

2 **Title: A Comparative Analysis of Electronic Prescribing Near Misses in King**  
3 **Saud Medical City, Riyadh, Saudi Arabia**

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**Background:**

A “near miss” or close call is a medication error that happened but did not result in injury or damage to the patient. These medication errors (MEs) are captured and corrected before affecting the patient either fortuitously or purposefully by designed system controls imbedded in electronic health record (EHR) as well as electronic prescribing systems (EPS).

**Objective:** This study analyzed the reported electronic prescribing near misses (NMs) in King Saud Medical City (KSMC) in Riyadh city.

**Methods:** The ME report forms were consecutively collected over a period of one year, from 1 January to 31 December, 2012. These forms were evaluated for data abstraction and a comparative analysis of NMs/NM report forms of first 6-month (n=1025, timeline 1) versus second 6-month (n=2398, timeline 2) was carried out. No systematic intervention prior to timeline 2 was used in this study.

**Results:** The total number of MEs/NMs report forms was 3423 and total number of reported NMs was 7415, as each form could contain more than one NM. Drug prescription items, medication dispensing stages, NM makers and identifiers, underlying causes, sites of errors, prescribed drugs and suggested actions to avoid NM errors all differed significantly between the two timelines, which could be attributed to natural, real world practices in KSMC.

**Conclusion:** This prospective study found significant differences in factors related to NMs between two six month periods in a single year. Reasons for these differences between two timeframes remain poorly understood. NMs comparative studies using systematic interventions are warranted in the Kingdom of Saudi Arabia.

14 *Keywords: Electronic prescribing near miss, medication errors, e-prescribing, electronic health*  
15 *records, electronic prescribing system, Saudi Arabia.*

## 16 **1. INTRODUCTION**

17  
18 A near miss is a medication error that happened but did not reach the patient. Near miss  
19 may also be defined as an error that reached the patient but did not result in harm [1].  
20 According to the Agency for Healthcare Research and Quality (AHRQ), a near miss is an  
21 event or situation that did not produce patient injury only because of chance [2]. However,  
22 the Institute for Safe Medication Practices (ISMP) has criticized this definition [1]. ISMP  
23 considers a near miss as a close call, which is an event, situation, or error that took place  
24 but was captured before reaching the patient. **European researchers** extensively reviewed  
25 the literature on the definition of NM and defined three near miss incidents (Type 1-3) [3].  
26 These were based on a combination of “patient reached” and “patient harmed”, and focused  
27 on error handling processes in terms of detection, explanation, countermeasures and their  
28 combinations. As a result, they developed a near miss incident matrix. Near misses and  
29 medication errors are considered medical incidents (MIs) [4]. Electronic health records  
30 (EHRs) embedded with electronic prescribing system (EPS) considerably reduces  
31 medication incidents [3-13].

32 There is much less literature on electronic prescribing (EP), and medical incidents in the  
33 Eastern world [14-15]. Recently, one descriptive study has explored electronic prescribing  
34 near misses (NMs) in King Saud Medical City (KSMC), Riyadh, Saudi Arabia [16]. However,  
35 this paper comparatively examines electronic prescribing near misses voluntarily reported  
36 over one year and attempts to elucidate factors that impact electronic prescribing NMs in  
37 KSMC, Riyadh, Kingdom of Saudi Arabia (KSA).

38

## 39 **2. OBJECTIVE AND SCOPE**

40 This study seeks to estimate the monthly rate of NMs during the year 2012 in KSMC,  
41 Riyadh, and compare factors influencing NMs between the first and second [T1 and T2] six  
42 months of the year, building on our previous work [16]. This study attempts **to discuss** the  
43 personal, ecological and system influences, **i.e., real world practice factors** at KSMC that  
44 **might have** affected the occurrence of NMs during the two timeframes. The main  
45 assessment involves electronic prescribing NMs recorded in ME report forms during the year  
46 2012. **In addition, monthly NMs were also gathered from e-prescribing data available in**  
47 **pharmaceutical care department. The scope of this study is larger as it explores the rate and**  
48 **possible real world practice** determinants of NMs over a period of one year and the findings  
49 **of this study may help medical city planners to develop medication safety plan, further**

50 organize medical services especially during second half of the year, tailor targeted training  
51 courses and prevention strategies to reduce near misses in different hospitals and  
52 ambulatory care services in KSMC and by extension patient safety will improve.

53

### 54 **3. MATERIAL AND METHODS**

55

56 The study was conducted from 1 January to 31 December 2012 at KSMC, which is a major  
57 1400-bed tertiary care hospital. In 2006, KSMC became the first Ministry of Health (MOH)  
58 hospital to implement an electronic prescribing system (EPS). This tertiary care hospital  
59 serves a wide range of patients drawn from a large population in and around Riyadh, many  
60 of whom present with complex medical problems and are referred from different regions of  
61 KSA. The hospital's MEDI system, i.e., electronic health record system, has been upgraded  
62 regularly since 2006. The EPS is connected to the MEDI system. The number of daily e-  
63 prescriptions at KSMC varies and does not include paper prescription or medication orders  
64 written on patients' charts.

65 Medical incidents (MIs) are reported voluntarily to the medication safety unit of KSMC. All  
66 healthcare providers and consumers can report medication errors (MEs) to this unit. Two  
67 coordinators, one from pharmacy and the other from Drug Poisoning Information Center  
68 (DPIC) work on electronic MEs data collection, its entry into the computer, and statistical  
69 analysis. They also produce quarterly ME reports. All MEs reporters are required to  
70 complete an ME reporting form. The completed ME forms are screened and reviewed by the  
71 pharmacy designee in the medication safety unit for deciding whether or not the reported ME  
72 is a near miss. Thereafter, this ME form is sent to DPIC for further review and statistical  
73 analysis. Sentinel errors are investigated by a committee using root cause analysis (to be  
74 reported in a forthcoming paper). Two other methods for reporting electronic prescribing  
75 NMs not used in this study are web and telephone.

76 NMs in the present report were examined during the two consecutive six-month timeframes  
77 [T1 & T2]. No systematic intervention, such as a randomized clinical trial, was implemented  
78 between T1 and T2 to influence NMs in this study. We examine here the role of real world  
79 practice factors that might have affected NMs between the two arbitrary time periods. These  
80 real world practice factors are not measured in this study. KSMC setting factors that may  
81 have had an influence included the implementation of a medication safety unit in mid-year  
82 2012; organization of a medication safety committee; design and distribution of a medication  
83 error flow chart in all KSMC departments; assigning an ME pharmacist to all departments of  
84 KSMC; implementation of twice-monthly educational and awareness sessions on MEs for all  
85 nurses, pharmacists, and physicians, including newly employed staff; adoption of a blame-

86 free culture in reporting and documenting MEs; distribution of posters and brochures on MEs  
87 throughout KSMC; and an annual evaluation and competency report of activities to motivate  
88 and engage employees in reporting and documenting MEs. Finally, annual vacations taken  
89 by staff and time off for Ramadan (fasting) and Hajj (pilgrimage) that occurred especially  
90 during T2 may have influenced near misses occurrence, identification and reporting during  
91 that period. An arbitrary division of year 2012 into two timelines -T1 and T2 were also  
92 impacted by these factors and unstructured programs.

93

### 94 **3.1 DATA COLLECTION**

95 All medication error report forms were evaluated by the pharmacist and Drug Poisoning  
96 Information Center staff. The relevant data were abstracted from these forms. The variables  
97 examined were gender, medication-related variables such as drug types, dose, frequency of  
98 administration, route of administration, dosage form, concentration, and duration, details on  
99 reporters and interveners, types of errors, causes of errors, stages of electronic prescribing  
100 NMs made, settings where NMs were made, actions taken to avoid the occurrence of NMs,  
101 and suggested recommendations for preventing electronic prescribing NM errors in the  
102 future. In addition, real practice MEs safety/prevention programs at KSMC were also  
103 identified. For this purpose, key pharmaceutical care managers of KSMC were consulted.  
104 This study was approved by the Academic Department of KSMC that gave permission to  
105 analyze and publish our findings regarding electronic prescribing NMs.

106

### 107 **3.2 DATA ANALYSIS**

108 Data were analyzed using the Statistical Package for Social Sciences version 17 software  
109 (IBM Corporation, Armonk, NY, USA). Descriptive statistics were used to calculate  
110 frequencies and percentages. We also calculated rate of NMs for each month during the  
111 year 2012. The NM rate was equal to the number of NMs for a particular month X 100  
112 divided by the number of prescriptions made during the month. The NMs data for T1 and T2  
113 were compared using z-test. This test is used to compare two proportions created by two  
114 random samples or two subgroups of one random sample. Exact p values are reported in  
115 various tables and value equal or less than .05 was considered significant. Most of p values  
116 are .001. Bar graph for NMs/ME report forms of the year 2012 was plotted.

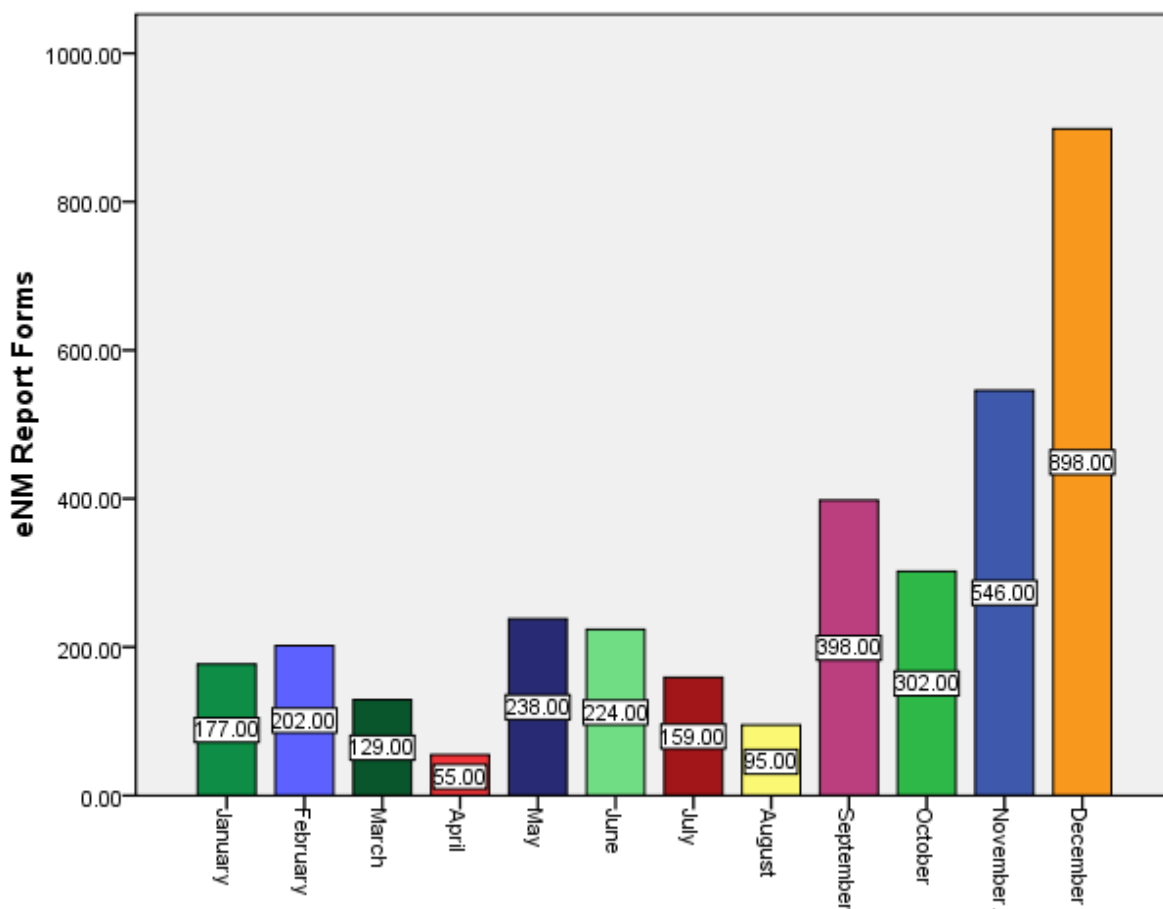
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## 118 **4. RESULTS**

119

120 A total of 3,423 NM report forms were collected between January 1, 2012 and December 31,  
121 2012. Although the total number of electronic prescribing NM report forms was 3,423, each

122 form could contain more than one near miss. The number of NM report forms that contained  
 123 more than one NM error was 1163 (34%). The number of NMs was 7415 for the year 2012  
 124 [Table 1, during T1=2,716 and T2=4699] and reporters' and interveners responses as shown  
 125 in various tables [T2-10 and T1 and T2] differ across individual items listed in the NM report  
 126 forms. This is possibly attributed to missing values in NM report forms. The numbers of NM  
 127 report forms in first and second half of the year were 1,025 (29.9%) and 2,398 (70.1%),  
 128 respectively. The distribution of ME/NM report forms by month (Figure 1-Bar graph) showed  
 129 that they ranged from 55 to 898 per month.



**Figure 1: eNM Report Forms by Months**

130  
 131 The Table 1 presents the monthly distribution of electronic prescriptions, frequency of NMs  
 132 and their rates.  
 133 Table 1. NMs by month in 2012

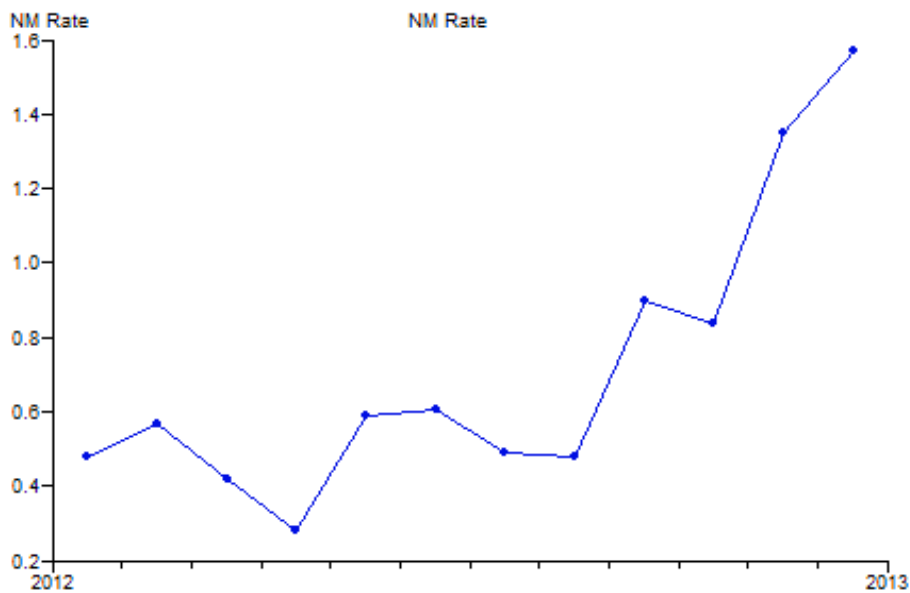
Month Variable	Jan.	Feb.	Mar.	April	May	June	July	Aug.	Sept.	Oct.	Nov.	Dec.	Total

No. of prescription	96321	92000	86012	88829	97548	88821	83644	65163	86819	78053	77154	95718	1036082
No. of NMs	459	527	361	252	572	545	406	315	785	657	1038	1498	7415
Rate of NMs%	0.48	0.57	0.42	0.28	0.59	0.61	0.49	0.48	0.90	0.84	1.35	1.57	0.72

134

135 The number of NM report forms during T2 (n=2,398, 70.1%) was more than double those in  
 136 T1 (n=1025, 29.9%). Males comprised 58.7% (n=602) of NMs during the first 6-months  
 137 compared to 48.8% (n=1170) during the second 6-months. Time-series graph (Figures 2) of  
 138 NMs shows the different rates (in percentages) of NMs between T1 and T2 during 2012.

139 Figure 2. Time-series graph of month-wise NMs rate for the year 2012.



140

141

142 Compared to T1, there was significant decrease in incorrect doses, wrong dosage forms,  
 143 drug-drug monitoring, wrong quantity, and wrong patient during T2, whereas there was a  
 144 significant increase in wrong strength/concentration and wrong route. Other drug related  
 145 variables did not differ between the two timelines (Table 2).

146 Table 2. Distribution of drug-related variables in NMs medication errors\*

Medication variables in NMs	First 6-months		Second 6-months		Z value	P value
	No. of Cases	%	No. of Cases	%		
Wrong Frequency	266	25.95	633	26.27	0.42	.67
Incorrect Dose	250	24.39	415	16.57	5.39	.007
Wrong Drug	126	12.29	343	13.69	1.11	.26
Wrong Duration	97	9.46	242	9.66	0.18	.85

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Wrong	Strength/						
Concentration		92	8.98	529	21.12	8.60	.001
Wrong Dosage Form		57	5.56	94	3.75	2.41	.01
Monitoring Error-Drug-Drug		53	5.17	70	2.79	3.49	.005
Wrong Quantity		28	2.73	9	0.36	6.28	.001
Wrong Patient		21	2.05	22	0.88	2.87	.004
Omission Error		14	1.37	21	0.84	1.43	.15
Wrong Documentation		12	1.18	28	1.12	0.13	.89
Wrong Route		4	0.39	74	2.95	4.70	.003
Wrong Rate		3	0.29	14	0.56	1.03	.29
Wrong Time of Administration		2	0.19	11	0.44	1.08	.27
Total		1025	100%	2505	100%		

147 Reporters' responses related to drug-variable items listed in NM report forms

148 NMs significantly decreased during transcription and entering, monitoring and administration  
149 stages of medication processing during T2 compared to T1. However, NMs related to  
150 physician orders significantly increased during T2 compared to T1. There was no difference  
151 in NMs between T1 and T2 for the dispensing and delivery stages (Table 3).

152 Table 3. Stages during which near miss medication errors were discovered\*

Stages Involved	First 6-months		Second 6-months		Z value	P value
	No. of Cases	%	No. of Cases	%		
Transcription & Entering	676	55.32	1074	43.93	6.51	.001
Physician Ordering	397	32.49	1150	47.03	8.40	.001
Dispensing & Delivery	115	9.41	210	8.59	0.82	.41
Monitoring	24	1.96	8	0.33	5.02	.005
Administration	10	0.82	3	0.12	3.34	.008
Total	1222	100%	2445	100%		

153 Reporters' responses to listed drug processing stages during which NMs were identified.

154 Physicians and pharmacists made significantly fewer NMs during T2 compared to T1 and  
155 nurses and assistant pharmacists made significantly more NMs during T2 compared to T1  
156 (Table 4).

157 Table 4. Health professionals who committed near miss medication errors

Health professionals	First 6-months		Second 6-months		Z value	P value
	No. of Cases	%	No. of Cases	%		
Physicians	493	47.27	282	10.42	24.96	.001
Nurses	436	41.80	2197	81.18	23.63	.001
Pharmacists	66	6.33	29	1.07	9.1	.001

Asst. Pharmacists	48	4.60	198	7.33	3.0	.002
Total	1043	100%	2706	100%		

158

159 Furthermore, pharmacists were more likely to identify NMs during T1 compared to T2. A  
 160 significant reverse trend was observed for assistant pharmacists who identified more NMs  
 161 during T2 compared to T1. There were no significant differences in NM identification  
 162 between nurses, physicians and clinical pharmacists between two time periods, although the  
 163 latter group does not usually engage in medication dispensing (Table 5).

164 Table 5. Health professionals who identified near miss medication errors

Error Identifiers	First 6-months		Second 6-months		Z value	P value
	No. of Cases	%	No. of Cases	%		
Pharmacist	1002	97.28	2251	93.83	4.19	.003
Nurse	14	1.36	24	1.00	0.92	.35
Asst. Pharmacist	10	0.97	119	4.96	5.62	.002
Clinical Pharmacist	2	0.19	1	0.04	1.38	.166
Physicians	2	0.19	4	0.17	0.17	.86
Total	1030	100%	2399	100%		

165

166 Corrective actions by health professionals in response to NM medication errors significantly  
 167 decreased between T1 and T2 with regard to dose corrections, calls for clarification,  
 168 cancelled drugs, forwarding orders to health providers, discontinuation of drugs, and  
 169 occurrence of variance report (OVR). Conversely, actions taken by professionals  
 170 significantly increased from T1 to T2 with regard to pharmacist noting NM and waiting for  
 171 response and no drug dispensing (Table 6).

172 Table 6. Actions taken by pharmaceutical staff in response to near miss medication errors\*

Action	First 6-months		Second 6-months		Z value	P value
	No. of Cases	%	No. of Cases	%		
Change to correct dose/ drug/duration/ frequency/rate/ route/dosage form/patient/strength/quantity	710	34.97	1025	19.03	14.45	.001
Pharmacist note & wait for response	358	17.64	1880	34.91	14.45	.001
Call reporter for clarification	471	23.20	322	5.98	21.39	.001
No Dispensing	331	16.31	1900	35.28	15.88	.001
Educational Session	48	2.36	156	2.89	1.24	.21
Cancelled drug	28	1.38	16	0.29	5.41	.006
Forward order to nurse/physician/pharmacist	28	1.38	27	0.79	3.92	.009
D/C Drug	24	1.18	17	0.32	4.48	.007

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Informed Nurse/Physician to change the order	12	059	22	0.41	1.03	.29
OVAR	11	0.54	8	0.15	2.98	.003
Supervise the Asst. Pharmacist/Pharmacist during dispensing	9	0.44	12	0.22	1.59	.111
Total	2030	100%	5385	100%		

173 Pharmacy staff took appropriate actions in response to reported NMs identified in NM report forms/e-prescriptions.

174 According to the perceptions of NM reporters, the main causes for NMs were wide-ranging  
175 (Table 7). Notably, lack of education and miscommunication regarding the drug order as  
176 causes for NMs increased significantly between T1 and T2. On the other hand,  
177 environmental, staffing, or workflow problems, drug information missing, drug  
178 name/label/package problems, lack of quality control or independent check system, clinical  
179 information missing, drug delivery device problems and drug storage or delivery problems  
180 significantly decreased between T1 and T2. However, patient education problems as a  
181 cause for NMs did not differ significantly between the two time periods (Table 7).

182 Table 7. Causes of near miss medication errors\*

Cause of Error	First 6-months		Second 6-months		Z value	P value
	No. of Case	%	No. of Case	%		
Lack of Staff Education	419	34.12	2127	49.95	9.80	.001
Miscommunication of Drug Order	387	31.51	1865	43.79	7.71	.001
Environmental, Staffing, or Workflow Problem	199	16.21	89	2.09	19.53	.001
Drug Information Missing	121	9.85	99	2.33	11.84	.001
Drug Name, Label, Package Problem	40	3.26	50	1.17	5.06	.004
Lack of Quality Control or Independent Check System	39	3.18	11	0.26	9.47	.001
Clinical Information Missing	15	1.22	12	0.28	4.14	.003
Drug Delivery Device Problem	4	0.33	2	0.04	2.60	.009
Drug Storage or Delivery Problem	3	0.24	1	0.02	2.52	.011
Patient Education Problem	1	0.08	2	0.04	0.45	.64
Total	1228	100%	4258	100%		

183 \*Reporters' responses to listed causes in NM report forms when they report one or more NMs

184 Regarding locations where NM medication errors were reported and made, NMs significantly  
185 decreased between T1 and T2 for the inpatient-pharmacy and other settings. Conversely,  
186 NMs increased significantly between T1 and T2 at the OR-pediatric hospital, possibly  
187 because the training programs in this setting did not highlight and emphasize pediatric ME  
188 problems (Table 8).

189 Table 8. Locations where near miss medication errors were made\*

Site of Errors	First 6-months		Second 6-months		Z value	P value
	No. of Case	%	No. of Case	%		
OPD-General Hospital	453	44.67	841	34.88	5.39	.007
ER-General Hospital	237	23.37	767	31.81	4.95	.007
OPD Maternity Hospital	203	20.02	326	13.52	4.80	.002
In-Patient Pharmacy	53	5.23	33	1.37	6.58	.001
OPD-Pediatric Hospital	23	2.27	136	5.64	4.28	.002
Out-Patient Pharmacy	22	2.17	42	1.74	0.84	.39
ER-Pediatric Hospital	12	1.18	169	7.01	6.95	.001
OR-Pediatric Hospital	7	0.69	47	1.95	2.70	.006
Others	4	0.39	50	2.07	3.8	.001
Total	1014	100%	2411	100%		

190 \*Location will remain the same but reporters may identify more than one NM there and its documentation in NM  
 191 report forms.

192 The NMs decreased significantly between T1 and T2 in relation to cardiovascular agents,  
 193 metabolic agents, and miscellaneous drugs. However, NMs significantly increased between  
 194 T1 and T2 in relation to coagulation modifiers, respiratory agents, psychotherapeutic agents  
 195 (Table 9).

196 Table 9. Medications involved in near miss medication errors\*

Medications	First 6-months		Second 6-months		Z value	P value
	No. of Cases	%	No. of Case	%		
Anti-infective	239	22.61	512	20.61	1.33	.18
Cardiovascular agents	207	19.58	354	14.25	3.97	.007
CNS Agents	154	14.57	367	14.77	0.15	.87
Nutritional products	69	6.53	130	5.23	1.53	.12
Gastrointestinal Agents	67	6.34	145	5.84	0.57	.56
Coagulation modifiers	64	6.05	837	33.69	17.28	.001
Metabolic agents	46	4.35	76	3.06	1.92	.05
Hormones	39	3.69	79	3.18	0.77	.43
Respiratory agents	37	3.50	412	16.59	10.71	.001
Topical agents	29	2.74	56	2.25	0.87	.38
Genitourinary Tract Agents	19	1.81	36	1.45	0.76	.44
Psychotherapeutic Agents	17	0.95	92	3.70	3.30	.001
Antineoplastics	13	1.23	21	0.85	1.07	.28
Miscellaneous agents	57	5.39	98	3.95	1.92	.05
Total	1057	100%	2484	100%		

197 \*Reporters' responses to listed medications involved in NMs

198 Recommendations by NM reporters decreased significantly between T1 and T2 with regard  
 199 to double checks and patients counseled, whereas CME, stop nurse drug entry, medication  
 200 reconciliation, and system upgrade all significantly increased from T1 to T2 (Table 10).

201 Table 10. Recommendations to avoid near miss medication errors\*

Recommendation	First 6-months		Second 6-months		Z value	P value
	No. of Cases	%	No. of Cases	%		
Double Check	822	50.09	426	12.59	28.84	.001
CME	511	31.14	1276	37.72	4.56	.005
Physician Entry/stop nurse medication entry	303	18.46	1484	43.87	17.63	.001
Medication Reconciliation	3	0.18	96	2.84	6.35	.002
Patient Counseling	2	0.12	--	--	2.03	.042
System Upgrade	--	--	101	2.98	7.07	.001
Total	1641	100%	3383	100%		

202 \*Reporters' responses to listed recommendations in NMs report forms when they report NMs

203 **5. DISCUSSION**

204

205 This study estimated the NM rate and compared important aspects of electronic prescribing  
 206 NMs across two timelines in a tertiary care hospital in Riyadh City. Unlike the female  
 207 predominance in MEs, males were slightly overrepresented (1772 males versus 1651  
 208 females from e-prescriptions) in this and our previous study [16] despite the fact that in  
 209 ambulatory care females tend to utilize more healthcare services. However, the number of  
 210 females increased during T2 matching the universal trend [17]. Other factors that also impact  
 211 healthcare utilization include reproductive biology and age-related mortality [17].  
 212 Conventional wisdom would suggest that overutilization of healthcare services by females  
 213 should increase their risk of having more NMs; however, the reverse was the case in this  
 214 study, at least during T1. In the second half of the year, pressure on prescribers to utilize  
 215 medication stock before the end of the year may have also contributed to this finding. Our  
 216 finding that females who utilize more healthcare services paradoxically tend to have fewer  
 217 NMs diverges from other reports [22] and, therefore, needs replication in future studies.

218 For some outpatient departments and the inpatient pharmacy at KSMC, there was significant  
 219 drop in NMs between T1 and T2 possibly due to the implementation of a medication safety  
 220 plan, regular training of staff especially pharmacy personnel, and rigorous quality monitoring.  
 221 Other important sites for NMs were pediatric and adults emergency and maternal ambulatory  
 222 care services, which is consistent with other studies [5-6,12,16,18]. In these settings, except  
 223 for the maternity hospital, the proportion of NMs increased significantly between T1 and T2,  
 224 possibly due to staff shortages and less rigorous quality monitoring in emergency settings

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225 during the Hajj season, when healthcare providers' services are diverted to the two holy sites  
226 and the training programs not targeting pediatric and emergency ME problems. While other  
227 factors [19] also influence the occurrence of medical incidences (MIs) and reporting, how  
228 they affect the occurrence of MIs throughout the year are unknown.

229 In general, factors such as patient's age, weight, diagnosis, prescribed medications,  
230 experience of health care providers, practice setting, and the presence or absence of EPS  
231 have a strong impact on the prevalence of MEs [19]. Interestingly, similar factors predict the  
232 occurrence of NMs [20], an important aspect of medication errors. Myers and associates  
233 substantiated that the causes of and contributing factors to MEs are similar to those involved  
234 in NMs [8]. Addressing the same issue, a study from Japan examined predictors of NMs  
235 and adverse events and found that those for NMs and adverse events are quite similar.  
236 Years of experience, frequency of night shifts, ward location, and time pressure were all  
237 significantly related to both NMs and adverse events. According to this study, there was little  
238 difference between the causes of NMs and those of adverse events [20].

239 According to the present study, the rates of near misses/close calls varied throughout the  
240 year and were significantly higher during T2 (n=4699 vs.2716). This finding is consistent with  
241 other studies, which also report variable prevalence of electronic prescribing MEs and NMs  
242 [9,16,21-25]. Variations in the prevalence rate of medication errors have been attributed to  
243 different factors including methodology, definitions of MEs, study settings, classifications of  
244 MEs, and sample size [23-24], which may also help to explain the differences reported  
245 regarding electronic prescribing NMs. In a systematic review of medication errors,  
246 researchers reported prevalence of MEs ranged from 2% to 75%, with no association found  
247 between how MEs were defined and their prevalence. However, the majority of studies  
248 reported prevalence rates below 10% [24]. Approximately 35% of MEs are potentially  
249 preventable adverse events/near misses [25]. Arguably, NMs that are not checked and  
250 corrected will lead to a significant rise in MEs with consequences that range from mild to  
251 serious to fatal. Therefore, the primary reason for identifying and correcting NMs is to  
252 improve the management of health care systems so that health risks are reduced and  
253 patient safety is further improved. However, both MEs and NMs are frequently  
254 underreported [4,12,26] as we found in the present study. The monthly NM rate here ranged  
255 from 0.48 % to 1.57%, with an overall annual rate of 0.72%.

256 A variety of clinical factors related to NMs decreased significantly between T1 and T2,  
257 whereas others increased. However, some factors, including the wrong time of drug  
258 administration, did not change between T1 and T2. Though no straightforward explanations  
259 can be offered, medication safety programs and related training courses on medical  
260 incidents may have contributed. However, these variables have been reported as causes for

261 medical incidents in previous studies [16,27-29]. These findings argue for the presence of  
262 electronic checks in the process of prescribing and dispensing medications throughout the  
263 year in order to prevent these medical incidents and the adverse health consequences and  
264 economic losses involved [30-31]. The correct and complete documentation of medication-  
265 related variables in e-prescriptions is mandatory and strongly recommended in clinical and  
266 pharmaceutical practice worldwide. Only when this is accomplished will patient safety,  
267 quality care, cost reductions and decreased morbidity and mortality be ensured across the  
268 healthcare system [19]. This has been substantiated in at least one study of NM events on  
269 labor and delivery, in which medication and patient identification errors were the most  
270 common near miss events [5]. In another study of perceptions of perioperative nurses,  
271 personal factors reflecting “communication between team”, “inconsistent information,” and  
272 “incorrect monitoring” were the most frequently identified causes of near misses [7].  
273 Medical incidents (MIs) can occur at any one of the five stages of medication administration,  
274 including medication prescribing [16,26]. To address this issue further, a study found that the  
275 phase affected by the most medication errors in all three models was transcription and the  
276 least affected phase was administration, but prescription errors were the worst in single-dose  
277 systems [32]. In another study, nurses reported that medication administration and  
278 transcription errors were the most frequent types of NMs caused by personal factors rather  
279 than by institutional factors. This study emphasized that education to avoid personal errors,  
280 including STAR, i.e., stop, think, act, review, and verification of proper procedures, was  
281 imperative for nurses to avoid NMs [10]. In mental health settings, medication administration  
282 errors are the most common errors, and distraction, poor communication and being  
283 unfamiliar with the ward are common contributory factors [11]. These results underscore the  
284 importance of double checking, training of health professionals, and focusing on physician  
285 entry in reducing near misses [10-11,16]. The present study found that NMs significantly  
286 decreased between T1 and T2 during transcription and entering, monitoring and  
287 administration stages of medication processing. However, NMs related to physician ordering  
288 significantly increased from T1 to T2, possibly due to an overall shortage of staff. The fact  
289 that annual vacations of most physicians and the pilgrimage season falls during T2 may  
290 explain this increase in near misses related to physician ordering. During the second six  
291 months of the year, hospitals in KSA are usually short of physicians and those who remain  
292 tend to overwork and develop fatigue, which is associated with more medication errors and  
293 near misses [33].  
294 Physicians and nurses tend to make the most near misses, whereas pharmacists and  
295 nurses are those most likely to identify and report NMs. Furthermore, pharmacists are most  
296 likely to intervene in order to prevent medication errors [16,27–29]. Pharmacist interventions

297 result in the prevention of up to 89% of medication errors [28,29,34]. We found that  
298 physicians and pharmacists but not nurses made significantly fewer NMs during T2. While  
299 pharmacists identified significantly more NMs during T1 than during T2, this finding was  
300 reversed for assistant pharmacists who identified more NMs during T2 than during T1.  
301 Making, identifying, reporting and intervening in NMs are closely shared by a triad that is  
302 comprised of physicians, nurses and pharmacists. In light of the Eindhoven model,  
303 **investigators** proposed that nurses manage medical errors by identifying and correcting them  
304 [35]. Evidently, health professionals often do not report near misses for many reasons  
305 including fear and blame [36]. Other investigators have reported **unique** approaches for  
306 capturing electronic prescribing near misses in order to develop a patient safety culture [25].  
307 According to our previous study [16] antibiotics, cardiovascular drugs, CNS agents,  
308 nutritional products, GIT agents and coagulator modifiers were the most frequent  
309 medications involved in NMs. Globally, antibiotics are prescribed most frequently and are the  
310 most common source of adverse drug events [37-38]. Several issues related to prescribing  
311 such medications including route of administration and associated near misses have been  
312 reported [9,16,39-42]. IV medications from multiple drug groups have been associated with  
313 up to 54% of potential adverse drug events/near misses and 56% of medication errors [39].  
314 In one survey, near misses were identified most frequently (90.3%) by emergency  
315 department pharmacists [37]. According to the present study, NMs associated with some  
316 drugs either significantly decreased or significantly increased from T1 to T2. We feel that  
317 near misses associated with medications should ideally decrease not only during T2 but also  
318 throughout the year.

319 It has been emphasized that the counseling of patients regarding medication use and the  
320 documenting of details in e-prescriptions by physicians are key to preventing medication  
321 errors [43] including near misses. The advantages and techniques of patient counseling  
322 have been discussed [16,44-45]. Furthermore, patients and their family members are  
323 important source of identifying medical incidents affecting their health care [46]. Besides  
324 counseling of patients and caregivers, their appropriate training and engagement in  
325 identification of medication errors in emergency departments may further boost health care  
326 safety [46]. We found that NM medication error reporters recommended significantly less  
327 double checking and patient counseling during T2. Patient counseling is clearly underused in  
328 this tertiary care setting. Counseling of patients regarding medication use needs to be  
329 mandatory as it tends to reduce medical incidents and facilitates patient safety and improves  
330 quality of life.

331 A number of limitations affect the generalizability of this study's results. Although several  
332 variables related to NMs were influenced by natural real world practice factors in KSMC, **this**

333 study was not designed to fully explain the time trends in near misses discovered here.  
334 However, factors related to healthcare providers and healthcare consumers (personal), the  
335 healthcare institution (institutional), and healthcare informatics (EP system) clearly influence  
336 the occurrence, identification, reporting, and prevention of NMs. Missing values need to be  
337 highlighted, which is an obvious limitation of this study. However, given values in various  
338 tables will guide about the missing data and its overall quality. Finally, the results of this  
339 study are preliminary in nature and therefore further researches on NM are needed especially  
340 to determine what factors impact the occurrence of near misses/close calls.

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## 6. CONCLUSION

344 We report here the rate of NMs and other important insights into electronic prescribing near  
345 misses between two consecutive six-month periods during 2012, with findings that are  
346 consistent with results from other investigators internationally. Based on our brief literature  
347 review, our research findings, opinions of near miss reporters, and the recent initiation of  
348 several real practice operational programs, we make several recommendations for further  
349 mitigating NMs at KSMC and other similar tertiary care hospitals. NM prevention  
350 interventions such as double checking, rigorous quality monitoring, and regular training of  
351 staff in prescribing, providing incentives for reporting NMs, ensuring system updates, and  
352 patient counseling should be implemented in all tertiary care hospitals across the nation.  
353 Although electronic prescribing NMs do not result in injury or damage to the patient, they  
354 need to be identified and corrected. Otherwise MEs will increase significantly with a range of  
355 adverse consequences. Electronic prescribing systems/electronic health record systems  
356 need to be updated for capturing and correcting NMs, which will help to prevent real MEs  
357 associated with increased economic costs, poor health outcomes and compromised quality  
358 of life.

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## COMPETING INTERESTS

370 All authors except AMAB and NAQ are affiliated to the tertiary care hospital where this study  
371 was conducted. Abdullah Mohammed Al-Bedah and Naseem Akhtar Qureshi have no  
372 conflicts of interest in this work.

373

#### 374 **AUTHORS' CONTRIBUTIONS**

375

376 Dalal Salem Al-Dossari designed the study, reviewed all NMs report forms, and helped in  
377 performing the statistical analysis. Ibrahim Abdulaziz Al-Zaagi also helped in designing this  
378 study, managed the analyses of the study and reviewed the first draft. Siham Dawood Al-  
379 Saud also contributed to the concept development of this paper, and searched and reviewed  
380 the selected relevant literature. Dr. Abdullah M. Al-Bedah also helped in the development of  
381 concept of this study and reviewed the first draft. Dr. Naseem Akhtar Qureshi wrote the  
382 protocol, and wrote the first draft of the manuscript. All authors read and approved the final  
383 manuscript.

384

#### 385 **ETHICAL APPROVAL**

386

387 This study was approved by the Academic Department of KSMC that gave permission to  
388 analyze and publish our findings regarding electronic prescribing NMs.

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