<u>RESEARCH PAPER</u> An integrated soil fertility management decision support tool for coffee: model structure and calibration for Northern Tanzania

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ABSTRACT

The aim of this study was to develop a simple and quantitative system for coffee yield estimation and nutrient input advice, so as to assist in assessing coffee production as a function of soil and nutrient inputs, and to support decisions at farm level. An earlier model QUEFTS was used as a benchmark. The study was conducted between 2010 and 2013 at TaCRI Lyamungu, with source data taken from Hai and Lushoto districts. Northern Tanzania. Secondary fertilizer trial data were used in model calibration for coffee, which mainly affected Step 1 and 3 while adding two more steps related to balanced nutrition and the economics of integrated soil fertility management (ISFM). Primary soil analytical data and calculated yields on basis of tree number were used for model testing. The result was a new model which we hereby call SAFERNAC (Soil Analysis for Fertility Evaluation and Recommendation on Nutrient Application to Coffee). The model consists of three modules: SOIL, PLANT and INPUT. It consists of two subsequent parts - a baseline approach (no input) for coffee land evaluation; and an integrated soil fertility management (ISFM) approach that involves application of nutrient inputs, for ISFM planning and design of fertilizer experiments. The model was checked for accuracy of the adjusted equations, and found to be capable of reproducing the actual yields by 80-100%. The new model is a useful tool for use in coffee farms.

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12 Key words: Coffee yield model, soil fertility evaluation, Northern Tanzania, nutrient 13 equivalent, nutrient inputs

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16 **1. INTRODUCTION**

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18 The importance of coffee in the Tanzanian economy is well documented by [1], [2] and [3], 19 among others. Coffee prefers very deep (more than 1.5 m), well drained friable loam and 20 clay soils. Soils with high available water holding capacity, a pH in the range of 5-7 and a 21 high nutrient holding capacity are most suitable [4]. Its average nutrient removal from a 1 ha soil per growing cycle is 135 kg of N, 35 kg of P₂O₅ and 145 kg of K₂O [5]. With a substantial 22 part also getting lost through leaching and downstream flow in the soil, it is essential to 23 24 replace the mined and lost nutrients by having a well-planned nutrient management 25 programme [6].

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In Tanzania, coffee is grown in a wide variety of agro-ecological zones. MARI [7], following
 the system developed by De Pauw in 1984 and adopted by [8], described the coffee zones

29 as Eastern Plateaus (E12-E15), High plateaus and plains (H1, H2, H3, H5), Volcanoes and 30 rift depressions (N4, N10), Central plateaus (P6) and Western Highlands (W1-W4). These 31 include an altitudinal range of 500 - 3500 masl, and rainfall range of 500 - 3500 mm (mostly 32 over 1000 mm). According to the fundamental growth conditions for coffee [4], [9], [10], 33 water availability in these zones does not pose a serious limitation to coffee, and neither 34 does irradiance or temperature in this tropical Tanzanian situation. This statement, however, 35 does not take into account the imminent threat of climate change. Following [11], this leaves 36 soil condition as a major factor of coffee productivity in the Tanzanian coffee growing zones.

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38 The Northern coffee zone comprises four regions, namely Arusha, Kilimanjaro, Manyara and 39 Tanga. It extends between latitudes 1°42' and 5°58' S; longitudes 35°25' and 38°49' E. The 40 coffee growing areas in the zone fit into agro-ecological zones E, H and N, with altitudinal and rainfall ranges as above. The zone is among several that are dedicated exclusively to 41 42 the production of mild Arabica coffee. Annual coffee production trend for the zone indicates a 43 decline over years. Kilimanjaro, once a giant coffee producer, appears to have suffered 44 most, with annual production decreasing from about 20,000 tons in 1981/82 to less than 45 5000 tons by 2005/06 [12]. As reflected during the coffee stakeholders' forum of 2009, soil 46 fertility degradation appears to be the most limiting factor.

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48 Soil fertility is not a distinct property of the soil as such, since many soil properties influence 49 fertility and also influence each other. In its part, soil fertility affects, and is also affected by, the choices that farmers make regarding agricultural production, fertilization, and soil and 50 51 water conservation regimes, a study of which needs a method for measuring soil fertility. 52 Unfortunately, there is no unique technique [13]. Ultimately, farmers are not interested in the 53 soil properties themselves, but how they affect agricultural production. We therefore need to 54 use models to explain the effects on yields of individual soil properties that are measured by 55 soil sampling. The predicted yield can then be used as an integrative indicator of soil fertility.

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57 One of the important thrusts of Tanzania Coffee Research Institute (TaCRI) is in the area of 58 integrated soil fertility management (ISFM). Considering the diverse environments under 59 which coffee is grown, crop yield and fertilizer modelling becomes of great importance. With 60 many coffee yield modelling attempts so far based on the crop and its physiological 61 processes [14], enough knowledge is now available for devising empirical constants. The 62 work described here therefore focuses on the land and its capacity to support coffee. Its 63 objective was to make a coffee ISFM decision support tool on basis of soil properties, 64 organic and inorganic nutrient inputs; calibrated for the northern coffee zone of Tanzania. 65 with a prospect of scaling up and out.

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2. METHODOLOGY

2.1 Background

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72 Efforts to collect and collate the available soil data for purposes of gauging the TaCRI 73 recommendations on soil fertility management started in 2005. Soil data from various places 74 in Kilimanjaro, and results from NPK reference trials at TaCRI Usagara C farm, and fertilizer 75 x tree density trial, Lyamungu were collected. These data were used between 2007 and 76 2010 in calibrating an earlier developed fertilizer advice model QUEFTS (Quantitative 77 Evaluation of the Fertility of Tropical Soils) [15], [16], [17], [18] and [19], to coffee.

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2.1.1 Estimation of physiological nutrient use efficiency (PhE) by coffee

81 Because in the trials whose data are used in this work crops had not been analyzed, the 82 uptake of nutrients was estimated by dividing the yield by the physiological efficiency (PhE). 83 which relates agronomic yield with nutrient uptake in all crop components [17]. Unfortunately 84 there appears to be no real data on physiological nutrient use efficiency (PhE) by coffee. 85 They were therefore derived from literature on nutrient contents in crop components. The 86 data in Table 1 were collected from [20], [21], [22], and [23], and tuned to the results of 87 TaCRI fertilizer trials. It was assumed that they represent average values. The medium 88 physiological nutrient use efficiency (PhEM) is then found by dividing dry matter production 89 of parchment coffee by gross uptake of nutrients. This results in 1000/70 (=14), 1000/12.5 (=80), 1000/63 (=16) for N, P and K. 90

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92 Table 1: Rounded indicative values of dry matter production and average nutrient contents in 93 various components of the coffee tree. Dry matter production of pulp and vegetative growth 94 refer to the annual production going together with an annual dry parchment coffee 95 production of one ton.

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Component	Dry matter	Ν	Р	К
Parchment coffee	1000	20	2.3	18
Pulp	875	16	6.0	17
Vegetative growth	2000	34	4.2	28
Total DM; Gross uptake	3875	70	12.5	63

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99 2.1.2 Experimental data for model calibration

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101 In the calibration of QUEFTS, we used coffee-based data from two TaCRI's on-station field 102 trials (NPK reference trial; fertilizer x tree density trial) to establish relationships between soil fertility indices and nutrient uptake by coffee. The NPK reference trial had been 103 superimposed on established coffee in 1983. The design was 3^2 factorial with N and K both 104 105 applied at rates of 80, 160 and 240 kg per ha per year while all units received 60 kg P per ha per year. N and K were applied in three rounds and P in two rounds. Three extra 106 107 experimental treatments were included as well: N0P0K0, N2P0K2, N2P2K2, where N2 and K2 stand for 160, and P2 for 120, kg ha⁻¹ year⁻¹. The fertilizer x tree density trial was started 108 109 at Lyamungu in 1994. It had a split-plot design with tree density (1330, 2660, 3200 and 5000 110 trees ha⁻¹) as the main treatment, and N application as a sub-treatment (0, 90, 180 and 270 111 kg N ha⁻¹ year⁻¹, split-applied in three rounds). Only yields of the best year were used in 112 order to minimize the risk that other factors than soil fertility and NPK had influenced yields. 113 Some soil analytical data of both trials were available (Table 2). Starting with the parameter 114 values of the original QUEFTS model, a trial-and-error procedure was followed until the fit 115 could not be improved further.

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117 Table 2: Soil analytical data for the two on-station trials

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Location	SOC g/kg	SON g/kg	P-Bray 1 mg/kg	Exch K mmol/kg	pH water				
		NPK reference trial							
Usagara C	18	2.8	67	19	5.7				

Fertilizer x tree density trial

Trees per ha

1330	22	2.2	86	22.1	5.7
2660	24	2.4	109	21.1	5.8
3200	21	2.1	65	17.3	5.6
5000	18	1.8	119	18.2	5.3

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121 2.2 Adaptation of QUEFTS to coffee

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The first task in adapting QUEFTS to coffee was to review, with the coffee crop in mind, its various steps. These steps deal with the assessment of available nutrients from soil and inputs (A), the calculation of actual uptake (U) of nutrients as a function of the amounts of available nutrients (A), and the estimation of yield (Y) as a function of the nutrients taken up (U). While QUEFTS assessed available nutrients in unfertilized soils [15] and in chemical fertilizers [16], there was a need to consider in Step 1 also organic nutrient inputs as ISFM components.

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The calculation of actual uptake of nutrients (Step 2) was adopted as in QUEFTS, as it mainly involved theoretical concepts. The actual uptake of Nutrient 1 (U₁) is calculated twice: U_{1,2} is a function of A₁ and A₂ being the available amounts of Nutrients 1 and 2, U_{1,3} is a function of A₁ and A₃. The lower of U_{1,2} and U_{1,3} is assumed to be the more realistic one in accordance with Liebig's Law of the Minimum.

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In the third step, yield ranges between maximum and minimum limits are derived on basis of the actual nutrient uptakes. Yields at maximum accumulation of nutrients in the crop (YNA, YPA, YKA) and at maximum dilution (YND, YPD, YKD) are calculated as the product of actual uptake (U) and physiological nutrient use efficiency (PhE) at accumulation and dilution (PhEA and PhED), respectively. PhE in this study is expressed in kg parchment coffee per kg of nutrient taken up.

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The fourth step mainly followed the QUEFTS principles. Yield ranges are combined in pairs (YNP, YNK, YPN, YPK, YKN, and YKP) taking nutrient interactions into account. The average value of those six yields is considered the final yield estimate (YE). Some restrictions are imposed to ensure that calculated YE does not surpass the maximum dilution of N, P or K (YND, YPD YKD) or the maximum yield that can be obtained in view of climate and crop properties (YMAX). For coffee, the concepts of Y_{tree}MAX and YMAX were introduced as maximum yield limits per tree and per ha, respectively.

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Two additional steps were introduced to facilitate the assessment of the nutrient inputs required for a certain target yield [24]. Step 5 deals with the calculation of physiologically optimum nutrient proportions and the correspondingly required nutrient inputs for balanced crop nutrition. In Step 6 the economically optimum combinations of nutrient inputs are assessed as a function of target yield, soil available nutrients, and prices of input nutrients and yield.

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160 **2.3 Application of the model for coffee land evaluation**

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162 The new model was used to estimate yields on basis of spatial soil data from Hai and 163 Lushoto districts. Data for OC, Total N, Bray 1 P, exchangeable K and pH were used. Those 164 parameters whose units were percentage (OC and total N) and $\text{cmol}_c \text{ kg}^{-1}$ (exchangeable K) 165 had to be multiplied by ten to convert to g kg⁻¹ and mmol_c kg⁻¹ respectively. Plant density was 166 set at 2000 trees per ha (spacing of 2.0 x 2.5 m^2). Other model parameters were left as 167 default.

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169 Data on baseline yield for the two districts were converted to shapefiles under ArcView GIS 170 3.2 (ESRI, 1996) and then interpolated under GRASS environment by using Quantum GIS 171 Version 1.8.0 Lisboa. The inverse distance weighting (IDW) interpolator was used with 172 number of nearest neighbours set to 12 and the power set to 2. Baseline yield data for the 173 two districts [25] was used as a yardstick to test various human intervention strategies; 174 farmyard manure used alone, at 5 tons per ha (about 2.5 kg per tree); inorganic fertilizer N, 175 P and K at the dosage of 160, 60 and 160 kg ha-1; and a combination of the two. Scatter 176 diagrams were used to show the effects of farmer ISFM practices in areas of low, medium 177 and high natural fertility.

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179 3. RESULTS AND DISCUSSION

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181 **3.1 The new model SAFERNAC**

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183 The calibration of QUEFTS for coffee gave rise to a new model SAFERNAC (Soil Analysis 184 for Fertility Evaluation and Recommendation on Nutrient Application to Coffee). The model is 185 built on Excel spreadsheet which allows for flexibility. Depending on the use to which it is 186 put, it can follow one of the two separate approaches -baseline and ISFM. The parameters 187 that differentiate the two approaches are based on Step 1. Figure 5.1 is a schematic representation of the model. The module PLANT comprises all indices related to the coffee 188 189 crop (plant density, maximum yields per tree and per ha, PhEA and PhED). The module SOIL comprises five soil fertility indices (pH, organic carbon, total nitrogen, available 190 phosphorus and exchangeable potassium), and the module INPUT comprises addition of 191 organic and/or inorganic nutrient sources. In the spreadsheet the baseline approach is 192 193 pursued by assigning zero values to all nutrient input columns. This approach simulates 194 coffee yields under natural fertility, and is meant for use in coffee land evaluation. The ISFM 195 approach assigns non-zero values to the nutrient input columns on spreadsheet, whereby the nutrients can be inorganic, organic or a combination of the two. 196



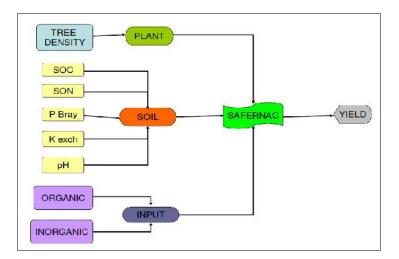


Figure 5.1: Complete structure of SAFERNAC. Baseline and ISFM approaches are
 separated by assigning zero and non-zero to the "input" columns on spreadsheet.

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3.2 Model assumptions and prerequisites

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The system operates under the following conditions, most of which affect Step 1 equations, with the other steps more generic:

- Soil fertility is conceived as the capacity of a soil to provide plants with nitrogen, phosphorus and potassium as primary macronutrients. The system assumes therefore that other nutrients are far less limiting than those three.
- Irradiance and moisture availability are optimum,
- 210 Soil is well drained (minimum of drainage class 3 [26]),
- Soil is deep enough (90 cm and more),
- pH(H₂0) is in the range 4.5-7.0,
- Values for SOC, P-Bray 1 and exch K for the topsoil (0-20 cm) are below 70 g kg⁻¹, 30 mg kg⁻¹ and 30 mmol kg⁻¹, respectively.
- 214 30 mg kg and 30 mmol kg , respect 215
- 216

217 3.3 Calibration of model parameters of SAFERNAC

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219 Results of model calibration are summarized in Appendix 1. These include a simplification of 220 constants (as in fK, SAN, SAP and SAK), introduction of INPUT parameters IAi and IAo and 221 an important PLANT parameter fD in Step 1. Another major adjustment is in Step 3, where 222 the PhE values were recalibrated and expressed as kg parchment coffee per kg of nutrient taken up at accumulation "a" and dilution "d" as shown in Table 3. On the other hand, the 223 factors rN, rP and rK subtracted from UN, UP and UK respectively for maize was removed -224 225 they do not apply in areas growing coffee in Tanzania. Step 4 follows QUEFTS principles. 226 Additionally, limitations have been set to the model such that YE \leq max (YND, YPD, YKD, 227 YMAX) by using two PLANT parameters Y_{tree}MAX and YMAX.

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Table 3: Physiological efficiency at maximum, medium and minimum availability of N, P and K (in kg parchment coffee)

	PhE	Symbol	Ν	Р	К	
Maximum	PhED	d	21	120	24	
Medium	PhEM	m	14	80	16	
Minimum	PhEA	а	7	40	8	

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233 **3.4 Balanced NPK Nutrition and crop nutrient equivalents**

235 Some principles of balanced NPK nutrition and crop nutrient equivalents as explained by [27] 236 and applied in Rwanda [28] are adopted in this work. It is assumed that the values of uptake 237 efficiency (UE = U/A) and those of physiological efficiency (PhE = Y/U), averaged for all 238 three nutrients N, P and K, are maximum when the available amounts and the uptakes of N, 239 P and K have optimum proportions. In case the ratio PhED/PhEA is the same for N, P and K. 240 the optimum proportions are equal to the ratios of the reciprocals of the medium 241 physiological efficiencies (PhEM). This implies that in a situation of balanced nutrition, 1 kg 242 of available N has the same effect on coffee yield as 0.175 kg of available P, or 0.875 kg of 243 available K, and similarly does the uptake of 1 kg N have the same effect on coffee yield as 244 the uptake of 0.175 kg P or 0.875 kg K. These values are used to define the unit of nutrient 245 equivalents, referred to as kE.

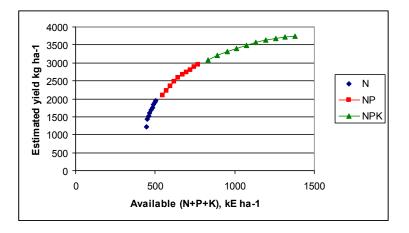
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Once "target yield" or TY and PhEM are known, the relationship Y = U * PhEM can be used in determining the target uptake (TU) and target availability (TA), the latter being the sum of SA (available nutrients from the soil) and IA (available nutrients from input). When SA is known we can estimate the amount of nutrients needed to be added to the soil (both organic and inorganic) to attain the target yield: IA = TA-SA. For balanced crop nutrition, TAN = TAP = TAK, TAi being expressed in kE.

Balanced nutrition is the best possible situation from the environmental point of view, as it ensures maximum uptake of the available nutrients and minimum loss to the environment. Expressing quantities of nutrients in (k)E, and substituting $A_1 = A_2 = A_3$, $d_1 = d_2 = d_3$, $a_1 = a_2$ $= a_3$ and d/a = 3 in Step 3, it follows from that U/A = 0.9583. The average value of the uptake efficiencies is then maximum (being 0.96), and hence the average portion of non-utilized available nutrients is at minimum, being only 4%.

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261 Because soil available nutrients are usually not in optimum proportions, nutrient inputs 262 should be managed in such a way that the sums of (SA + IA) get balanced. This implies that 263 inputs should start with the most limiting nutrient. It should be applied till the available 264 amounts of the most and the one but most limiting nutrients are in balance. Further 265 application should be with these two nutrients according to their optimum proportions till the supplies of all three nutrients are balanced. From there onwards, all three nutrients are 266 267 applied according their optimum proportions. An example is given in Figure 2 representing an imaginary soil having organic C 26g kg⁻¹, organic N 2.6 g kg⁻¹, P-Bray-I 52 mg kg⁻¹ 268 269 exchangeable K 20 mmol kg⁻¹, and pH(H₂O) 5.2. The amounts of soil available N, P and K 270 are then 71.5, 30.4 and 295.4 if expressed in kg ha⁻¹, and 71.5, 173.8 and 337.6 if expressed in kE ha⁻¹. The sum of soil available nutrients is 583 kE ha⁻¹. Tree density is set at 271 272 2000 and hence fD is 0.76. The calculated yield without fertilizer application is 1086 kg ha 273 Because SAN is smaller than SAP and SAK (expressed in kE), inputs should start with N, 274 followed by N+P, and finally with N+P+K. The maximum possible yield is 3800 kg ha⁻¹. That 275 is why in Figure 2 the yield curve levels off at high guantities of available nutrients.



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Figure 2: Relation between calculated coffee yields and the amount of available nutrients expressed in kE ha⁻¹, for three ranges of nutrient input.

279280 **3.5 Outcomes of model demonstration**

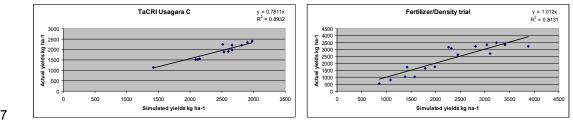
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In Appendix 2, the outcomes of the successive steps 1-4 in the basic SAFERNAC spreadsheet are shown as a two-treatment example for the on-station experiment of Usagara C: amounts of available nutrients (A), actual uptake (U) of N, P and K, yield ranges (Y₁A, Y₁D), yields as a function of nutrient pairs (Y_{1,2} and Y_{2,1}) and the final yield estimate YE. U1,2 stands for UN(P), UP(K), UK(N); U1,3 for UN(K), UP(N), UK(P). Y1,1 stands for YNP, YPK, YKN; and Y2,1 stands for YPN, YKP, YNK. The model was run using the soil analytical data in Table 2 as starting points.

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Figure 3 compares the yields simulated by SAFERNAC (YE) with actual yields (Y_{act}) for the NPK reference trial Usagara C and the fertilizer and tree density trial Lyamungu, of which soil data are given in Table 2. Actual yields were 80-100% of the simulated yields and the lines forced through the origin showed good R² values. The calibrated equations have therefore demonstrated their capability to reproduce the yields of the trials that had been used for their calibration to a satisfactory degree.







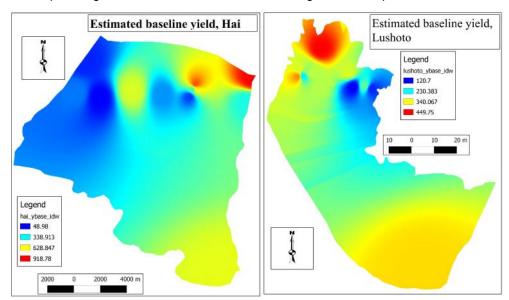
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Figure 3: Simulated and actual parchment yields, TaCRI on-station trials

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300 3.6 Estimated baseline yields Hai and Lushoto

302 Figure 4 shows baseline yield as estimated with SAFERNAC. The baseline yield map for Hai 303 somewhat follows the soil fertility map, with higher yields to the east and north, and lower 304 vields to the west. Yield seems to be influenced more by total N and OC than the other 305 parameters. The yield map for Lushoto appears to contrast the soil fertility map, with the 306 "fertile" areas to the east having lower yields than the "less fertile" areas to the west. The 307 yield range is much narrower than the one for Hai (100-450 kg ha⁻¹). Higher yields were noted in the north (Mtae; 300-450 kg ha⁻¹) and in the south (Bumbuli, Soni and Mgwashi). 308 309 Bumbuli is a traditional coffee grower with traditional coffee varieties N39 and KP423, while 310 Mtae is an upcoming one with few farmers who are using the new improved coffee varieties.



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Figure 4: Baseline yield estimated with SAFERNAC, Hai and Lushoto districts

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314 3.7 Evaluation of ISFM practices

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316 Evaluation results for farmer practices are given in Table 4. The slope represents the rate of 317 change in yield from ISFM interventions with the baseline yield; the latter taken as an 318 indicator of soil fertility. These results are comparable to those of [29] when testing PARJIB 319 model with maize in New Zealand. From the results it is noted that the effect of human 320 intervention (with manure, fertilizer or both) tends to be felt more where baseline yield is low 321 (the increasing Y-intercept), and diminishes progressively as baseline yield increases (the 322 decreasing slope). In other words, response to fertilizer input is greater in soils of lower fertility and vice-versa, and that the uptake of a nutrient is higher in its dilution and lower in 323 its accumulation. The noted variable R^2 values are an indication that the soils, even within 324 districts, differ in soil fertility and therefore response to ISFM interventions. 325

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Table 4: Summary of scatter-plot equations comparing ISFM interventions (manure, fertilizer and combination of the two) against baseline yields, both calculated with SAFERNAC.

District		Hai		Lushoto		
Parameter	Y-int	Slope	R ²	Y-int	Slope	R ²
Manure alone	438	0.88	0.76	426	0.60	0.44
Fertilizer alone	1200	0.68	0.31	988	0.35	0.05
Combination	1500	0.66	0.22	1240	0.25	0.02

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3.8 Description of SAFERNAC in relation to major model categories

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338 A model is a simplified representation of a system. A system is a limited part of reality that 339 contains interrelated elements. The totality of relations within the system is the "system 340 structure". Simulation is the building of mathematical models and the study of their behaviour 341 in reference to those of the systems [30]. Models may be categorized as descriptive or 342 explanatory, empirical or mechanistic, static or dynamic depending on whether a component 343 of time is included, deterministic or stochastic depending on the level of probability allowed; 344 simulating and optimizing depending on intended use [30], [31]. SAFERNAC can be 345 considered partly as a mechanistic model, partly as an empirical model. It is explanatory, but 346 since it does not simulate changes in time it is not a dynamic model.

347 The major part of the model which is described in this paper (Steps 1-4), deals with 348 simulation of (nutrient-limited) coffee yields, but as balanced nutrition and economically 349 optimum applications of N, P and K are incorporated (Steps 5 and 6), SAFERNAC has 350 optimizing properties as well. Like QUEFTS, it is meant as a useful tool in quantitative land 351 evaluation and in decisions regarding integrated soil fertility management (ISFM). The yield 352 predicted by SAFERNAC in its baseline module (with no nutrient inputs) can be used as an 353 integrative indicator of soil fertility, which is one of the land qualities used in land evaluation. 354 The principle of balanced NPK nutrition can be applied to arrive at target yields in the most 355 profitable and environmentally friendly way.

356 **3.9 Nutrient limited, water limited and potential yields of coffee**

357 In many crop growth models, it is usual principle to distinguish between potential, water 358 limited, nutrient limited and actual yields [11], [32]. SAFERNAC and QUEFTS simulate 359 nutrient-limited yields, with the assumption that soil nutrient supplies in the agro-ecological 360 zones that grow coffee in Tanzania would limit crop growth more severely than water availability (the determinant of water-limited yields -WPP), and certainly more than 361 362 irradiance or temperature (which, together with the crop characteristics, govern the potential 363 yield – RPP). It may be necessary in the future to include an agro-meteorological component 364 (like the one suggested by [14]) as climate change becomes more and more important for 365 coffee in the country.

367 So far SAFERNAC has been developed for a mono-crop of non-shaded coffee. This means 368 that it is more useful in coffee estates (most of which prefer non-shaded coffee) than in 369 smallholder farms. In shaded systems however, irradiance needs to be considered because 370 it is known to be a growth-limiting factor. Integration of various levels of shade (and various 371 intercropping regimes) could enrich the PLANT parameter in SAFERNAC. Once this is 372 achieved, the model will expand its usability to smallholder coffee producers. Another option 373 would be to incorporate (parts of) SAFERNAC into a general coffee growth simulation model 374 in the similar way that QUEFTS was incorporated in TechnoGIN [33].

375 376

377 4. CONCLUSION

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A new model called SAFERNAC (Soil Analysis for Fertility Evaluation and Recommendation for Nutrient Application to Coffee) has been developed by calibrating QUEFTS (Quantitative Evaluation of the Fertility of Tropical Soils) for coffee. The objective of the model is to assess coffee production as a function of natural soil fertility and nutrient inputs. It can follow two separate approaches, a baseline and an ISFM (Integrated Soil Fertility Management) approach.

386 The basic structure of the model is described, where some chemical soil characteristics (soil 387 organic carbon (SOC) and/or soil organic nitrogen (SON), P-Bray 1, exchangeable K and pH 388 (water)), nutrient inputs, and maximum yields per tree and per ha are model inputs and 389 coffee yield is the model output. When used to predict yield of parchment coffee per ha from 390 soil fertility alone without intervention, the model acts as a coffee land evaluation tool. Where 391 intensification of coffee production, e.g. via adjustment of plant density, is deliberated, both 392 natural soil fertility and input of nutrients in form of chemical fertilizer, organic nutrient 393 sources or a combination of the two, play a role. Additional required model inputs are then 394 quantity and quality of added nutrient sources and tree density. It is also possible to ask the 395 model to assess the required nutrient additions for a certain target coffee yield, given tree 396 density and the mentioned soil data. The model then becomes an ISFM decision support 397 tool for coffee.

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After calibration of model plant nutrient parameters on the basis of literature data and of model soil parameters using yields of on-station trials of TaCRI, the model was able to reproduce the trial yields on the basis of SOC, SON, P-Bray 1, exchangeable K, pH water, tree density and applied fertilizer NPK by 80-100%. Model usability for coffee land evaluation and ISFM intervention was tested with soils of Hai and Lushoto districts, Northern Tanzania, and proved to be a useful tool in both avenues. The next step will be to pre-test the model among selected smallholder coffee farmers and estates.

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409 COMPETING INTERESTS

- 410
- 411 <u>"Authors have declared that no competing interests exist."</u>
 412

413 AUTHORS' CONTRIBUTIONS

- 414
- 415 Author A: Designed this study, managed the analysis of the study, wrote the protocol and
- 416 wrote the first draft of the manuscript.
- 417 Authors B, C and E: Managed the literature searches
- 418 Author D: Provided all the ideas of his model QUEFTS, on which this work was based, and
- 419 also contributed in literature searches.

- 420 All authors read and approved the final manuscript.
- 421 422

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555 **DEFINITIONS, ACRONYMS, ABBREVIATIONS**

Acronym	Description / Long form
А	Availability (of a certain nutrient) for plant uptake
а	Short form of PhEA or PhEmin
d	Short form of PhED or PhEmax
FAO	Food and agricultural organization of the United Nations
l _i	Input of nutrients in inorganic nutrient sources
l _o	Input of nutrients in organic nutrient sources
IA	Available input nutrients
INPUT	Model component dealing with application of nutrients
ISFM	Integrated soil fertility management
K	Potassium (or potash fertilizer)
kE	Nutrient equivalent (same effect on yield as 1kg N)
MRF	Maximum recovery fraction
Ν	Nitrogen
(S)OC	(Soil) organic carbon
Р	Phosphorus
PhE	Physiological (or internal utilization) efficiency

PhEA	Physiological efficiency at accumulation
PhED	Physiological efficiency at dilution
PhEM	Physiological efficiency at balanced nutrition
PLANT	Model component dealing with plant properties like density
QUEFTS	Quantitative evaluation of the fertility of Tropical Soils
r	Parameter describing minimum uptake required for yield (not used for
	coffee in Northern Tanzania)
RE	Relative effectiveness of nutrients in organic sources
RPP	Radiation-thermal Production Potential
SA	Amount of available nutrients from soil alone (natural fertility)
SAFERNAC	Soil analysis for fertility evaluation and recommendation on nutrient
	application to coffee
SOIL	Model component dealing with soil properties of interest
SV	Substitution value (same as RE)
ТА	Target amount of available nutrients
TaCRI	Tanzania Coffee Research Institute
TU	Target uptake (for a target yield)
TY	Target yield
U	Uptake
WPP	Water-limited production potential
Y _{act}	Actual yields from experimental sites
YE	Yield estimated by the model
YKA	Yield associated with the uptake of potassium at accumulation
YKD	Yield associated with the uptake of potassium at dilution
Ymax	Maximum attainable yield under salient phenological set-up
YNA	Yield associated with the uptake of nitrogen at accumulation
YND	Yield associated with the uptake of nitrogen at dilution
YPA	Yield associated with the uptake of phosphorus at accumulation
YPD	Yield associated with the uptake of phosphorus at dilution

560 APPENDIX 1 SUMMARY RESULTS OF CALIBRATING QUEFTS TO COFFEE.

Model	QUEFTS	SAFERNAC
steps		
1	fN= 0.25 (pH-3)	fN = 0.25 * (pH - 3)
	fP= 1-0.5 (pH-6) ²	$fP = 1 - 0.5 * (pH - 6)^2$
	fK=0.625 (3.4-0.4 pH)	<i>f</i> K = 2 - 0.2 * pH
	SN=fN * 6.8 * SOC or fN*68*	
	SON	SAN = $fN * 5 * SOC \text{ or } fN * 50 * SON$
	SP=fP* 0.35 * SOC+0.5 * P-	$SAP = fP^* 0.25^* SOC + 0.5^* P-Bray-I$
	Olsen	SAK = $fK * 400 * exch.K/SOC$
	SK= (fK * 400 * exch.K)/	
	(2+0.9*SOC)	

	Not considered	
	Not considered	$IAN_i = MRFN * IN_i = 0.7 * IN_i$
		IAP _i = MRFP * IP _i = 0.1 * IP _i
		$IAK_{i} = MRFK * IK_{i} = 0.7 * IK_{i}$
	Not considered	
		$IAN_{o} = REN * MRFN * IN_{o} = 0.42 * IN_{o}$
		$IAP_{o} = REP * MRFP * IP_{o} = 0.087 * IP_{o}$
		$IAK_{o} = REK * MRFK * IK_{o} = 0.7 * IK_{o}$
	Not considered	$fD = -0.06 (D/1000)^2 + 0.5 (D/1000)$
		where D = number of trees per ha, and f D
		= 1 for D = 3333 ha ⁻¹ .
2	Refer QUEFTS papers	Adopted as in QUEFTS
3	YND = 70 * (UN-5)	$Y_1A = a_1 * U_1$
	YNA = 30 * (UN-5)	$Y_1 D = d_1 * U_1$
	YPD = 600 * (UP-0.4)	(a and d referring to PhEA and PhED in kg
	YPA = 200 * (UP-0.4)	parchment coffee per kg of nutrient taken up)
	YKD = 120 * (UK-2)	
	YKA = 30 * (UK-2) Factor "r" subtracted from U in	
		The "r" factor removed. Situations that $U \le r$
-	the equations of yields.	are not applicable in coffee growing areas.
4	Refer QUEFTS papers	Adopted as in QUEFTS. Concepts of Y _{tree} MAX and YMAX added:
		$Y_{tree}MAX = 2.2 - 0.15 X$
		YMAX = 1000 * X * YtreeMAX
		where X is 0.001 times number of trees per
		ha.
		(YE should not exceed YND, YPD, YKD or
		YMAX).
5	Additional step, not in QUEFTS	AN:AP:AK = UN:UP:UK = 1/PhEMN :
		1/PhEMP : 1/PhEMK = (1/14): (1/80): (1/16)
		or 1:0.175:0.875
		1 kEN = 0.175*kEP =0.875*kEK
		Where kE = kilo nutrient equivalent per ha.
6	Additional step, not in QUEFTS	An economic loop that considers the
L		

	quantities and prices of inputs and output for
	calculating the economic optimum nutrient
	application

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567 APPENDIX 2: OUTCOMES OF MODEL CALIBRATION

			0:0:0		240:60:240		
Step	Quantity	N	Р	К	N	Р	K
1	SA	52	21	199	144	24	291
	I _i A	0	0	0	168	6	168
	I _o A	0	0	0	0	0	0
	A	52	21	199	312	30	459
2	U _{1,2}	51.7	17.5	129.2	137.4	23.1	245.1
	U _{1,3}	51.8	20.6	174.7	143.7	24.0	242.1
	U	52	17	129	137	23	242
3	Y.A	362	700	1033	962	925	1937
	Y.D	1086	2099	3100	2886	2774	5810
4	Y _{1,2}	886	1072	1084	1745	2114	2465
	Y _{2.1}	970	1085	1055	1716	2464	2135
	YE			<u>1420</u>			<u>2978</u>
	Comp. Y _{act}			1143			2404