# Evidence for negative electron affinity in laser irradiated ZnTe thin films

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#### 9 ABSTRACT

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Local transport properties of laser irradiated ZnTe thin films are reported. By rastering the laser beam (of 532 nm wavelength) appropriately, ZnTe decomposes into *n*-type ZnTe and Te and a grating like structure with micro-strips of ZnTe separated by grooves of Te is obtained. Conductive atomic force microscopy studies and local I-V measurements made on the strips and grooves show that the film properties are mainly determined by chemical composition, rather than by the topography of the film. When the tip is positively biased, the current images closely match the topography images. In contrast, when the tip is negative current was also observed in the grooves. This is attributed to the variation in charge separation (interface capacitance) caused by the rough surface. It is shown that Te forms ohmic contact with Au tip, but the junction exhibits Schottky diode behavior under low biasing voltages. The large current at both high positive and negative tip biasing may arise due to semimetallic properties of Te. The I-V characteristics measurement reveals formation of Schottky barrier between ZnTe-Au junction with a very low value (32.4 – 78.3 meV) of barrier which indicates the presence of negative electron affinity.

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Keywords: ZnTe films, conducting atomic force microscopy, metal/semiconductor interface,Schottky Barrier

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#### 15 **1. INTRODUCTION**

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17 In semiconductors with negative electron affinity (NEA), the vacuum level lies below the conduction-band minimum. Hence, an electron excited into the conduction band has enough 18 19 energy to leave the semiconductor surface. Semiconductors with NEA provide an alternative 20 way to achieve low work function materials because the Fermi level can be tailored by band gap engineering. There has been intensive effort in the search of new materials with NEA. 21 22 Diamond like carbon (DLC) is a promising material due to its low electron affinity [1]. 23 Unfortunately, the applications of DLC are limited because of its metastable nature. As a 24 result, other materials such as III-V compound semiconductors have been extensively 25 studied for this purpose [2]. ZnTe is a II-VI semiconductor that intrinsically shows p-type 26 conduction and has a 2.26 eV band gap [3-5]. Semiconductors with low bandgap energy 27 and low electron affinity are vital for the design of cold-cathode electron emitters employed in 28 field emitters and flat-panel displays [6]. Since, electron affinity is defined as the difference 29 between the vacuum level and the conduction-band minimum, it can be correlated with 30 bandgap of semiconductor by the following relation [7]

$$\chi = l - E_g \tag{1}$$

where  $E_g$  bandgap energy,  $\chi$  is electron affinity, and *I* is ionization energy

Therefore, in the present work the possibility of NEA in an II-VI semiconductor, ZnTe, is investigated. Laser irradiation of ZnTe films causes dissociation in to ZnTe and Te which is 35 expected to lead to the formation of Te deficient ZnTe showing n-type conduction and 36 decrease in bandgap. Since the variations in transport properties will occur locally, i.e. 37 within grains and grain boundaries, the electrical properties of laser treated ZnTe thin films 38 have been investigated using the technique of conductive atomic force microscopy (CAFM). 39 CAFM also provides the possibility to investigate breakdown phenomena on a nanometer 40 scale. In the present case, CAFM results showed that the distribution of low and high 41 current carrying regions is largely decided by chemical composition at metal-semiconductor 42 interface rather than topographic features of semiconductor surface. The influence of laser 43 annealing on surface morphology and optical properties are also reported. To the best of 44 the current authors knowledge there are no other studies on the possibility of NEA in ZnTe 45 thin films.

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#### 47 2. EXPERIMENTAL

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49 Thin films of ZnTe were deposited on borosilicate glass substrate by electron beam evaporation technique in high vacuum (<5 x  $10^{-6}$  Torr). The thickness of the films measured 50 51 using a stylus profilometer [XP-1 of Ambios, USA] was of the order of 1000 nm. The films were subjected to laser irradiation at a wavelength of 532 nm at a power of 40 mW. To 52 53 make strips on surface, a scan table of optical microscope is set into continuous vertical and 54 horizontal motion with unequal oscillation amplitude. The laser is able to scan continuously 55 due to the automatic build in program. Repeating the auto scan cycle for up to 12 hrs 56 ensures pattering on visible portion of film. The pattern consisted of set of vertical long columns connected by short horizontal lines, making them easy to locate in the CAFM. 57

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59 Spectral transmittance curves were recorded by UV-Vis-NIR spectrophotometer (Model 60 V570 of JASCO, Japan) scanning in the range 190-2500 nm. The structural properties of 61 the films before and after laser annealing were determined by means of GI-XRD (Bruker D8 62 Discover diffractometer and Cu K<sub> $\alpha$ </sub> radiation ( $\lambda$ =1.5405A<sup>°</sup>)) with a grazing angle of 1.5<sup>°</sup>. The 63 surface morphology of the ZnTe films were investigated by FESEM (Ultra55 of Carl Zeiss), 64 combined with an EDX probe, using an electron beam energy of 10 to 20 keV. Prior to 65 investigation, the all films were coated with a uniform Au layer for good electrical 66 conductivity. EDX analyses were performed to identify the elemental composition.

67 The Conductive-Atomic Force Microscopy (C-AFM) studies were carried out in a SPI 3800 probe station (SII Inc., Japan) designed to perform topographical and conductivity 68 69 measurements simultaneously. The C-AFM was operated in the constant force contact 70 mode with Si cantilevers. The tip and one side of the cantilever were coated with 25 nm Au 71 layer and its radius of curvature is less than 35 nm. The sample stage and the cantilever are 72 carefully insulated from the apparatus frame. Conductive silver paint pasted on the top 73 surface of the films formed the other electrode, to which a bias voltage was applied. The 74 conductive tip was the counter microelectrode, connected to the ground potential. The 75 current images were simultaneously measured by applying a bias voltage in the range of ± 76 10 V and maximum current ±100 nA. Note that no reliable current image could be obtained 77 below bias voltage of ± 4 V. I–V curves were plotted by averaging data of ten independent 78 scans, each scan was separated by 100 msec delay time. Current measurements were also 79 performed by changing direction of bias voltage. Pt films of ~ 40 nm deposited by RF 80 magnetron sputtering onto undoped single crystal Si substrates were used as standard 81 reference samples.

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### 88 3. RESULTS AND DISCUSSION 89

#### 90 3.1 Structural and microstructure

92 The X-ray diffraction pattern for the as-deposited ZnTe thin films showed in Fig. 1(a) reveals 93 that the films are a mixture of amorphous and microcrystalline phases as evidenced by the 94 broad peaks centring around 27.4 and 38.3°. However, on subjecting the films to laser 95 irradiation there is an amorphous-crystalline transition, as seen from Fig. 1(b). Majority of 96 the observed peaks can be assigned to the zinc blende phase of ZnTe (PCPDF file no. 89-97 3054). In addition to these, there are peaks which are attributed to elemental Te (PCPDF file no. 89-4899) at  $2\theta$  values of 27.4° and 38.3°. Laser irradiation, thus, not only causes 98 99 crystallization of the films but also the dissociation of ZnTe in to ZnTe and Te.

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101 The surface morphology of the laser treated ZnTe film observed under the FE-SEM at 102 different magnifications is shown in Fig. 2(a)-(d). The chemical composition of the films 103 examined using EDX confirmed that the all films are Te-rich. The surface has a hierarchical 104 microstructure with top layer consisting of clusters of particles that are between 100-300 nm 105 in size. Underneath the clusters are micron sized strips of material (referred to as the plateau 106 region in the rest of the paper) separated by grooves (referred to as the valley region in the 107 rest of the paper) patterned over a large area that appear like gratings. The area of the image in fig. 2(a) is roughly 100 x 100  $\Box m^2$  and the width of the each strip is 2 to 4  $\mu m$  with 108 109 an inter-strip separation between 100-200 nm. Higher magnification image in fig. 2(b) shows 110 that within the strips there is a further hierarchy of microstructures with micron sized clusters 111 below which there are further layers of materials. Further magnification of these areas in figs. 112 2(c) and (d) reveals the formation of nanoclusters within the strips. It is generally accepted 113 that higher contrast will results from larger band gap (here ZnTe) material while lesser 114 contrast will results from low band gap (here Te) material due to the difference in ionization 115 energy of low and high bandgap materials [8].

By comparing color contrast in secondary electron images (Fig. 2(a),(b)), it can be inferred that there is a difference in chemical composition between the area within the strips and the grooves. Similar studies on ZnTe crystals were reported in the literature by Yabe *et al.* [9].

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#### 120 3.2 Optical transmittance

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122 The measured spectral transmission curve of the as-deposited ZnTe films is shown in Fig. 3. 123 It shows interference fringes due to the refractive index contrast between the film and 124 substrate. The transmission in the long wavelength region above the band gap is > 80%. 125 The fringe height is wavelength dependent, indicating optical inhomogeneity [10]. The 126 refractive index derived from the measured spectral transmission curves [5] varies between 127 2.9 to 3.1 in the wavelength region between 1100 to 2500 nm, indicating a slight dispersion 128 as a consequence of the optical inhomogeneity. The laser annealed films showed lower 129 refractive index as compared to the as-deposited ZnTe thin films which can be attributed to 130 the increase free carrier absorption. The band gap has been calculated by extrapolating 131 linear portion of the Tauc's plot to the energy axis [5]. The band gap value for the as-132 deposited and laser treated films are 1.4 eV and 1.2 eV, respectively.

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The optical band gap is less than the value of 2.26 eV for bulk ZnTe [11]. The spectral transmission of the films decreased by more than 15% under the influence of the laser irradiation and this was accompanied by a red-shift in the band gap which could be attributed to the formation of structural defects in laser treated films sample that leads to formation of energy states near the band edges. The detailed investigation on optical properties will be presented elsewhere.

#### 141 **3.4 Topography and current images**

143 The typical topography and current map recorded under ambient conditions are shown in 144 Fig. 4. The topography images in Fig. 4 and the current maps in Fig. 5 were recorded 145 simultaneously using a CAFM while applying a bias voltage varying in between  $\pm 10$  V. In the 146 current images, the bright regions correspond to high current carrying domains and the dark 147 regions illustrate low current carrying domains. The distribution of the bright spots is 148 concentrated on the plateau region while low conductvity area is mostly centered in the 149 valley region. The topography and current images reveals rough surface (as high as 400 150 nm) and inhomogenous transport properties. Te is possibly segregated in the groves created 151 due to dissociation and crystallization process.

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Significantly, thermal annealing of ZnTe at 500 °C also causes dissociation of ZnTe into ZnTe and Te. Hence, it is reasonable to assume that ZnTe crystallization and nucleation may form Te deficient (*n*-type) ZnTe. The nucleation characteristics of Te is different due to large difference in melting point of ZnTe (1239 °C) and Te (449 °C). It is, therefore, concluded that at elevated temperature the Te formed a '*liquid-like*' phase which drifts to the valley region of the film resulting in Te segregation.

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160 To obtain detailed information of the ZnTe and Te distribution in laser treated portion, CAFM 161 images were correlated with topography images. Figure 5 (a) and (b) show the current 162 images obtained using positive tip bias. Note that, although the current images show good 163 correlation with topography images, the variation in conductivity does not only result from 164 variation in topography. As discussed above, the plateau region is made up of *n*-type (Te 165 deficient) ZnTe while the valley region is made up of Te segregates. The current image of 166 the film shows that there is pattern of conducting strips (plateau region) surrounded by 167 relatively insulating strips (valley region). A similar pattern can also be seen in SEM images 168 (Fig. 2 (a) and (b)). The electrical contrast clearly provides evidence for phase separation in 169 current images. The valley region (dark features) consist mainly of Te segregates which form 170 ohmic contact with the Au tip. High conductivity (bright) regions were also observed and 171 these are assigned to *n*-type ZnTe phase. Interestingly, when the tip was biased with -4 V 172 (Fig. 5(c)), no correlation was observed between current image and topography image. 173 Also, the reverse contrast was not observed when tip was negatively biased indicating non-174 significant role of positive charge (holes) carriers from the film.

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177 Notably, significant increase in current was observed (fig. 5(d)) in the valley region with 178 increase in bias voltage, a result possibly related to the semimetal properties of Te [12]. 179 Since the current maps showed significant variation with polarity of tip biasing, formation of 180 Schottky barrier at Au-Te interface cannot be ruled out. On the other hand, as seen in Fig. 181 5(c) and (d), there is no morphological correlation to the electric current images in the (ZnTe-182 Au junction) plateau region of the film. This distinction is a direct consequence of the fact 183 that Schottky diode is a majority carrier device. The reverse bias leakage current is, 184 therefore, very small. The strong breakdown can only produce large reverse current which 185 was not observed up to -10 V in most of the film. However, an extremely low leakage current 186 at high applied reverse voltage can be attributed to minimal recombination across the 187 barrier. It is worth noting that the current images, when the tip was biased with negative 188 potential, show that the currents flow through some portions of the valley region attaining 189 negative values. The origin of negative current is probably the variation in charge separation 190 caused by the rough (rms value 400 nm) surface. Due to the resistive electrical contact, 191 upon contact with a metal, the charging of a semiconductor surface is expected. The 192 deposited charge may spread over a region much larger than the size of the contact [13]. A 193 metal tip deposits electrons on semiconductor surface, so that the local charge density rises

194 until an equilibrium potential is achieved. The deposited charges are in proportion to applied 195 reverse bias voltage and charge separation. The movement of tip over the rough 196 semiconductor surface produces a variation in charge separation. The increase of charge 197 separation reduces electrostatic force acting on the carriers. The reduced electrostatic force 198 tends to drift carriers in the opposite direction to maintain equilibrium, producing negative 199 current. To get more insight into the difference in the electric transport between the plateau 200 and valley regions, local I-V measurements were carried out which will be discussed 201 following in details.

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#### 204 3.5 I-V measurement

The I-V measurements were carried out on plateau and valley regions of the film surface.
The current values from I-V curves can be compared with the corresponding current images
(Fig. 5). Figure 6(a) shows the measured I-V characteristics for the Au-Te junction (valley region).

210 From the figure, it is evident that the contacts do not form complete ohmic properties even 211 though they exhibit almost symmetrical characteristics under positive and negative tip bias. 212 Some deviation in I-V curves was observed when tip bias was ramped from +5 to 0 V and 0 213 to -5 V, indicating Schottky diode like behavior. The observed behavior suggests that under 214 high bias conditions, the Au-Te junction no longer exists and the Schottky barrier 215 characteristics transform to an ohmic contact. It is well known that difference in work 216 function between metal tip and sample is crucial to determine the direction and amount of 217 flow of current. In general, electrons flow from a material with low work function to a material 218 with high work function. It is also expected that the currents across the metal-semiconductor 219 (M-S) interface will be decided by the type of majority charge carriers. The junction may 220 behave like an ohmic or rectifying contact depending on the combination of metal and 221 semiconductor used. In the present study, Au coated tip has a work function (i.e. electron 222 affinity for metals) of ≈5.1 eV, as compared to the electron affinity of Te of ≈4.95 eV [14]. 223 Thus, I-V properties of the Au-Te junction can be attributed to the small difference in Au and 224 Te electron affinities, low (0.3 eV) bandgap [15] and semimetallic properties of Te [12]. The 225 semimetallic properties of Au-Te junction deduced from the present I –V measurements are 226 in good agreement with the microscopic analysis of the valley regions inferred by the CAFM 227 images. These observations support the observation that the valley region in Fig. 4 is 228 comprised of Te segregates. Thus, the most likely origin of the Schottky barrier might be the 229 small mismatch in work function of Au and Te and intrinsic p-type conduction in 230 semiconducting Te [15].

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232 As shown in Fig. 6(b), when the tip bias was swept from +5 V to 0 V, the ZnTe-Au junction 233 draws huge current indicating a situation similar to that of the forward bias condition of a 234 diode. It should be noted that ZnTe has higher work function (5.27 eV) [16] than the Au-tip 235 (5.1 eV) [14] suggesting that electron flow in junction should encounter maximum resistance 236 when ZnTe is negatively biased (i.e. tip is positively biased). This leads to the conclusion 237 that ZnTe acts as an electron source indicating *n*-type nature, which is inconsistent with 238 conventionally observed intrinsic p-type conduction in ZnTe (i.e. current in ZnTe is governed 239 by the electrons instead of holes). The inference can be justified by the fact that dissociation 240 of ZnTe into ZnTe and Te leads to the formation of Te deficient (*n*-type) ZnTe. It should be 241 noted that in Te deficient ZnTe (leading to *n*-type ZnTe), defects will form which, in turn, 242 cause decrease in the work function of *n*-type ZnTe. As discussed in the analysis of 243 transmittance, the bandgap of ZnTe decreased because of its non-stoichiometry and 244 formation of defect states. The large deviation from bulk bandgap value of ZnTe might be 245 also related to the decrease in work function of laser treated ZnTe [17-18]. Further, it was 246 also seen that when voltage is swept from 0 V to -5 V, there was sharp decrease in current showing rectifying behavior. Since the nature of contact between M-S junction not only
depends on the type of majority charge carriers in semiconductor but also on work functions
of metal and semiconductors, a semiconductor with *n*-type conduction will form an rectifying
contact only with a metal of high work function, indicating large decrease in work function of
ZnTe [19].

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According to the Schottky–Mott model, barrier height is given by the difference between metal work function and electron affinity of the semiconductor [20]. Also, it has been proved that in compound semiconductors barrier height changes with the band gap variations [21-22]. In I-V measurements, as the reverse bias across MS junction is increased, a Fowler-Nordheim type tunneling current would be observed. The Fowler Nordheim current ( $I_{FN}$ ) can be expressed as:

$$I_{FN} = A\alpha \frac{V^2}{t^2} \exp\left[-\beta \frac{t}{V}\right] \tag{II}$$

259  $t^2 + t + V$  where *A* is the effective emission area (35 nm) of the conducting probe, *V* is applied voltage, 261 *t* is thickness (1500 nm with roughness 400 nm) of the *n*-type ZnTe.  $\alpha$  and  $\beta$  are given by

$$\alpha = \left[\frac{q^3m}{8\pi hm^*\phi}\right] \text{and } \beta = \left[\frac{4(2m^*)^{1/2}\phi^{3/2}}{3\hbar q}\right]$$

Here *m* is the free-space electron mass, *q* is the electron charge, *h* is Planck's constant, *m*\* (=0.09 m) is the effective mass of electron in ZnTe [23]. Here, we have determined barrier height by plotting  $In\left(\frac{J}{E^2}\right)$  verses  $\frac{1}{E}$ , where  $J = \frac{J_{FA}}{A}$  and  $E = \frac{V}{t}$  the plot (Fig. 7) produces straight line whose slope is (- $\beta$ ). The estimated value of barrier height is 32.4 – 78.3 meV.

Before concluding we would like to stress that thickness of *n*-type ZnTe (conducting region only) in present case must differ from the estimated thickness of thin film due to high roughness and composite nature of material. Although there might be significant deviation in actual value of barrier height, the extremely low value is very significant. This extremely low value of barrier height will facilitate further studies, particularly of the intrinsic *n*-type doping, which, in turn, may provide more physical insight for development of M-S junction detector for high frequency (THz) signals [24].

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Thus, based on I–V analysis it is clear that Schottky diode-like properties were observed at the Au-ZnTe interface and there is *n*-type conduction in ZnTe indicating significant reduction of work function of non-stoichiometric ZnTe. Thus, the present results clearly demonstrate the existence of negative electron affinity in Te deficient ZnTe achieved by modifying band gap and work function of ZnTe.

#### 282 4. CONCLUSIONS

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284 The influence of laser treatment on the electrical transport properties of ZnTe thin films by 285 conductive atomic force microscopy (CAFM) is reported. Films obtained by electron beam 286 evaporation were subjected to laser irradiation at 532 nm. The as deposited films were 287 amorphous but transformed to the crystalline state under influence of the laser treatment. 288 The X-ray diffraction patterns revealed that dissociation of ZnTe into ZnTe and Te. 289 Dissociation of ZnTe leads to formation of Te deficient ZnTe causing inversion of its 290 conductivity to *n* type. The results of scanning electron microscopy (SEM) and atomic force 291 microscopy (AFM) topography images revealed that the laser treatment forms long strips like 292 structures containing valley and plateau regions. The brightness and electrical contrast 293 clearly evidences a phase separation by SEM and current images respectively. The current-294 voltage (I-V) analysis and current maps indicated that valley is mainly consisted of Te

segregates while a plateau region is mainly consisted of *n*-type ZnTe. A Schottky barrier formed between Au-ZnTe junction was clearly demonstrated. Remarkably, very low value (32.4 – 78.3 meV) of barrier height is determined, which offers great prospect for development of high frequency detectors. Transport properties at Au-Te interface can be interpreted in terms of a combine effect of semimetallic properties of Te and formation of Schottky diode. The results provide evidence for negative electron affinity in laser treated ZnTe thin films.

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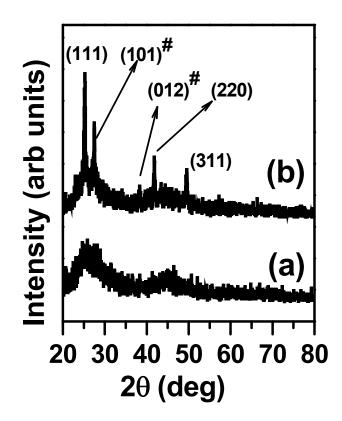
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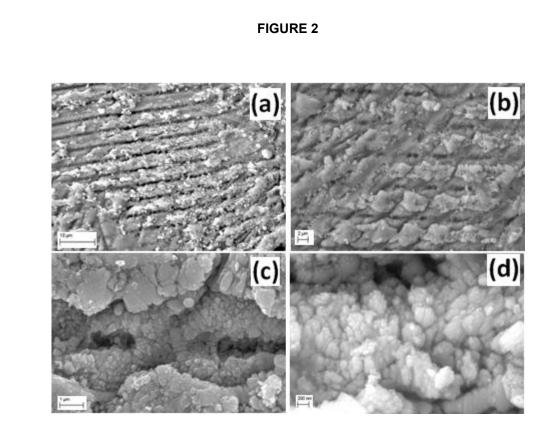
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#### 359 FIGURE CAPTIONS

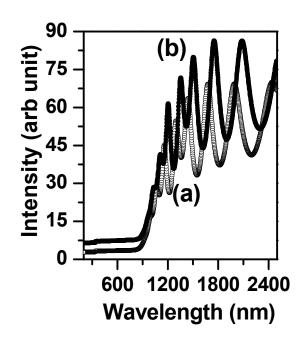
- FIG. 1. XRD patterns of (a) as deposited and (b) laser treated ZnTe thin films, # indicates Te phase
- 363 FIG. 2. SEM micrographs of laser treated ZnTe thin films with increasing magnification
- FIG. 3. Optical transmission spectrums of (a) as deposited and (b) laser treated ZnTe thin films
- Fig. 4. Continuous three-dimensional topography images of of the laser treated ZnTe thin film Fig. 5. Continuous two-dimensional current images of laser treated ZnTe films. The tip bias voltage was (a) +4 V, (b) +7 V, (c) -4 V and (d) -7 V. The contrast from white to black corresponds to the variation of current from 100 nA to -100 nA.
- FIG. 6. The I-V characteristics of (a) Au-Te and (b) Au-ZnTe junction of laser treated ZnTe film
- Fig. 7. The Fowler–Nordheim plots for current–voltage characteristics measured on Au-ZnTe
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| 400 | FIGURE 1 |
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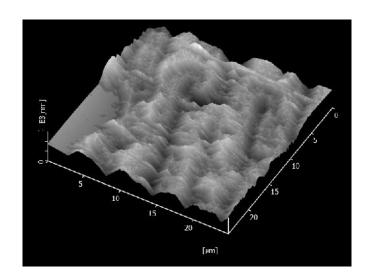




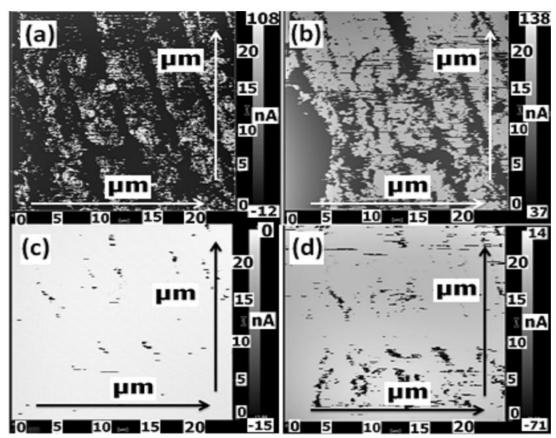
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| 410        | FIGURE 3 |
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**FIGURE 4** 







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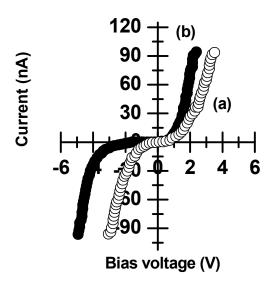




FIGURE 7

