

**FORMULATION and EVALUATION of OPTIMIZED
CLOTRIMAZOLE EMULGEL FORMULATIONS****ABSTRACT**

Aims: The aim of this present study to develop an emulgel formulation of Clotrimazole using carbopol 934 or hydroxyl propyl methyl cellulose 2910 as a gelling agent. The influence of the type of gelling agent and the concentration of both the oil phase and the emulsifying agent on the release of the drug and its microbial activity were investigated using 2^3 factorial designs. In addition, rheological properties were also evaluated.

Methodology: Within the major group of semisolid preparations, emulgel has emerged as a promising drug delivery system for the delivery of hydrophobic drugs. Different emulgel formulations were optimized using a 2^3 factorial design considering three independent factors at two levels; gelling agent (carbopol 934 and hydroxyl propyl methyl cellulose, liquid paraffin (2.5% and 5%) and emulsifying agent (1.5 and 2.5%). The amount of drug released (Y_1) and the antifungal activity (Y_2) were chosen as two dependent responses. The prepared emulgel were also evaluated for their physical properties, pH, drug content and rheological properties.

Results: The prepared emulgel exhibited higher release when compared with canestin cream as a market product. Rheological study revealed that the emulgel exhibited a thixotropic behavior. *Candida albicans* was used as a model fungus to evaluate the antifungal activity of the prepared formulations achieved using canestin cream as a control. Stability studies revealed no significant differences before and after storage for the selected formula.

Conclusion: It was suggested that Clotrimazole emulgel formulation (F6) prepared using HPMC 2910 as gelling agent, emulsifying agent in its high level and liquid paraffin in its low level was the formula of choice since it showed the highest drug release and the highest antifungal activity.

Keywords: *Clotrimazole, Emulgel, multifactorial design, Antifungal activity*

1. INTRODUCTION

Topical formulations apply a wide spectrum of preparations both cosmetic and dermatological, to healthy or diseased skin [1]. These formulations range in consistency from solid through semisolid to liquids.

When gels and emulsions are used in a combined form, the dosage forms are referred to emulgel [2][3]. As the name suggests they are the combination of emulsion/ microemulsion and gel.

Novel polymers with complex functions as emulsifiers and thickeners have been used with great interest due to their gelling capacity which allows the formulation of stable emulsion by decreasing surface and interfacial tension and also by increasing the viscosity of the aqueous phase. Oil / water and water / oil emulsions are used as vehicle to deliver various drugs to the skin [4]. Emulsion gels are gaining importance due to many reasons; they have better application property in comparison to classical formulation as creams and ointment, they have faster and more complete release of the drug from the vehicle to the skin, also they are convenient to apply on hairy skin due to the absence of greasiness and lack of residue upon application. They permit the incorporation of both aqueous and oleaginous ingredients, so hydrophobic or poorly water soluble drugs as antifungal agents are easily incorporated in such type of vehicles through the proper choice of the oily phase [5].

Clotrimazole is an antifungal agent which inhibits the growth of pathogenic dermatophytes. It shares with econazole, miconazole, first choice status for topical treatment of tinea pedis, tinea cruris and tinea corporis due to *Candida albicans*. It is effective for topical treatment of vulvovaginal and oropharyngeal candidiasis [6][7][8].

44 2. MATERIALS AND METHODOLOGY

45 2.1 Materials

46 Clotrimazole was kindly provided by Alexandria Co. for pharmaceutical and chemical industries(Alexandria, Egypt), carbpol 934
 47 (Goodrich Chemicals Co., Cleveland, Ohio).Hydroxyl propyl methyl cellulose, (HPMC) 2910 was kindly supplied by Sedico for
 48 pharmaceuticals (Giza, Egypt). Tween 20, span20, methyl and propyl parabens, light liquid paraffin, propylene glycol, dimethyl
 49 formamide (DMF), hydrochloric acid and ethyl alcohol were purchased from Al – Nasr pharmaceutical chemicals (Cairo, Egypt).
 50 Triethanolamine (TEA) was supplied from Morgan Chemicals Ind.Co. (Cairo, Egypt). Canesten cream B.N.211030 is purchased
 51 from an Egyptian community pharmacy(Manufactured by Memfis for pharmaceuticals. Cellulose membrane (M.Wt. cutoff 10-
 52 000-14-1000) was supplied from Sigma Chemical Company(Saint Louis, MO). C. albicans ATCC No 10231was kindly provided
 53 by the Department of Microbiology, October University for Science and Modern Arts (MSA)(clinical isolate growth at 25°C for 24
 54 hours on Sabouraud’s agar.

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56 2.2 Methodology

57 2.2.1. Preparation of Emulgel

58 The detailed composition for the prepared emulgel formulations are given in Table 2.The gel in formulations F1, F3, F5 and F7
 59 was prepared by dispersing cabopol 934 in purified water with continuous stirring using overhead stirrer for 5min at 2000 rpm. The
 60 gel in formulations F2, F4, F6 and F8 was prepared by dispersing HPMC in hot purified water (70°C); the gel was cooled and left
 61 overnight. The oil phase of the emulsion was prepared by dissolving span 20 in light liquid paraffin while the aqueous phase was
 62 prepared by dissolving Tween 20 in purified water. Methyl and propyl parabens were dissolved in propylene glycol while
 63 Clotrimazole was dissolved in ethanol; both were then mixed with the aqueous phase. Heat separately the aqueous and the oily
 64 phase to70°C, and then the oily phase was added to the aqueous phase with continuous stirring till cooled to room temperature. The
 65 emulsion and the gel both mixed together in equal ratio with gentle stirring till obtaining the emulgel [9] [10].

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67 2.2.2 Experimental Design and Statistical analysis

68 A 3-factor, 2- level factorial design was used to explore response surfaces and constructing second- order polynomial models with
 69 Statgraphic plus software (Version 4.1). The 2-level factorial design was specifically selected since it requires fewer runs than
 70 other experimental designs. The nonlinear computer, generated quadratic model is given as:

$$71 Y = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \beta_3 X_3 + \beta_{12} X_1 X_2 + \beta_{13} X_1 X_3$$

$$72 + \beta_{23} X_2 X_3 + \beta_{11} X_1^2 + \beta_{22} X_2^2 + \beta_{33} X_3^2$$

73 Where Y is the predicted response; β_0 is the model constant; X_1 , X_2 , and X_3 are the independent variables; β_1 , β_2 , and β_3 are the
 74 linear coefficients; β_{12} , β_{13} , and β_{23} are the cross-product coefficients; and β_{11} , β_{22} , and β_{33} are the quadratic coefficients. 2-
 75 level design, where selected each variable is tested at a low (-1) and high (+1) level.

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77 Eight Clotrimazole emulgel formulations were prepared according to 2^3 full factorial designs to optimize the formulation factors
 78 and evaluate the main effects. The independent variables were the type of gelling agent (X_1), liquid paraffin % (X_2) and
 79 emulsifying agent % (X_3). Two levels of gelling agent type were used carbopol and HPMC, denoted the value -1 and 1 in the above
 80 design respectively.

81 Two levels of liquid paraffin concentration were chosen to be 5% and 7.5% denoted -1 and 1 respectively. Finally the emulsifying
 82 agent concentration were 1.5 and 2.5 % denoted -1 and 1 respectively. The eight experimental trials and the respective observed
 83 responses are given in Table 1.

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Table 1 Variables and observed response in 2³ factorial design for emulgel formulations

Formulations	Independent variables			Dependent variables	
	X1	X2	X3	Y1	Y2
F1	-1	-1	-1	29.55	38.5
F2	1	-1	-1	32.81	43.4
F3	-1	1	-1	27.46	32.2
F4	1	1	-1	28.68	35.7
F5	-1	-1	1	38.58	48.5
F6	1	-1	1	43.22	57.5
F7	-1	1	1	30.47	40.6
F8	1	1	1	35.33	46.7

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- X1= Gelling agent (-1) = Carbopol, (1)= hydroxypropylmethyl cellulose HPMC
- X2= Liquid paraffin % (-1)= 5%, (1) = 7.5% Y1= Drug release after 3 hrs. Y2= antifungal activity
- X3= Emulsifying agent % (-1)= 1.5%, (1)= 2.5%

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Table 2 Composition and codes of Clotrimazole Emulgel formulations (%W/W)

Components	Formula's code							
	F ₁	F ₂	F ₃	F ₄	F ₅	F ₆	F ₇	F ₈
Clotrimazole	1	1	1	1	1	1	1	1
Carbopol 934	1	-	1	-	1	-	1	-
HPMC2910*	-	2.5	-	2.5	-	2.5	-	2.5
Liquid paraffin	5	5	7.5	7.5	5	5	7.5	7.5
Tween20	0.6	0.6	0.6	0.6	1	1	1	1
Span20	0.9	0.9	0.9	0.9	1.5	1.5	1.5	1.5
Propylene glycol	5	5	5	5	5	5	5	5
Ethanol	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5
Methyl paraben	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03
Propyl paraben	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01
Purified water to	100	100	100	100	100	100	100	100

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*HPMC: Hydroxypropyl methyl cellulose

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2.2.3 Evaluation of Emulgel

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2.2.3.1 Physical Appearance and pH Determination

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The prepared Clotrimazole emulgel were inspected visually for their color, homogeneity, consistency and pH. The pH values of 1% aqueous solutions of the prepared emulgels were measured by a pH meter (Orion Research, Inc., USA) [11]. Experiments were carried out in triplicates.

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101 2.2.3.2 Drug Content Determination

102 Experiments were carried out in triplicates. The drug content of Clotrimazole emulgel was measured by dissolving a known weight
103 of the emulgel formulation in methanol. Absorbance was measured after suitable dilution at 260 nm using UV- spectrophotometer
104 (Shimadzu UV 1700, Japan) [12].

105 2.2.3.3 Rheological Studies

106 The viscosity of different Clotrimazole emulgel formulations was determined at 25°C using a cone plate viscometer with
107 spindle (52) (Brookfield model HBDV-III, USA) [13]. Experiments were carried out in triplicates.

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109 2.2.3.4 In Vitro release Studies

110 The study was carried out using the modified USP apparatus type II (Hanson SR8-plus 80, USA). Two grams of each emulgel was
111 spread on the cellophane membrane previously soaked overnight in the dissolution medium. The loaded membrane was stretched
112 over a glass cup of diameter 3 cm, and then the cup was immersed in 100 ml of the dissolution medium (25%v/v DMF in 0.02N
113 HCl), the temperature was maintained at 37±0.5°C with paddle agitation speed 50 rpm. An aliquot of 5 ml was withdrawn at
114 different intervals of time. The withdrawn samples were replaced by equal volumes of fresh release medium. The samples were
115 assayed spectrophotometry at λ_{\max} 260nm using ultraviolet spectrophotometer. Experiments were carried out in triplicates. The
116 effect of gelling type, the liquid paraffin concentration and emulsifying agent concentration was studied.

117 2.2.3.5 Kinetic Analysis of the Drug Release

118 Kinetic analysis was carried out by the data to determine the release model which describe the proper order of drug release as
119 follow: Zero order (cumulative% drug release vs. time, first order (log cumulative % drug retained vs. time), and Higuchi model
120 (cumulative % drug retained vs. square root of time) [14] [15] [16].

121 2.2.3.6 Antifungal activity studies

122 The prepared emulgel formulations were tested in a triplicate manner using agar cup method against candida albican strain. Cups
123 of 10mm diameter were made aseptically in sabouraud dextrose agar after being inoculated with tested fungal suspension strain by
124 spreading on the agar surface. The cups were filled with each prepared formulation by sterile syringe. The zone of inhibition of
125 each cup was observed and the radius of the zone of inhibition was measured and compared to the control canestin @cream [17].

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127 2.2.3.7 Stability studies

128 The prepared Clotrimazole emulgel were packed in aluminum tubes (5 grams) and subjected to stability studies at 25°C/ 60 %
129 relative humidity (RH) and 40°C/ 75 % RH for a period of 3 months. Samples were withdrawn at time intervals of 15 day and
130 evaluated for physical appearance, pH, rheological properties, drug content and drug release.

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132 3.RESULTS AND DISCUSSION**133 3.1 Physical Appearance and pH Determination**

134 The prepared Clotrimazole emulgel formulations were inspected visually for color, homogeneity, phase separation, consistency and
135 pH. All formulations show white color, formulations prepared using carbopol 934 as gelling agent show glossy appearance. No
136 phase separation was noticed, also formulations show suitable homogeneity and consistency. The pH of the emulgel formulation
137 was in range of 5.66-6.53 which considered acceptable to avoid the risk of skin irritation upon application to skin [18] [19]. Results
138 are shown in Table 3 and Figure 1.

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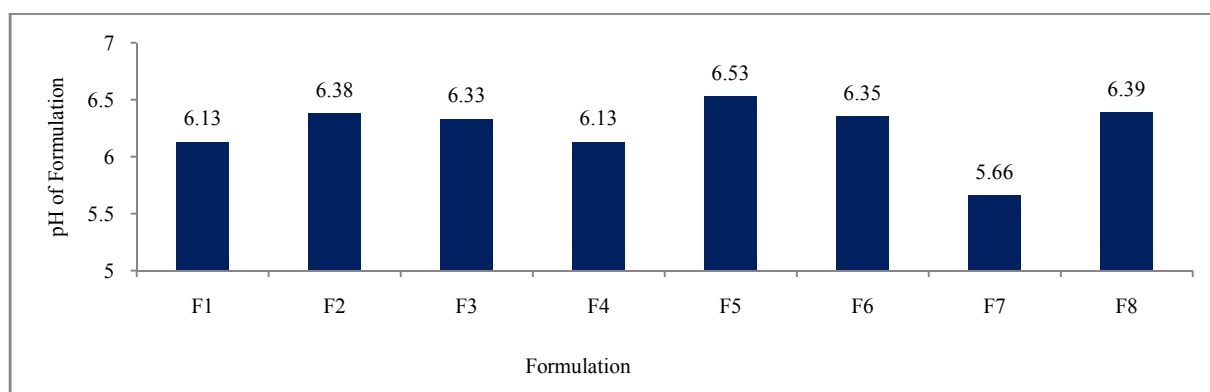
Table 3 Physical appearance, pH, and drug content of Clotrimazole emulgel formulations

Formulations	Color	Phase Separation	Homogeneity	Consistency	pH	Drug content (mg %)
F1	Shiny white	None	+++	+++	6.13	95.55
F2	White	None	+	++	6.38	96.34
F3	Shiny white	None	+++	+++	6.33	98.21
F4	White	None	++	++	6.13	98.09
F5	Shiny white	None	+++	+++	6.53	96.84
F6	White	None	++	++	6.35	96.39
F7	Shiny white	None	+++	+++	5.66	97.44
F8	White	None	++	++	6.39	98.45

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Excellent +++, Good++, Satisfactory+

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Fig1. pH of Emulgel Formulation (F₁-F₈)

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3.2 Drug Content

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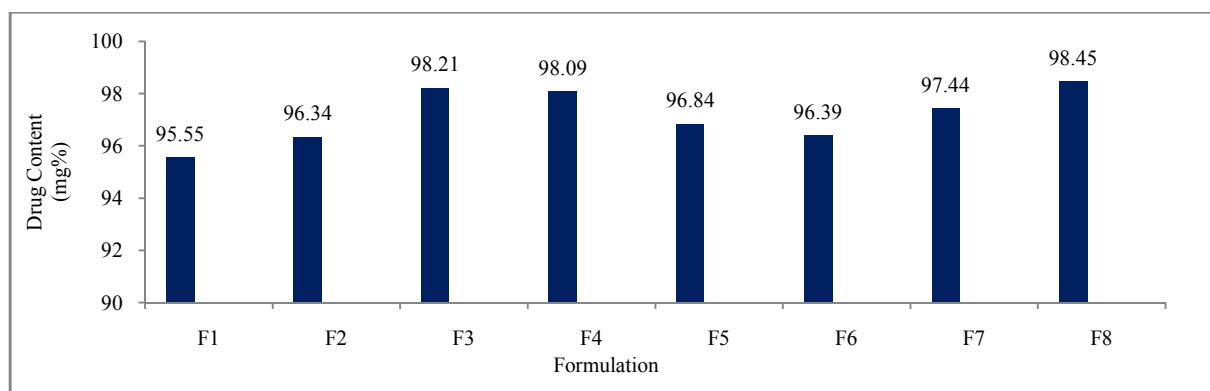
Results of drug content are shown in Table 3 and represented in Figure 2. The drug content of different emulgel formulations was estimated and the results were in official limits with range of 95.55 to 98.45 mg% which indicate uniform distribution of the drug throughout the emulgel.

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Fig.2. Drug Content (mg %) of Emulgel Formulation (F₁-F₈)

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3.3 Rheological Studies

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Viscosities of different Clotrimazole emulgel formulations at both low and high shear rates are shown in Table 4, the results showed that the emulgel formulations prepared using carbopol as gelling agent (F1, F3, F5 and F7) possessed higher viscosities than emulgel formulations prepared using HPMC 2910 (F2, F4, F6 and F8). This is due to the difference in the type of gelling agent result in changing the structure consistency [20], also this effect may be due to the higher hygroscopicity of HPMC compared with

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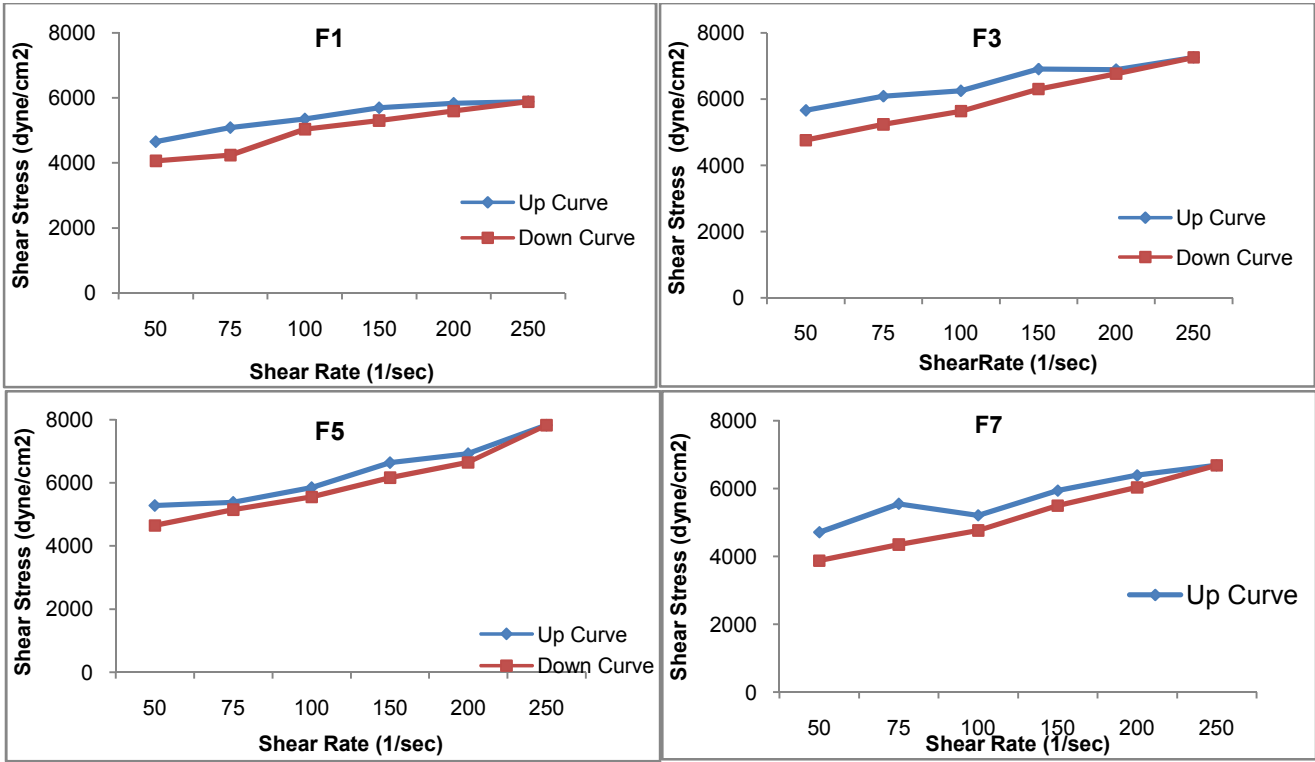
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162 carbopol 934[21]. Figure 3, 4 and 5 show the rheograms of Clotrimazole emulgel containing carbopol, HPMC and the market
 163 product canesten ® cream. As represented in the Figures, all the prepared emulgel exhibited a shear- thinning behavior as the
 164 viscosity decreased by increasing the shear rate. The figures also show that all Clotrimazole emulgel formulations possessed
 165 thixotropic behavior, where the down curve was displaced with regard to the up curve, at any rate of shear on the down curve a
 166 lower shear stress than it had on the up curve; a hysteresis loop was formed between the up curve and the down curve.
 167 Thixotropy(time dependent flow needs a definite time to rebuild its original structure that breaks down during continuous shear
 168 measurements [22], the results of Clotrimazole emulgel are in agreement with Abd El- Bary et al who had prepared
 169 Chloramphenicol emulgel using Carbopol 940 as a gelling agent [23].

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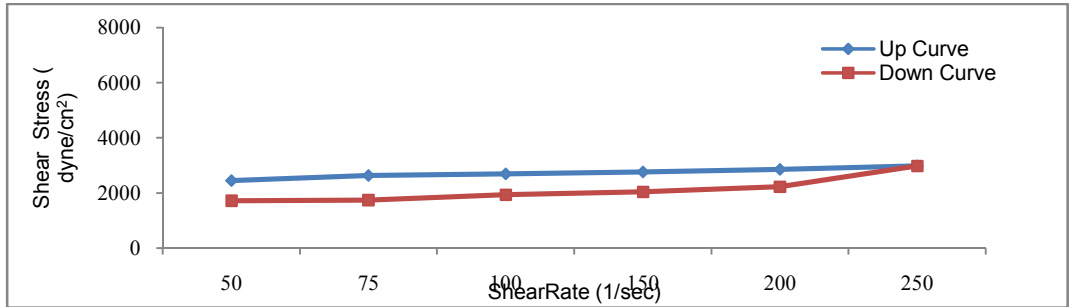


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Fig.3. Show Rheograms of Carbopol 934 Emulgel (F1, F3, F5 and F7)



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Fig.4. Show Rheogram of the Market Product (Canesten ® Cream)

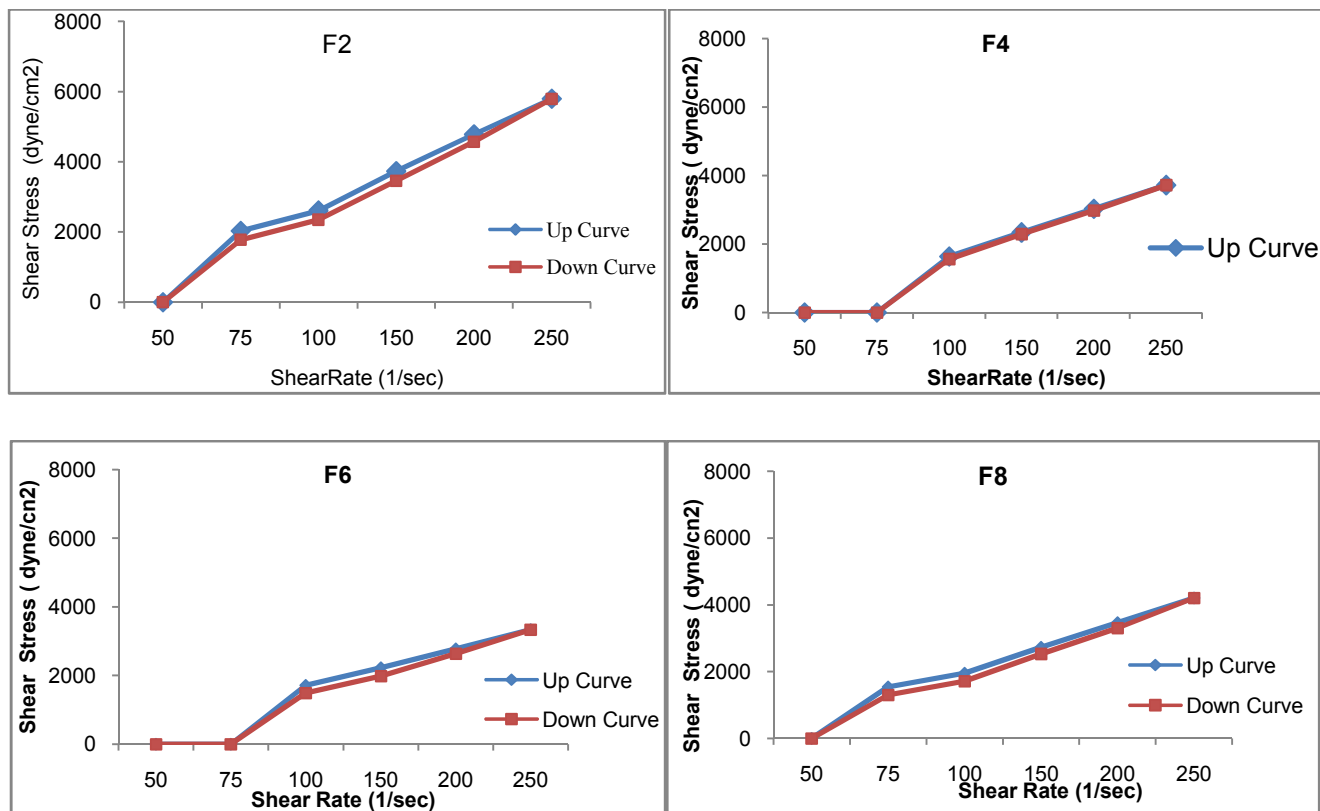


Fig.5. Show Rheograms of HPMC 2910 Emulgel (F2, F4, F6 and F8)

Table4. Viscosities (cp) of Clotrimazole emulgel formulations at low and high rate of shear

Formulations	η min*	η max \pm	Formulations	η min*	η max \pm
F1	1926	180	F2	1365	1162
F3	4062	7255	F4	817	743
F5	3894	1502	F6	800	666
F7	3145	1321	F8	1027	841
Canesten	1152	606			

*Viscosity at low rate of shear

\pm Viscosity at high rate of shear

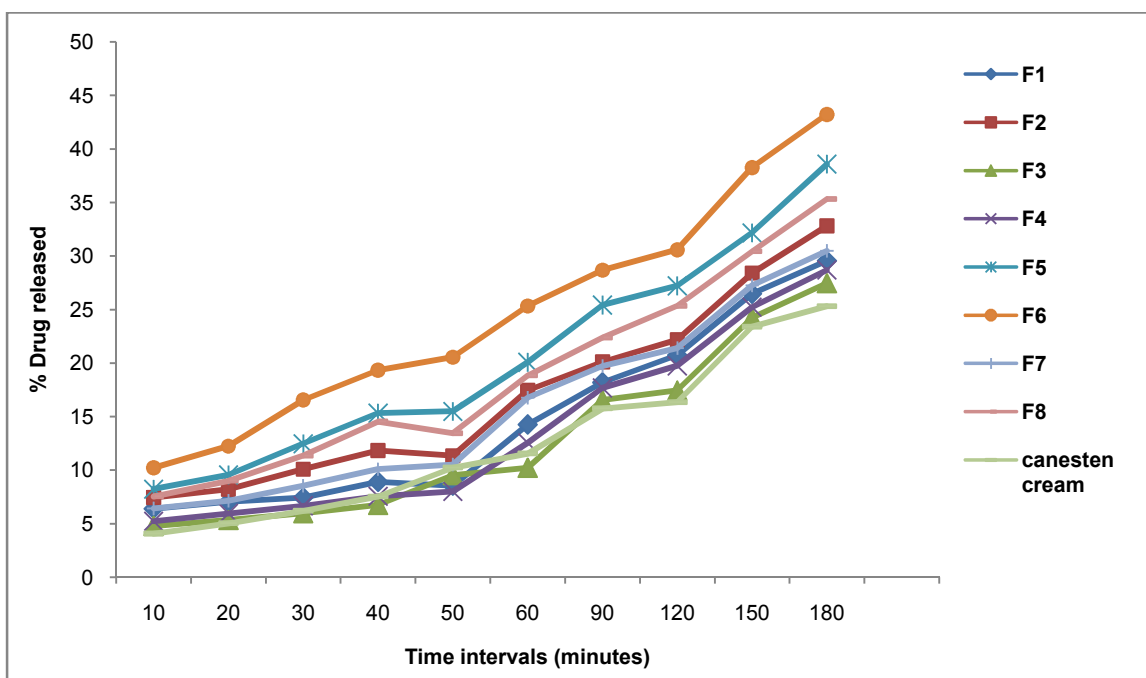
3.4. In vitro drug release

The in vitro release of Clotrimazole from different emulgel formulations and the market product at 37°C was investigated and the results are represented in Figure 6. It was noticed that the release of emulgel formulations are higher than that of canesten cream (the market product). The release of Clotrimazole from its emulgel can be ranked in the following descending order: F6 > F5 > F8 > F2 > F7 > F1 > F4 > F3 where the amount of drug release after 3 hours was found to be 43.22%, 38.58%, 35.33%, 32.81%, 30.47%, 29.55%, 28.66% and 27.46%, respectively. While the release of Clotrimazole from the canesten cream after 3 hours was found to be 25.32%.

Formulations F6 and F5 was observed to have the highest release, this was due to the presence of liquid paraffin and emulsifying agent in low and high level, respectively. These results were due to the increase of hydrophilicity of emulgel which facilitate the penetration of the release medium into the emulgel and the diffusion of the drug from emulgel. The results of Clotrimazole emulgel are in agreement with Abd El- Bary et al. [23], who showed that the presence of liquid paraffin led to retardation of Chloramphenicol release from its emulgel formulation.

199 The release of drug from formulation F5 was found to be lower than the release from F6 this may be due to the higher viscosity of
 200 Carbopol emulgel formulation as observed in Table 4. [24]. In contrary to F6 and F5 formulations, F4 and F3 showed the lowest
 201 drug release this may be due to the presence of liquid paraffin and emulsifying agent in high and low level, respectively. F8 has
 202 both liquid paraffin and the emulsifying agent in their high levels and exhibited higher release than F2 formulation containing both
 203 liquid paraffin and the emulsifying agent in their low levels. The previous result indicated that the effect of emulsifying agent in
 204 high level on the drug release was more pronounced than the effect of liquid paraffin in low level on the drug release.
 205 Although F5 has Carbopol as gelling agent, it showed higher drug release than F8 which has HPMC as a gelling agent. This result
 206 is due to that F5 has liquid paraffin in low level while F8 has liquid paraffin in high level. The same explanation was found when
 207 comparing F1 and F4 formulations. These results showed that the effect of liquid paraffin in decreasing the drug release from
 208 emulgel formulation was more than the effect of HPMC on the drug release. Thus we can arrange the studied factors according to
 209 their effect on drug release from the emulgel formulation as follows: The emulsifying agent concentration>the liquid paraffin
 210 concentration>the gelling agent type.

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 215 **Fig.6. Release profiles of Clotrimazole from its emulgel formulations.**

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3.5.Kinetic Analysisof the Drug Release

Kinetic analysis was carried out by the data to determine the release model which describe the proper order of drug release as follow: Zero order (cumulative% drug release vs. time), first order (log cumulative % drug retained vs. time), and Higuchi model (cumulative % drug retained vs. square root of time) [25] [26] [27].The correlation coefficient (R2) values are tabulated in Table 5. Most of the formulation showed first order release except formulations F3 and F7 which showed zero order kinetics and diffusion model kinetic respectively.

229 **Table5. The kinetic study of the In vitro release data of Clotrimazole from is different emulgel formulations.**

Formulation	Correlation Coefficient (R2)		
	Zero Order	First Order	Diffusion
F1	0.98881	0.98896	0.97038
F2	0.98940	0.98973	0.97737
F3	0.99213	0.99109	0.97190
F4	0.99125	0.99181	0.97338
F5	0.98619	0.98851	0.97951
F6	0.99050	0.99270	0.98951
F7	0.98458	0.98935	0.99076
F8	0.98613	0.98851	0.97957
Canesten cream	0.99144	0.99376	0.98835

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231 **3.6 Antifungal activity studies**

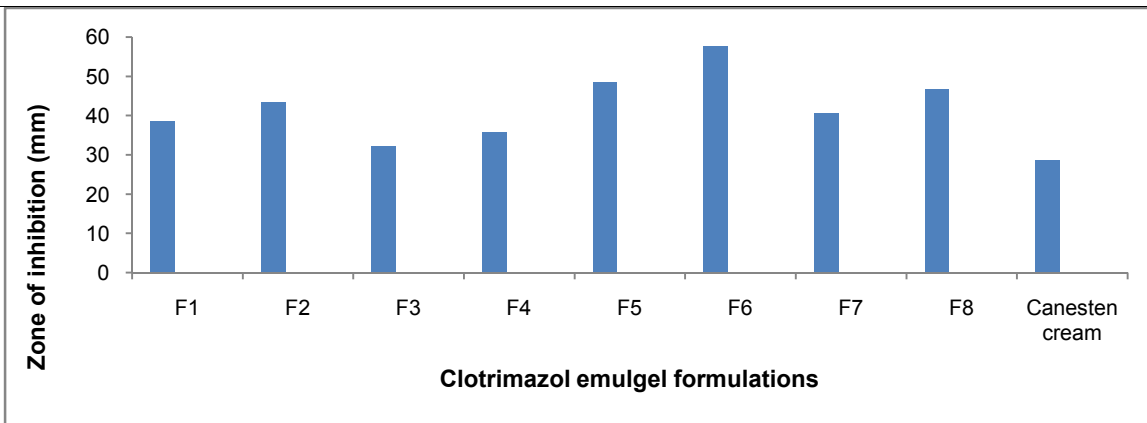
232 The antifungal activity of Clotrimazole from its different emulgel formulations as well as in its market available cream form
 233 (Canesten® cream) are shown in Table 5 and Figure 7. The zone of inhibition was taken as a measure of the drug antifungal
 234 activity. The greatest activity was observed with F6 where the zone of inhibition was 57.5mm, while the lowest activity was found
 235 with F3 where the zone of inhibition was 30mm. These results were due to the increase of hydrophilicity of emulgel in F6 which
 236 facilitate the penetration of the release medium into the emulgel and the diffusion of the drug from emulgel. The results are in
 237 agreement with the results obtained from the in vitro release study which indicates good correlation between the in vitro and the
 238 antifungal activity studies.

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241 **Table5. The inhibition zone as a criterion for Clotrimazole antifungal activity in its different emulgel**
 242 **formulations**

Formulation	Inhibition Zone (mm)	Formulation	Inhibition Zone (mm)
F1	38.5	F5	48.5
F2	43.4	F6	57.5
F3	32.2	F7	40.6
F4	35.7	F8	46.7
Canesten cream	28.5		



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Fig.7. Zone of inhibition of Clotrimazole emulgel formulations

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3.7. Stability studies

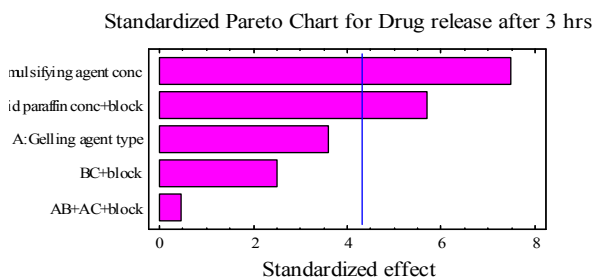
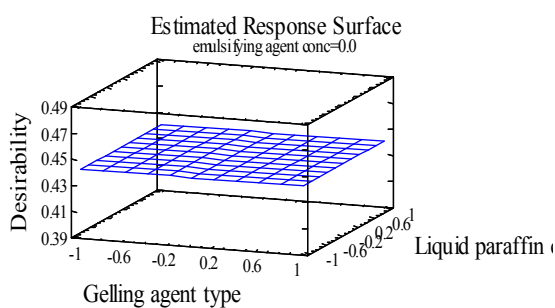
The prepared Clotrimazole emulgel formulations were found to be stable after subjected to stability studies at 25 °C/ 60 % relative humidity (RH) and 40 °C/ 75 % RH for a period of 3 months. No significant change was noticed in the parameters evaluated for physical appearance, pH, rheological properties, drug content, drug release and antifungal activity.

3.8. Multifactorial design

Eight Clotrimazole emulgel formulations were prepared according to 2³ full factorial designs to optimize the formulation factors and evaluate the main effects. The independent variables were the type of gelling agent (X₁), liquid paraffin % (X₂) and emulsifying agent % (X₃). Two levels of gelling agent type were used carbopol and HPMC, denoted the value -1 and 1 in the above design respectively.

Two levels of liquid paraffin concentration were chosen to be 5% and 7.5% denoted -1 and 1 respectively. Finally the emulsifying agent concentration were 1.5 and 2.5 % denoted -1 and 1 respectively.

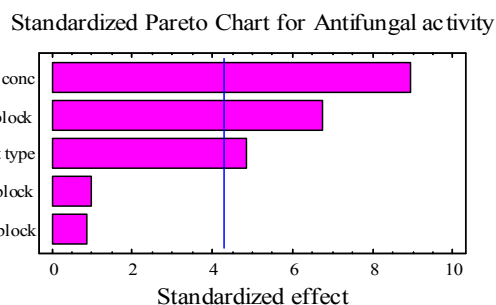
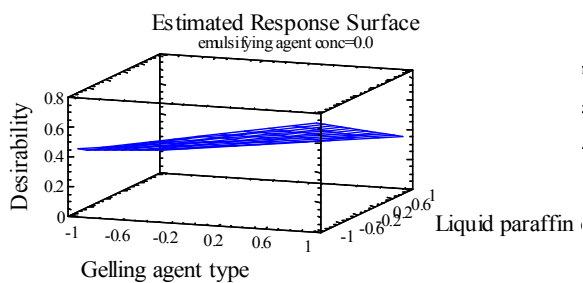
Three – dimensional (3D) plots and standard pareto chart for the drug release (Y1) and antifungal activity (Y2) were drawn using Statgraphics plus design software (version 4.1) is shown in Figure 8 and 9 respectively.



(Fig.8.a)

(Fig8.b)

Fig (8.a) Response surface plot and Fig (8.b) standard pareto chart showing the effect of X1, X2 and X3 on the drug release after 3 hrs.(Y1)



(Fig9.a)

(Fig.9.b)

Fig (9.a) Response surface plot and Fig (9.b) standard pareto chart showing the effect of X1, X2 and X3 on the antifungal activity (Y2)

X1= Gelling agent type, X2= Liquid paraffin %, X3= Emulsifying agent %

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275 Regression analysis of the data was carried out in statistical analysis system (SAS) by a special cubic model. From ANOVA study
 276 on the data of Clotrimazole release after 3 hours (Y₁) and the antifungal activity (Y₂) which is shown in Table 6, the standard error
 277 was below 5%, indicating that the observed responses were very close to predicted values. The Durbin-Watson (DW) statistic tests
 278 the residual to determine if there is any significant correlation between data, since the DW value is greater than 1.4, there is
 279 probably not any serious autocorrelation in the residuals.

280 **Table 6 Summary of results of regression analysis for responses Y₁ (drug release after 3 hrs.) and Y₂ (antifungal**
 281 **activity)**

Response	R ²	Adjusted R ²	Standard error	Mean absolute error	Durbin- Watson statistic
Drug release after 3 hrs. (Y₁)	98.18	93.62	1.37	0.627	1.7099
Antifungal activity (Y₂)	98.69	95.41	1.71	0.837	2.23

282 The promising Formulation was selected on the basis of the accepted criteria of both the drug release after 3 hrs. and the drug
 283 antifungal activity. From the obtained results, Hydroxypropyl methyl cellulose as a gelling base was used in addition to liquid
 284 paraffin in its low level (5%) and emulsifying agent in its high level (7.5%). These criteria was found in formulation F6 as the
 285 observed values were very close to the predicted ones as shown in Table 7,

286 **Table 7 Observed and predicted values of the responses for the optimized Clotrimazole formulation (F6)**

Response	Observed value	Predicted value	Residual
Drug release after 3 hrs. (Y₁)	43.22	42.87	0.35
Antifungal activity (Y₂)	57.5	56.4	1.1

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288 **4. CONCLUSION**

289 From the above results we can conclude that emulgel will be a solution for incorporating hydrophilic drugs in water soluble gel
 290 bases. Clotrimazole emulgel formulations prepared using either Carbopol 934 or HPMC 2910 showed acceptable physical
 291 properties, pH, drug content, viscosity, antifungal activity and stability. Also the study shows that the use of 2³ factorial designs is
 292 valid in predicting the optimized formulation which found to be HPMC-based emulgel with liquid paraffin in its low level and
 293 emulsifying agent in its high level since it shows the highest drug release and antifungal activity.

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