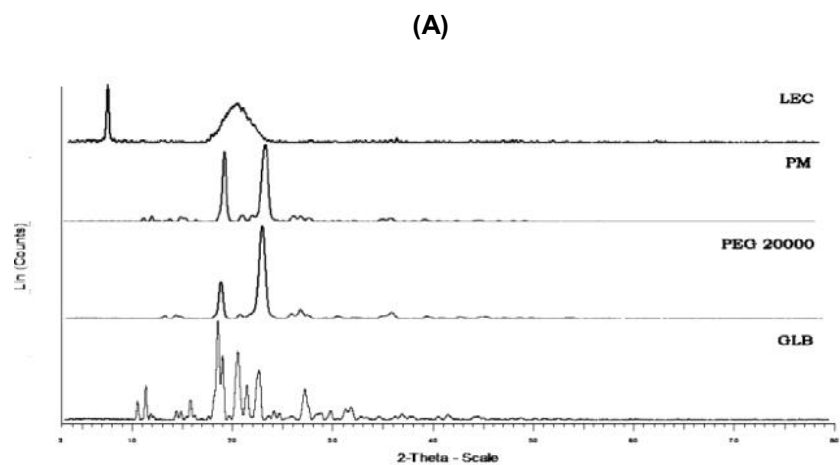
Batch	Drug : polymer	Precipitated NCs			Complexed NCs		
		Z.avg (d.nm)	PDI (avg.)	Avg. ZP (mV)	Z.avg (d.nm)	PDI (avg.)	Avg. ZP (mV)
Pure GLB	1:0	1551 ± 0.253	0.417 ± 0.072	-38.1 ± 0.2	∣	∣	∣
F1	1:1	236 ± 0.039	0.369 ± 0.121	-35.1 ± 0.4	155 ± 0.162	0.310 ± 0.067	-51.7 ± 0.1
F2	1:2	5745 ± 0.105	0.610 ± 0.257	-29.0 ± 0.8	710 ± 0.058	0.309 ± 0.133	-45.8 ± 1.3
F3	1:4	7002 ± 0.384	0.417 ± 0.103	-34.3 ± 0.3	842 ± 0.043	0.397 ± 0.151	-48.4 ± 0.7
F4	1:8	5885 ± 0.296	0.520 ± 0.089	-32.3 ± 0.4	227 ± 0.124	0.431 ± 0.132	-58.3 ± 0.5
F5	2:1	3574 ± 0.195	0.957 ± 0.244	-20.2 ± 1.1	787 ± 0.215	0.878 ± 0.261	-48.0 ± 0.3

194 ± indicates SD (n=3)

197 3.2 X-Ray Powder Diffraction

198 The diffraction spectra of pure GLB, physical mixture (PM-1:1), precipitated and
 199 complexed NCs are compared in Fig.1A, 1B and 1C respectively. The peak parameters like
 200 position, intensity and full width half maximum (FWHM) of NCs are shown in Table 2. Pure
 201 GLB spectra showed numerous sharp and narrow intense peaks at 2θ position like 10.85°,
 202 11.65°, 14.696°, 16.09°, 18.82°, 20.84°, 22.92°, 24.42°, 26.19°, 27.52°, 29.11° and 30.08°,
 203 and these observations prove its high crystalline nature. It was observed that the
 204 characteristic peaks of pure GLB at 11.66°, 20.82° and 30.08° 2θ positions were present in
 205 the spectra of all NCs suggesting that crystallinity of GLB was maintained in sample. It was
 206 also noticed that the intensity of the peaks were found to reduce slightly in precipitated and
 207 complexed NCs. A broad peak with decreased area and sharpness was also noticed in
 208 XRPD spectra of samples with high polymer content. The relative intensity value (d-value)
 209 was found to decrease initially and became constant indicating that the crystallinity was

210 maintained irrespective of the polymer concentration and complexation. The presence of
211 sharp and narrow peaks in spectra of F5 proved the presence of high amount of drug.



212 **Fig.1. X-Ray Diffraction spectra of pure GLB, PEG 20000, PM (1:1) and Lecithin (A),**
 213 **precipitated GLB NCs (F1-F5) (B), and GLB NCs (F1-F5) after complexation (C) at 2-**
 214 **Theta-scale.**

Table 2. XRPD peak parameters of GLB and formulations

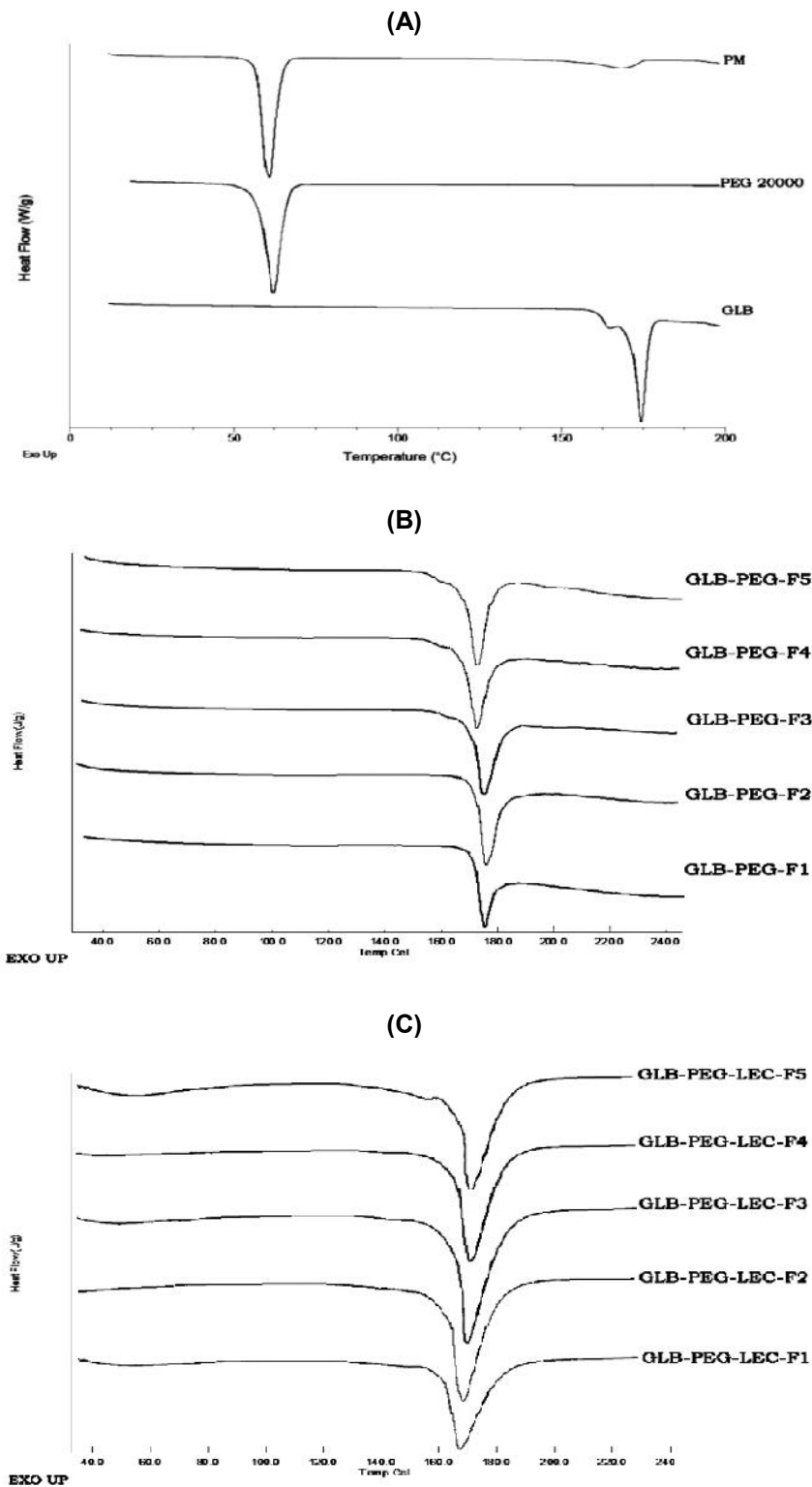
Batch	Precipitated NCs			Complexed NCs		
	2 θ position	Peak intensity (d)	FWHM (deg)	2 θ position	Peak intensity (d)	FWHM (deg)
Pure GLB	11.66	7.58	-	-	-	-
	20.82	4.26	0.46	-	-	-
	30.08	2.96	-	-	-	-
F1	11.80	7.49	0.19	10.71	8.24	0.22
	21.06	4.21	0.19	20.65	4.29	0.30
	30.37	2.94	0.20	30.62	2.91	-
F2	11.75	7.52	-	10.65	8.29	0.20
	21.03	4.22	0.19	21.35	4.15	0.37
	30.33	2.94	0.19	29.61	3.01	0.24
F3	11.82	7.47	0.23	10.75	8.21	-
	21.10	4.20	0.31	21.40	4.14	0.44
	30.42	2.93	-	30.66	2.91	-
F4	11.84	7.46	-	10.56	8.36	0.24
	21.07	4.21	-	20.56	4.31	0.23
	30.38	2.93	-	29.62	3.0	0.26
F5	11.82	7.48	0.19	11.22	7.87	-
	21.08	4.21	0.22	21.54	4.12	0.36
	30.38	2.93	-	29.70	3.00	0.24

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218*FWHM-Full Width Half Maximum***3.3 Differential Scanning Calorimetry**

DSC thermograms of GLB, PEG 20000, physical mixture (PM-1:1), precipitated and complexed NCs are compared in Fig. 2A, 2B and 2C respectively. A sharp endothermic peak at 173.36°C ($\Delta H = 98.34$ J/g) in pure GLB thermogram indicated its high crystallinity.

An endothermic peak (65.24°C) in PEG 20000 thermogram revealed its crystalline nature. Two endothermic peaks (65.68°C and 164.61°C) were observed in the thermogram of physical mixture (1:1) proves the absence of interaction between drug and polymer. The peak temperature (T_M) of precipitated NCs was found to be similar to pure GLB indicating that there was no change in its crystalline structure. It was also observed that the peak temperature (T_M) of complexed NCs was found to reduce (Fig.2C), suggesting crystallinity was maintained with reduced size.

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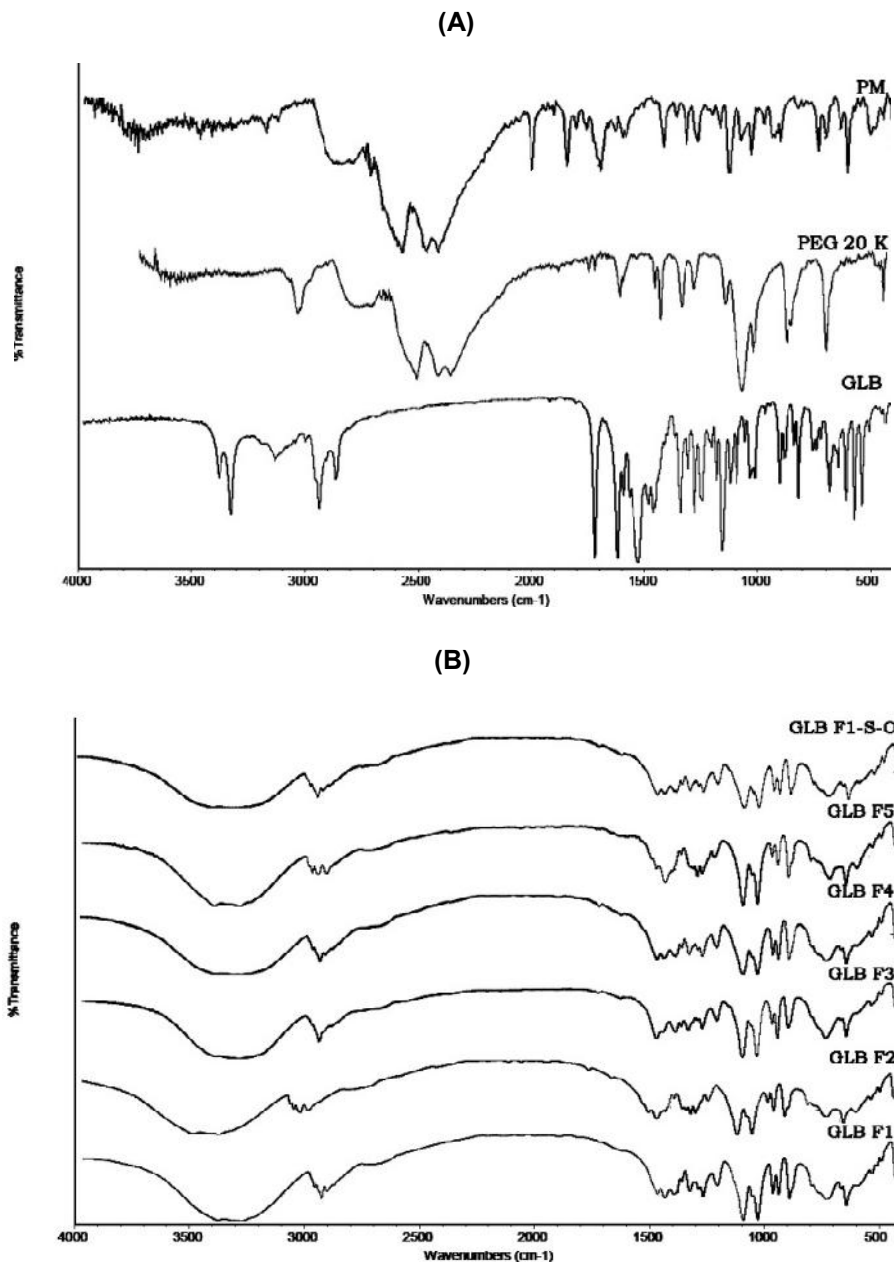
Fig.2. DSC thermograms of Pure GLB, PEG 20000 and PM-1:1 (A), precipitated GLB NCs (F1-F5) (B), and GLB NCs (F1-F5) after complexation (C).

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3.4 FT-IR Analysis

FT-IR spectra of pure GLB, PEG 20000, physical mixture (PM-1:1) and precipitated NCs are compared in Fig.3A and 3B respectively. Pure GLB showed an obvious band at 1715.55 cm^{-1} (carbonyl stretching), two characteristic bands at 1155.96 and 1306.29 cm^{-1} (symmetrical and asymmetrical sulphonyl stretching) and bands at 3315.74 and 3367.82 cm^{-1} (amide stretching) [28]. The presence of characteristic peaks of GLB in all formulations proved the compatibility between drug and polymer.

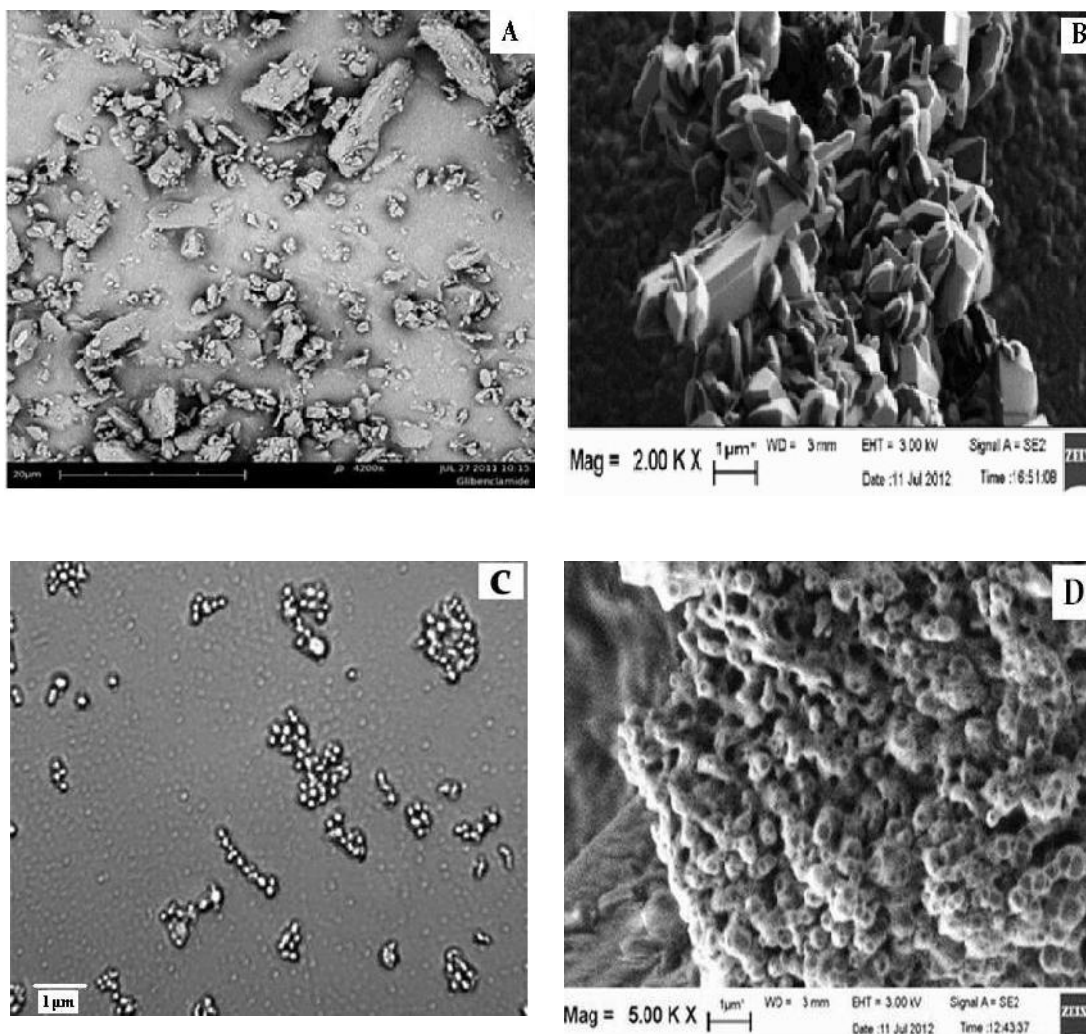


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242
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Fig.3. FTIR spectra of pure GLB, PEG 20000 and PM-1:1 (A), and precipitated GLB NCs (F1-F5), and optimized formulation (GLB- F1-S-O) after 3 months of storage (B).

244 **3.5 Surface Characteristic Analysis**

245 The SEM images of pure GLB (Fig.4A) showed numerous irregular shape particles
246 with large size ($>1.5 \mu\text{m}$), whereas precipitated NCs showed uniform prismatic crystals in an
247 agglomerated form with reduced size (Fig.4B). Fig.4C shows aggregated NCs after
248 microscopical examination. Fig.4D shows complexed NCs of spherical shape and smaller
249 size compared to precipitated NCs. A distinct difference in surface morphology was clearly
250 observed between precipitated and complexed NCs. The appearance of a waxy lipid layer
251 on to the surface of complexed NCs showed the presence of lecithin coating.
252



253 **Fig.4. SEM images of pure GLB (A), precipitated F1 NCs (B), aggregated NCs before**
254 **complexation and after microscopical examination (C) and complexed F1 NCs (D).**
255
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257 **3.6 Stability Analysis**

258 The stability data of optimized batch (F1) is given in Table 3. **No significant change in**
259 **particle size and PDI** was observed during the storage period. The NCs were stable and less

260 aggregated, and this stability of NCs could be due to the repulsive force associated with the
 261 molecules which reduce the particle agglomeration. Stability analysis of optimized batch (F1)
 262 was also studied using FTIR. The spectrum was found to possess the characteristic peaks
 263 as of pure GLB at specific positions (GLB F1SO Fig.3B). These clearly prove that the
 264 chemical identity of GLB was preserved in the samples and the formulation was stable
 265 during the study period.
 266
 267

268 **Table 3. Stability data (Particle size and PDI) of optimized complexed NCs Batch F1**
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Stability conditions	Parameters	Observation (months)			
		0	1	2	3
Room Temperature	Z.avg (d.nm)	155 ± 0.162	155 ± 0.105	156 ± 0.261	156 ± 0.31
	PDI	0.310 ± 0.067	0.332 ± 0.081	0.338 ± 0.013	0.326 ± 0.074
40°C (RH ± 75%)	Z.avg (d.nm)	155 ± 0.225	155 ± 0.058	157 ± 0.043	157 ± 0.084
	PDI	0.283 ± 0.017	0.358 ± 0.021	0.331 ± 0.019	0.375 ± 0.138

± indicates SD (n=3)

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4. CONCLUSION

276 GLB NCs were formulated by precipitation technique and complexed using soybean
 277 lecithin. The solid state characterizations of NCs were performed using various techniques
 278 and the factors were optimized. Batch F1 was found to be the optimum batch among the
 279 samples in terms of smaller particle size and high stability. The particle size was found to
 280 decrease after complexation and the NCs were stable due to high zeta potential. The
 281 crystallinity of GLB in NCs was not altered on treatment with PEG 20000 and after
 282 complexation using lecithin. FTIR studies proved the absence of interaction between drug
 283 and excipients. Stability studies on optimized batch (F1) show the NCs were stable for 3
 284 months with no change in particle size and PDI. To conclude, complexed GLBNCs offer
 285 enhanced surface properties and stability, and can be effectively used in development of
 286 various formulations.
 287

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 291 instrumentation analysis support.

COMPETING INTEREST

292 The authors have declared that no competing interest exists.

AUTHORS CONTRIBUTIONS

293 This work was carried out in collaboration between all authors. Author B.SK formulated and
 294 characterized the nanocrystals *in vitro*. Authors R.S and K.VK conducted characterization

299 studies like PCS and DSC. Author T.AS and S.KJ analyzed the data and S.AD coordinated
300 in all aspects of experiments in this study. All authors read and approved the final
301 manuscript.

302 **CONSENT AND ETHICAL APPROVAL**

303 Not applicable

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ABBREVIATIONS

379 GLB - Glibenclamide, PEG - Polyethylene glycol, NC - Nanocrystal, LEC - Lecithin, PDI -
380 polydispersity index