¹ Original Research Article ² Clinical Factors associated with Atrial Fibrillation in Congestive Heart ³ Failure patients admitted at the University Teaching Hospital, Lusaka, ⁴ Zambia

5 Abstract

6 **Introduction:** Atrial fibrillation (AF) and Heart failure (CHF) have emerged as major global 7 epidemics. These two conditions share common risk factors and frequently coexist. Each 8 condition predisposes to the other, and the concomitant presence of the two has additive 9 adverse effects. This study examined the clinical factors associated with AF in CHF patients 10 admitted at the University Teaching Hospital (UTH), Lusaka, Zambia.

11 **Method:** A hospital-based cross-sectional study was conducted at UTH adult medical wards. Data was done from June 2014 to August 2014. A structured interview schedule was used to 12 13 capture the socio-demographic; an Omron HEM 780 automated Blood Pressure machine was used to measure Blood Pressure and pulse. Schiller AT-102 ECG machine was used to identify 14 participants with AF. Those participants without AF, had 24-hours ECG DR180+ Digital 15 16 Recorder applied to detect those with paroxysmal AF. All participants with any form of AF were 17 assessed for clinical factors. Binary logistic regression analysis of the data was carried out using IBM[®] SPSS[®] Statistics for Windows version 20.0 to predict clinical factors associated with 18 AF in CHF patients. 19

Results: A total of 49 patients were sampled and out of these 13 (26.5%) had AF. Atrial
fibrillation was associated with excessive alcohol intake, hypertension and diabetes mellitus.

Conclusion: These findings suggest the need for clinicians taking care of the congestive heart
 failure patients to consider full scale use of ambulatory ECG monitors in all CHF patients with
 the above conditions.

Keywords: Atrial fibrillation; ambulatory ECG monitors; congestive heart failure; Lusaka,
Zambia

27 1.0. INTRODUCTION

28 **1.1. Background**

Atrial fibrillation (AF) and Congestive Heart failure (CHF) have emerged as major global epidemics [1]. These two conditions share similar risk factors, frequently coexist, and have additive adverse effects when occurring in conjunction [2]. The risk factors include hypertension (HTN), coronary artery disease (CAD), structural heart disease (non-ischaemic, valvular), diabetes mellitus (DM), obesity and obstructive sleep apnoea [3]. The co-prevalence also increases with advancing age and each predicts/compounds the course of the other [1,4].

There has been increasing evidence regarding the adverse role of AF in patients with CHF both in terms of morbidity as well as prognosis [1]. Most of the studies done have revealed that AF through the loss of organized atrial activity and absence of coordinated atrial mechanical function, is associated with clinical and hemodynamic deterioration which may predispose the patient to systemic thromboembolism and poorer prognosis [1]. Impaired contraction of the atria may cause blood stasis and the potential for thrombus formation, particularly in the left atrial appendage, especially in CHF as there is already presumed stagnation of blood [5].

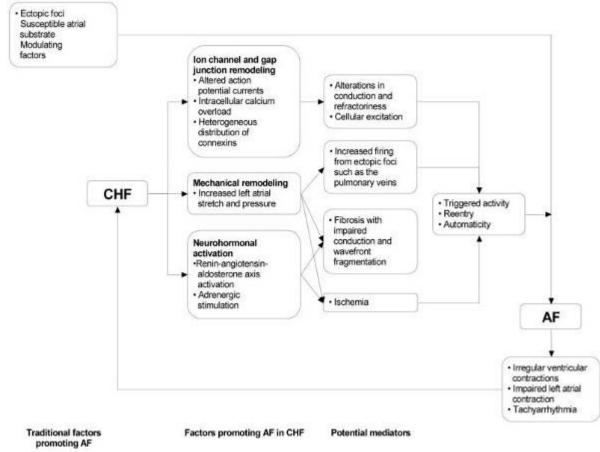
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1.1.1. Pathoetiology of Atrial Fibrillation in Congestive Heart Failure

The pathoetieological interplay between CHF and AF is complex. CHF predicts the development of AF and conversely AF predisposes to CHF [1]. The mechanisms, through which CHF provides arrhythmogenic atrial substrate include; elevated left-sided filling pressures, mitral regurgitation, atrial

46 enlargement, interstitial fibrosis and electromechanical remodelling [4]; activation of autonomic and renin47 angiotensin axis; as well as changes in the intracellular calcium [6].

Conversely, AF can lead to CHF through multiple adverse effects including loss of atrial systole, functional mitral/tricuspid regurgitation, tachycardiomyopathy, and reduced ventricular diastolic filling time [1]. Irregularity in the RR interval can also have a potentially deteriorating influence on cardiac output irrespective of the heart rate [7]. Moreover, deterioration of sinus rhythm in AF patients with CHF can lead to acute decompensation.



53 promoting AF 54 Source: Lubitz, Benjamin & Ellinor (2010)

55 Figure 1:A. Pathoetiological inter-relationship between AF and CHF

56 **1.2. Statement of the problem**

57 Most of the studies done have revealed that AF through the loss of organized atrial activity and absence

58 of coordinated atrial mechanical function, is associated with clinical and hemodynamic deterioration which

59 may predispose the patient to systemic thromboembolism and poorer prognosis [1]. Impaired contraction 60 of the atria may cause blood stasis and the potential for thrombus formation, particularly in the left atrial 61 appendage, especially in CHF as there is already presumed stagnation of blood [5].

Furthermore, in clinical practice, the use of clinical risk factors in predicting disease development, prognosis as well as the probability of death is very important; because early recognition and treatment of reversible factors indicative of poor outcome could aid in early identification and better management of patients. It was therefore, hoped that the ability to define clinical factors associated with AF in CHF patients would have important clinical relevance. It was further assumed that this study will provide the basis for many studies in the area of AF and CHF. Hence, the need that this study be done.

68 MATERIAL AND METHODS / EXPERIMENTAL DETAILS / METHODOLOGY

69 **1.3.** *Population and sampling procedures*

This was a hospital based cross-sectional study carried out in adult medical wards run at the UTH, Lusaka, Zambia. The UTH is the national referral health centre that treats and reviews patients with various diseases, including CHF.

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1.3.1. Inclusion criteria

All known congestive heart failure patients aged 18 years and above who consented to take part in the study were included.

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1.3.2. Exclusion criteria

However, CHF patients below the age of 18 years, acute patients who were not able to get out of bed, congestive heart failure patients who refused to consent to the study and those who were recruited in the previous month(s) were excluded from the study.

80 **1.3.3. Participants enrolment**

81 Participants who met the inclusion criteria were enrolled into the study between July to September 2014.

82 **1.4.** Data collection

A structured interview schedule was used to capture data on demographic characteristics, clinical factors and laboratory measurement results. The interview schedule was developed based on the World Health Organization (WHO) stepwise survey (STEPS) instrument [8]. The same instruments were used on all the patients to ensure reliability and validity. The data on demographic and clinical factors were obtained by interview, review of medical records and anthropometric measurements.

88 The weight and height of the patients were measured using a ZT-160 adult weighing mechanical scale 89 model with a height rod (Wuxi Weigher Factory Co., Ltd, Zhejiang, China) whose values were used to 90 compute the body mass index (BMI). Blood Pressure and pulse rate were measured on the left hand of 91 the patient in a lying position using an Omron HEM 780 automated Blood Pressure machine (Omron 92 HEALTHCARE Co. Ltd, Vietnam). A standard 12-lead Electrocardiogram (ECG) was done using Schiller 93 AT-102 ECG machine on all participants to identify those with and without atrial fibrillation. Then those 94 who had no atrial fibrillation on Schiller AT-102 ECG machine, had a holter monitor (DR180+ Digital 95 Recorder, Northeast Monitoring Inc, USA) applied for 24 hours in trying to pick up some paroxysmal 96 arrhythmias which were not detected on a standard 12-lead ECG.

97 **1.5.**

. Data analyses

Using IBM[®] SPSS[®] version 20.0, analyses included: descriptive and binary logistic regression. A 95%
confidence interval (CI) and *P*-value of < 0.05 were set.

- 100 **1.6.** *Ethics approval*
- 101 This non-interventional study was approved by ERES CONVERGE IRB (Reference number 2014-Mar-102 003) and permission was granted by the Hospital authority to carry out the study. All subjects were older 103 than 18 years and gave written consent prior to their participation.
- 104 **2.0. RESULTS**

105 2.1. Socio-demographic data

106 Table 2: Socio-demographic characteristics of AF in CHF patients admitted to UTH (N=49)

Variable	Frequency	Per cent	
Sex			
Female	25	51	
Male	24	49	
Total	49	100	
Age			
35 - 44 Years	2	4.1	
45 - 54 Years	10	20.4	
55 - 64 Years	16	32.7	
65 Years and above	21	42.9	
Total	49	100	
Body Mass Index			
18.5 - 24.9	26	53.1	
25 - 29.9	11	22.4	
30 and above	12	24.5	
Total	49	100	
Smoking			
No	39	79.6	
Yes	10	20.4	
Total	49	100	
Alcohol consumption			
No	34	69.4	
Yes	15	30.6	
Total	49	100	

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Table 2 shows the socio-demographic characteristics of atrial fibrillation in congestive heart failure patients admitted the UTH. A total of 49 Congestive Heart Failure patients who met the inclusion criterion were enrolled into the study. There were almost equal number men and women; 49% vs. 51% respectively. However, the majority of patients (42.9%) were aged 65 years and above. The majority (53.1%) of the patients had a normal BMI (18.5 – 24.9). About 20.4% of the patients were smokers; and about 30.6% of the patients were consumers of alcohol.

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2.2. Clinical Factors Data

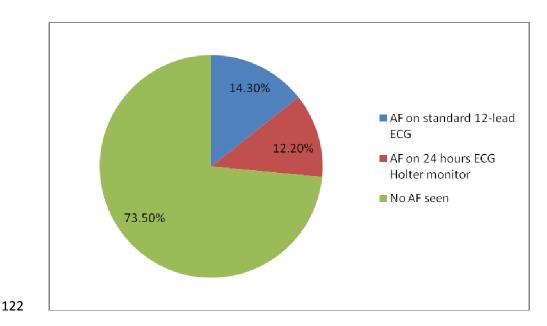
Variable	Frequency	Per cent	
NYHA Class			
Class III	9	18.4	
Class IV	40	81.6	
Total	49	100	
Hypertension			
No	36	73.5	
Yes	13	26.5	
Total	49	100	
Coronary Artery Disease			
No	46	93.9	
Yes	3	6.1	
Total	49	100	
Dilated Cardiomyopathy			
Yes	40	81.6	
No	9	18.4	
Total	49	100	
Diabetes Mellitus			
No	42	85.7	
Yes	7	14.3	
Total	49	100	
Chronic Lung Disease			
No	42	85.7	
Yes	7	14.3	
Total	49	100	

115 **Table 3:** Clinical characteristics of patients with AF in CHF patients (N=49)

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Table 3 shows the clinical characteristics of AF in CHF. The majority of the patients (81.6%) were in the New York Heart failure Association (NYHA) class IV; 26.5% of the patients had hypertension; 18.4% had dilated cardiomyopathy; 14.3% had chronic lung disease; 14.3% had diabetes mellitus; and 6.1% had coronary artery disease.

121 **2.3.** Electrodiagnosis of Atrial Fibrillation



123 Figure 1:B. Electrodiagnosis of Atrial Fibrillation (N=49)

Figure 1 shows the modality utilsed to diagnose AF. Standard 12-lead ECG done on the patients indicated that (7) 14.3% had atrial fibrillation. The ambulatory monitor showed atrial fibrillation waves in another (6) 12.2% of patients, giving a combined prevalence of AF of 26.5% in this study population.

127 **2.4.** Univariate Logistic Regression of the Factors Associated with AF in CHF patients

Binary logistic regression analysis was used to determine the clinical factors associated with atrial fibrillation in congestive heart failure patients.

130 Table 4: Univariate Logistic Regression Determining Factors Associated with AF in CHF patients

	Atrial Fibrillation				
	No AF seen	AF seen			
Clinical Factor	No (%)	No (%)	OR (95%CI)	P-value	
Sex					
Female	20 (80.0)	5 (20.0)	2.00 (.55 – 7.31)	.295	
Male	16 (66.7)	8 (33.3)			
Age					
35 - 44 Years	2 (100.0)	0 (0.0)	.00 (.00 – 1.85)	.999	
45 - 54 Years	10 (100.0)	0 (0.0)	.00 (.00 – 1.85)	.999	
55 - 64 Years	12 (75.0)	4 (25.0)	.44 (.00 - 1.85)	.264	
65 Years and above	12 (57.1)	9 (42.9)	Ref (1.0)		
Body Mass Index					
18.5 - 24.9	26 (100.0)	0 (0.0)	.00 (.0056)	.998	

25 - 29.9	8 (72.7)	3 (27.3)	.08 (.0156)	.012 [*]
30 and above	2 (16.7)	10 (83.3)	Ref (1.0)	
Smoking				
No	33 (84.6)	6 (15.4)	.08 (.0239)	.002
Yes	3 (30.0)	7 (70.0)	Ref (1.0)	
Alcohol intake				
No	33 (97.1)	1 (2.9)	.01 (.0008)	.000
Yes	3 (20.0)	12 (80.0)	Ref (1.0)	
NYHA Class				
Class III	7 (77.8)	2 (22.2)	.75 (.14 – 4.20)	.746
Class IV	29 (72.5)	11 (27.5)	Ref (1.0)	
Hypertension				
No	34 (94.4)	2 (5.6)	.01 (.0009)	.000
Yes	2 (15.4)	11 (84.6)	Ref (1.0)	
Coronary Artery Disease				
No	35 (76.1)	11 (23.9)	.16 (.01 – 1.90)	.146
Yes	1 (33.3)	2 (66.7)	Ref (1.0)	
Dilated Cardiomyopathy				
No	34 (85.0)	6 (15.0)	.05 (.0030)	.001
Yes	2 (22.2)	7 (77.8)	Ref (1.0)	
Diabetes Mellitus				
No	35 (83.3)	7 (16.7)	.03 (.0032)	.003
Yes	1 (14.3)	6 (85.7)	Ref (1.0)	
Chronic Lung Disease				
No	35 (83.3)	7 (16.7)	.03 (.0032)	.003
Yes	1 (14.3)	6 (85.7)	Ref (1.0)	
*Indiantan aignifiaant ny	volue et m . 0.05	(0 tailed)		

131 *Indicates significant *p*-value at *p* < 0.05. (2-tailed)

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Table 4 above shows a binary logistic regression analysis of the variable factors. Smoking, alcohol intake, hypertension, dilated cardiomyopathy, diabetes mellitus and chronic lung disease were shown to be strongly associated with atrial fibrillation in congestive heart failure. However, the analysis showed that sex, age, body mass index, NYHA class and coronary artery disease were not associated with atrial fibrillation in congestive heart failure.

The multivariate logistic regression model was the final analysis performed. All the significant factors from the univariate logistic regression were considered for entry into the multivariate logistic regression model. The results of the multivariate binary logistic regression analysis to establish whether six variable factors; that is smoking, alcohol intake, hypertension, dilated cardiomyopathy, diabetes mellitus and chronic lung disease are associated with atrial fibrillation in congestive heart failure.

143 **2.5.** Factors associated with AF in CHF patients

	Atrial Fibrillatio	n		
	No AF seen	AF seen		
Clinical Factor	No (%)	No (%)	OR (95%CI)	P-value [*]
Smoking				
No	33 (84.6)	6 (15.4)	.11 (.0017)	
Yes	3 (30.0)	7 (70.0)	Ref (1.0)	.106
Alcohol intake				
No	33 (97.1)	1 (2.9)	.02 (.0027)	
Yes	3 (20.0)	12 (80.0)	Ref (1.0)	.004
Hypertension				
No	34 (94.4)	2 (5.6)	.02 (.0021)	
Yes	2 (15.4)	11 (84.6)	Ref (1.0)	.002
Dilated Cardiomyopathy				
No	34 (85.0)	6 (15.0)	.64 (.03 - 13.24)	
Yes	2 (22.2)	7 (77.8)	Ref (1.0)	.773
Diabetes Mellitus				
No	35 (83.3)	7 (16.7)	.01 (.0017)	
Yes	1 (14.3)	6 (85.7)	Ref (1.0)	.002
Chronic Lung Disease				
No	35 (83.3)	7 (16.7)	.09 (.00 - 3.52)	
Yes	1 (14.3)	6 (85.7)	Ref (1.0)	.198

144 **Table 5:** Multivariate Logistic Regression Determining Factors Associated with AF in CHF patients

145 *Indicates significant *p*-value at p < 0.05.

The multivariate binary logistic regression model was tested for factors associated with atrial fibrillation in congestive heart failure. The dependent variable was AF in CHF patient: present (1), absent (0). The results of the multivariate binary logistic regression analysis to predict the clinical factors associated with AF in CHF patients showed that there is no correlation between the presence of atrial fibrillation in congestive heart failure and sex, age, body mass index, NYHA class, smoking, coronary artery disease, dilated cardiomyopathy and chronic lung disease; and a strong association was noted between atrial fibrillation in congestive heart failure and excessive alcohol intake, hypertension, and diabetes mellitus.

153 **3.0. DISCUSSION**

The major finding of our study is a demonstration of a 26.5% prevalence of atrial fibrillation in congestive heart failure patients; and that hypertension, diabetes mellitus and excessive alcohol intake are strong, independent clinical factors associated with atrial fibrillation in congestive heart failure patients.

157 The prevalence of atrial fibrillation in congestive heart failure patients admitted to UTH during the period 158 of study; on standard 12-lead ECG was 14.3%. However, the 24-hour ECG holter monitor revealed an 159 additional 12.2% (Figure 1). This indicates that the standard 12-lead ECG misses some of the cases of 160 atrial fibrillation probably because these cases may be having paroxysmal atrial fibrillation which may not 161 be active at the time a standard 12-lead ECG is being taken. This may also be the case with 24-hour 162 ECG holter monitor because sometimes paroxysmal atrial fibrillation may take more than 24 hours before 163 it may resurface. However, this shows that there is need to use ambulatory diagnostic equipment such as 164 ECG holter monitors in the diagnostic investigations so that even those with paroxysmal atrial fibrillation 165 may also be picked.

This high prevalence rate of AF may be attributed partially to the advancing age of the study population [9]; increase in the prevalence of non-communicable diseases such as hypertension, heart failure, and diabetes mellitus; as well as the increase in the chronic lung diseases [10]. However, this prevalence rate is similar to the 30% prevalence rate reported in the Acute Decompensated Heart Failure National Registry in the United Kingdom [11]. It is also similar to what the Framingham Heart Study [12] reported of AF after the age of 40 in the United States. They reported a prevalence of 26% for men, and 23% for women.

The study also revealed that there is a strong association between atrial fibrillation in congestive heart failure and excessive alcohol intake (OR .02, p=.004). Similarly, several case-control studies [13,14,15,16] found relatively similar odds of AF among abstainers and moderate drinkers, and significantly higher odds of AF among heavier drinkers, a finding confirmed in a prospective analysis of the Copenhagen City Heart Study [17]. Furthermore, Satoru K et al (2011) [18] also found that the AF risk increases with increasing levels of alcohol consumption. However, these studies did not address whether

the type of alcoholic beverage consumed (beer, wine, or spirits) made a difference to AF risk. Atrial fibrillation in alcohol is probably due to the fact that heavy, long-term drinking damages the heart by weakening the heart muscle leading to a condition known as alcoholic cardiomyopathy; which provide favourable conditions for the genesis and maintenance of atrial fibrillation.

183 The study also found a strong association between atrial fibrillation and hypertension (OR .02, p= .002). 184 This result is similar to the findings of Psaty BM et al [19] and Hennersdorf MG et al [20]. Several 185 pathophysiologic mechanisms in hypertension may be implicated in the initiation and maintenance of 186 atrial fibrillation. These include structural changes, neurohormonal activation, fibrosis, atherosclerosis, 187 etc. They have all been advocated to explain the onset and sustainance of atrial fibrillation. Untreated or 188 suboptimally treated hypertension leads to the development of left ventricular hypertrophy (LVH), which is 189 one of the most important expressions of subclinical organ damage, and is an independent risk factor for 190 cardiovascular events, including the development of atrial fibrillation. In the presence of LVH, left 191 ventricular compliance is reduced, left ventricular stiffness and filling pressure increase, coronary flow 192 reserve is decreased, wall stress is increased and there is activation of the sympathetic nervous system 193 and of the renin-angiotensin-aldosterone system. In the atria, proliferation and differentiation of 194 fibroblasts into myofibroblasts and enhanced connective tissue deposition and fibrosis are the hallmarks 195 of this process. Structural remodelling results in electrical dissociation between muscle bundles and in 196 local conduction heterogeneities facilitating the initiation and perpetuation of atrial fibrillation. This 197 electroanatomical substrate permits multiple small re-entrant circuits that can stabilize the arrhythmia. 198 Over time tissue remodelling promotes and maintains atrial fibrillation by changing the fundamental 199 properties of the atria [21]

Some studies [22,23] have implicated diabetes mellitus in the initiation and perpetuation of atrial fibrillation. This study also found a strong association (OR .01, p= .002) between diabetes mellitus and atrial fibrillation. Diabetes mellitus has also been implicated as an independent risk factor for atrial fibrillation in that glucose and insulin disturbance can directly affect the myocardium in the atrium and/or ventricle, e.g. by causing left ventricular hypertrophy leading to AF. Prospective data from large population based studies established the relationship between LA size and risk of developing AF [24].

206 Analysis of the Framingham study subjects showed that left ventricular (LV) mass increased with the 207 worsening of glucose tolerance and the trend was more striking in women than in man. There were also 208 close relationship between insulin resistance and LV mass, as well as LV wall thickness, in women both 209 with normal and abnormal glucose tolerance [24]. Furthermore, several observations suggest that the 210 autonomic nervous system plays an important role in both the initiation and/ or the maintenance of AF in humans. In the animal model of DM, the occurrence of AF was enhanced by adrenergic activation in 211 diabetic heart. The intra -atrial conduction delay and fibrotic deposition in atria play a major role in 212 213 producing atrial tachyarrhythmia in the diabetes animal model. The heterogeneous increase in 214 sympathetic innervation was proved to be associated with the promotion of AF in several studies [25,26].

215 **4.0.** CONCLUSION

216 To the best of our knowledge, this is the first study at UTH to look at clinical factors associated with AF. 217 The main findings of this study include a relatively high prevalence of atrial fibrillation in congestive heart 218 failure patients of 26.5%. Only 54% of this diagnosis was made using the standard 12 Lead ECG. Almost 219 half of the patients with AF would have been missed without the use of the holter ECG monitor. This 220 study also demonstrates a strong association between atrial fibrillation in congestive heart failure and 221 excessive alcohol intake, hypertension, and diabetes mellitus. These results suggest that clinicians 222 should carefully evaluate patients in congestive heart failure to exclude AF especially in patients with 223 history of excessive alcohol intake, hypertension, and diabetes mellitus which diagnosis would be 224 enhanced by the use of ambulatory ECG monitoring devices.

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