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Electron energy levels for a finite elliptical quantum wire in a transverse magnetic field

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- 6 Abstract: We investigate the electron ground state energy, the first excited energy and the electron 7 density of probability within the effective-mass approximation for a finite strain elliptical wire. A 8 magnetic field is applied perpendicular to the wire axis. The results are obtained by diagonalizing 9 a Hamiltonian for a wire with elliptical edge. The electron levels are calculated as functions of the 10 ellipse parameter of the wire with different values of the applied magnetic field. For increasing 11 magnetic field the electron has its energy enhanced. The electron energy decreases as the elliptical 12 wire size increases. The density of probability distribution in the wire with different size in the 13 presence of a magnetic field has been calculated also. The smaller elliptical wire size can 14 effectively draw electron deviation from the axis. The ground state energy is compared with the 15 previous work.

16 Key Words: energy levels, electron density of probability, magnetic field, elliptical wire

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I. INTRODUCTION

19 In the past 40 years, modern growth techniques like molecular beam epitaxy, chemical vapour 20 deposition metal organic chemical vapour deposition and advanced lithography techniques have 21 made the realization of high quality semiconducting heterostructures possible. The peculiar optical 22 and electronic properties of nanometric systems with quantum-confined electronic states are 23 promising for uses in devices. Low-dimensional quantum nanostructures such as quantum wires 24 and quantum dots have attracted considerable attention in view of their basic physics and potential device applications.¹⁻² Quantum wire nanostructures can be fabricated now with monolayer 25 precision, with dimensions of a few nanometers, free from damage due to lithographic processing 26 27 by the use of all-growth fabrication processes based on epitaxial techniques. One of the most successful all-growth techniques for fabricating wires has been cleaved edge overgrowth.³⁻⁵ In this 28 29 approach, elliptical wires are created. Because of size quantization, the physical properties of 30 charge carriers in quantum structures strictly depend on external shape of the system under 31 investigation.

Recently, considerable effort was devoted to the achievement of self-assembled quantum wires, which can be formed under certain growth conditions by solid source molecular beam epitaxy. In this case the wires are formed by the Stranski-Krastanow growth mode, in which the materials that are deposited on top of each other have a substantially different lattice parameter. Spontaneous formation of self-assembled InAs quantum wires on InP (001) substrate, having 3.2% lattice mismatch, has been recently demonstrated.⁶⁻⁷ These nanostructures are promising candidates for light-emitting devices for wavelengths 1.30 µm and 1.55 µm⁸⁻⁹

In the theoretical works, it is customary to assume a circular, rectangular, V-groove and T shape for quantum wire. Considerable experimental and theoretical attention has also been devoted to elliptical quantum wire and ellipsoidal quantum dot. There are many investigations focus on the

quantum wires and quantum dots.¹⁰⁻²² The scattering matrix and Landauer-Buttiker formula within 42 the effective free-electron approximation has been used to investigate theoretically the electron 43 transport properties of a quantum wire.²⁰ The effects of strong coupling magnetopolaron in 44 quantum dot has been studied by using variational method.²¹ The ground-state energy of electron 45 in a quantum wire in the presence of a magnetic field parallel to wire axis is calculated.²² The 46 influence of laser field in quantum wells and dot have been considered also.²³⁻²⁵ The linear and 47 nonlinear optical absorption in a disk-shaped quantum dot is investigated in a magnetic field.²⁶ 48 III-V semiconductor is investigated particularly.²⁷⁻³¹ In addition, quantum ring has been studied 49 also.³²⁻³⁵ A two-electron system of a quantum ring under the influence of a perpendicular 50 homogeneous magnetic field has been investigated.³⁵ Among the papers, electron energy spectrum 51 in quantum wires have been studied. Electronic states in quantum dots have been calculated. 52 53 Binding energy in quantum rings have been studied using variational method.

54 In this paper, we present a diagonalization technique (within the effective-mass approximation) 55 for obtaining the electron energy levels and wave functions in a finite potential wire of the shape 56 of ellipse. Then we have the electron ground states and the first excited states varied with 57 transverse magnetic field and the ellipse eccentricity of the wire considering the lattice mismatch 58 of the wire. We have calculated the density of probability distribution also. In Sec.II we set up our 59 model and Hamiltonian. In Sec.III we present our numerical results. We offer conclusions in 60 Sec.IV. We expect that these conclusions will be useful in perfecting the understanding of the 61 growth process.

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II. THEORY

We note first of all that the shape of the wire is ellipse. Let us consider an electron moving in a quantum wire of elliptical shape. We consider the geometry of InAs/InP QWR as a elliptical quantum box with the major axis a along the x direction and semi-major axis b along the y direction. Different effective masses are assumed inside and outside the wire. Schematic illustration of a elliptical quantum box is given in figure 1.



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70 FIG. 1. The cross-section and the characteristic dimensions of the elliptical quantum wire.

71 In our work, the uniform magnetic field is perpendicular to the axis of the wire and is assigned

72 by the vector potential

$$A = By\hat{z} \tag{1}$$

Electron is confined in the x- and y- directions and can move freely along the wire direction because of the strong confinement in the x-y plane. Within the effective mass approximation, the

76 Hamiltonian of the electron in a quantum wire is given by

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$$\hat{\vec{H}} = (\hat{\vec{P}} - \frac{e}{c}\vec{A})\frac{1}{2m^{*}(x,y)}(\hat{\vec{P}} - \frac{e}{c}\vec{A}) + V(x,y)$$
(2)

78 where $m^*(x, y)$ is the electron effective mass, V(x, y) is the strained conduction band offset,

and $\hat{\vec{P}} = -i\hbar\nabla$ is the momentum. $m^*(x, y)$ and V(x, y) in the wire and barrier can be written as

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$$m^{*}(x, y) = \begin{cases} m_{1}^{*}, & x^{2}/a^{2} + y^{2}/b^{2} \leq 1 \\ m_{2}^{*}, & x^{2}/a^{2} + y^{2}/b^{2} > 1 \end{cases}$$
(3)

82
$$V(x, y) = \begin{cases} 0, & \frac{x^2}{a^2} + \frac{y^2}{b^2} \le 1\\ V_0, & \frac{x^2}{a^2} + \frac{y^2}{b^2} > 1 \end{cases}$$
(4)

83 where a and b are the ellipse semiaxes.

84
$$V_0 = E_{ce}(x, y) + a_c \varepsilon_{hyd}$$
(5)

 $E_{ce}(x,y)$ is the unstrained conduction band offset, a_c is the hydrostatic deformation potential 85 for the conduction band, and $\mathcal{E}_{hyd} = \mathcal{E}_{xx} + \mathcal{E}_{yy} + \mathcal{E}_{zz}$ denotes the hydrostatic strain. The 86 87 formation of self-assembled InAs/InP quantum wire is based on the strain-relaxation effect. It is 88 therefore interesting and important to consider the influence of strain on the electronic properties of the quantum wire. It is well known that \mathcal{E}_{xx} and \mathcal{E}_{yy} are determined as a function of the size 89 of the wire, while \mathcal{E}_{zz} is equal to the misfit strain $\mathcal{E}_0 = (a_{0lnAs} - a_{0lnP})/a_{0lnP}$ within the 90 strained QWR and equal to zero in the barrier. Therefore, the expression \mathcal{E}_{hyd} in the case of 91 92 hydrostatic strain for the electron depends only on the x- and y coordinates. It should be noted that in our strain calculation model this value is independent of the size of the quantum wire, because 93 94 the sum of the normal strain components \mathcal{E}_{hyd} is constant. For the electron, the edge of the conduction band is shifted down by the hydrostatic strain $a_c \mathcal{E}_{hyd}$, which is 144MeV for 95 96 InAs/InP quantum wire.

97 We have used the effective electron Bohr radius in InAs,
$$a_0^* = \frac{\varepsilon_0 \hbar^2}{m_1^* e^2}$$
, as the unit of length and

the effective electron Rydberg, $Ry^* = \frac{m_1^* e^4}{2\hbar^2 \varepsilon_0^2}$, as the unit of energy. We have also used the 98

quantity $\gamma = \frac{e\hbar B}{2m_1^* cRy^*} = \frac{\hbar^3 \varepsilon_0^2 B}{m_1^{\circ 2} ce^3}$. The Hamiltonian inside and outside the wire are different. 99

100 The Hamiltonian in the wire can be given as

101
$$\hat{H}_{1} = \left[-\frac{\hbar^{2}}{2m_{1}^{*}} \frac{1}{a_{0}^{*2}} \nabla^{2} + \frac{e^{2}B^{2}}{2m_{1}^{*2}c^{2}} a_{0}^{*2} y^{2} \right] / Ry^{*} = -\nabla^{2} + \gamma^{2} y^{2}$$
(6)

102 The Hamiltonian in the barrier can be given as

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$$\hat{H}_{2} = \left[-\frac{\hbar^{2}}{2m_{2}^{*}} \frac{1}{a_{0}^{*2}} \nabla^{2} + \frac{e^{2}B^{2}}{2m_{2}^{*2}c^{2}} a_{0}^{*2} y^{2} + V_{0} \right] / Ry^{*} = -\frac{m_{1}^{*}}{m_{2}^{*}} \nabla^{2} + \frac{m_{1}^{*2}}{m_{2}^{*2}} \gamma^{2} y^{2} + \frac{V_{0}}{Ry^{*}}$$
(7)

104 We investigate the elliptical quantum wire in elliptic coordinates system. In the elliptic coordinates ξ and θ bound to the Cartesian by the relationships 105

106
$$x = h \cosh \xi \cos \theta$$
; $y = h \sinh \xi \sin \theta$ (8)

107 where h is half of the distance between the foci of the ellipse. We expand the electron wave 108 function in terms of confluent hypergeometric function basis set because of a magnetic field is 109 perpendicular to the axis of the wire,

110
$$\Psi(\xi,\theta) = \sum_{n,m} a_{nm} \varphi_{nm}(\rho(\xi,\theta),\theta)$$
(9)

where, a_{nm} is the coefficient of the expansion and $\varphi_{nm}(\rho(\xi,\theta),\theta)$ is the orthogonal basis we 111

112 have chose.

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$$\varphi_{nm}(\rho(\xi,\theta),\theta) = \frac{\alpha^{|m|+1}}{|m|!} \sqrt{\frac{(n+|m|)!}{\pi n!}} \rho^{|m|}(\xi,\theta) F(-n,|m|+1,\alpha^2 \rho^2(\xi,\theta)) e^{-\frac{\alpha^2 \rho^2(\xi,\theta)}{2}} e^{im\theta}$$
114 (10)

where, α is a parameter and F is a confluent hypergeometric function. m and n are 115 round numbers. Eq. (10) is a set of orthogonal series as which the wave function is developed. 116 117 We use a diagonalization method to calculate the electron energies and wave function. The 118 Schrodinger equation of the electron can be written as

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$$\hat{H}\psi(\xi,\theta) = E\psi(\xi,\theta)$$
(11)

120 Inserting Eq. (9) into Eq. (11), we obtained the secular equation

121
$$\left|H_{nm,n'm'} - E\delta_{nn'}\delta_{mm'}\right| = 0 \tag{12}$$

122 The elements of the Hamiltonian matrix can be given as

123
$$H_{nnn'm'} = \iint \varphi_{nm}^{*} (\rho(\xi,\theta),\theta) \hat{H} \varphi_{n'm'} (\rho(\xi,\theta),\theta) dS$$
$$= \int_{0}^{2\pi} d\theta \int_{0}^{\xi_{0}} d\xi a^{2} (sh^{2}\xi + \sin^{2}\theta) \varphi_{nm}^{*} (\rho(\xi,\theta),\theta) \hat{H}_{1} \varphi_{nm} (\rho(\xi,\theta),\theta) + \int_{0}^{2\pi} d\theta \int_{\xi_{0}}^{\infty} d\xi a^{2} (sh^{2}\xi + \sin^{2}\theta) \varphi_{nm}^{*} (\rho(\xi,\theta),\theta) \hat{H}_{2} \varphi_{nm} (\rho(\xi,\theta),\theta)$$

After obtaining the eigenvalues (the ground states and the excited states) and the wave functions of the electron, we can get the energy levels when the magnetic field fixed and the electron density of probability distribution.

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III. NUMERICAL RESULTS AND DISCUSSIONS

(13)

In order to study the electron energy levels and the influence of a transverse magnetic field, the ground state energy, the first excited state energy and the density of probability distribution have been calculated for different magnetic fields. Several different size elliptical quantum wires have been investigated in this paper.

134	ξ_0 is a parameter which can describe the shape of an ellipse in ellipsoidal coordinates. The
135	value of ξ_0 belong to the interval $(0, \infty)$. The ellipse near to a line segment which length is 2a
136	when ξ_0 close to zero and near to an approximate circle which radius near to infinity when ξ_0
137	close to infinity.
138	The parameters we used in this paper are list in Table 1. ³⁶ For these values of the parameters,
139	the units of length and energy are respectively, $1a_0^* = 349.3 \text{ \AA}^\circ$, $1Ry^* = 1.36meV$,

140 $1\gamma = 1.8517B(T)$. The conduction band offset of the wire is 513meV when the strain is

- 141 considered.
- 142 143

 Table 1. The electron energy and the density of probability distribution are calculated using these parameters.

Material	m _e	ε	a ₀ (Å)	Eg(eV)	A _c
InAs	0.023	15.15	6.058	0.417	-5.08
InP	0.077	12.5	5.869	1.424	

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159 160 FIG. 3. The ground state energy of electron for a transverse magnetic field of 1.0T.

Figure 3 represents the parameter ξ_0 dependence of the ground state energy of electron in 162 163 elliptical quantum wire in a transverse magnetic field equal to 1.0T. The results are similar to the 164 case of the transverse magnetic field equal to 0.5T. The value of the ground state energy of the electron decreases as the parameter ξ_0 increases. The difference between the two energy values 165 for the wires with $h = 0.1a_0^{\bullet}$ and $h = 0.2a_0^{\bullet}$ becomes small as the ξ_0 increases. From figure 166 167 2 and figure 3, it can be seen that the energy value in the wire when the magnetic field equal to 168 1.0T is bigger than that of 0.5T because of large magnetic field effects. 169 In Fig. 4, we plot the fist excited energy of electron versus the parameter ξ_0 for different elliptical quantum wires as the parameter $h = 0.1a_0^{\bullet}$ and $h = 0.2a_0^{\bullet}$ in a transverse magnetic 170 field equal to 0.5T. As can be seen, the fist excited energy decreases as ξ_0 increases and the 171 energy in the wire for $h = 0.2a_0^{\bullet}$ is smaller than the energy for $h = 0.1a_0^{\bullet}$. That is because the 172 173 spatial confinement caused the results when the magnetic field is fixed. The spatial confinement is 174 determined by the size of elliptical quantum wire, which becomes big as the parameters h and ξ_0 increases. In comparing the results in figure 4 to the data in figure 2, we can find that the first 175 176 excited energy is bigger than the ground state energy of the electron in the elliptical quantum wire.



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FIG. 4. The first excited energy of electron for a transverse magnetic field of 0.5T.

For the wires with the parameter $h = 0.1a_0^{\bullet}$ and $h = 0.2a_0^{\bullet}$, the fist excited energy of 180 181 electron as a function of the parameter ξ_0 in elliptical quantum wire for a transverse magnetic field equal to 1.0T is shown in figure 5. The energy decreases with the parameter ξ_0 increasing. 182 183 The difference between the curves of the first excited energy for the wires given ξ_0 with the parameter $h = 0.1a_0^{\bullet}$ and $h = 0.2a_0^{\bullet}$ increases as the ξ_0 increases. The results are similar to 184 185 the case of the transverse magnetic field equal to 0.5T. From figure 4 and figure 5, we obtain that 186 the first excited energy of the electron in a magnetic field equal to 1.0 T is bigger than the energy 187 in a magnetic field equal to 0.5 T when the size of the wire is fixed. That is because when the wire 188 size is fixed, the value of the first excited energy of the electron with the bigger applied magnetic 189 field becomes more big due to the energy comes both from the spatial confinement and the 190 magnetic field confinement. From Figs. 3 and 5, we can conclude that the first excited energy is 191 bigger than the ground state energy in a wire with a fixed magnetic field. 192 We can also calculate the electron ground state energy and the first excited energy when the

192 we can also calculate the electron ground state energy and the first excited energy when the 193 magnetic field varies or the value of the magnetic field equal to zero using this method. For a 194 given wire, the ground state energy and the first excited energy of electron increase as the applied 195 magnetic field increases in the elliptical quantum wire.

196 The electron ground state energy is similar to the case that the magnetic field parallel to the wire 197 $axis^{22}$ when the value of the magnetic field equal to 0.5T. It is probably that the difference of the

198 two cases that in the presence of the magnetic field along x-axis and z-axis is obviously when the 199 value of the magnetic field become larger.



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FIG. 5. The first excited energy of electron for a transverse magnetic field of 1.0T.

203 To further confirm the size of quantum wire effect, the electron density of probability distribution $|\psi|^2$ in the wire with h=0.10 a_0^* , h=0.15 a_0^* , h=0.20 a_0^* for $\theta = \pi/2$ and 204 205 $\xi_0 = 0.1$ in the presence of a magnetic field equal to 1.0T is shown in Fig. 6. After calculating 206 the wave functions of the electron, we obtained the density of probability of the electron. It can be clearly seen that the electron density of probability $|\psi|^2$ increases with ξ increases, reaching a 207 208 maximum value between 0.35 and 0.38 and then decreases rapidly. After comparing the three 209 curves, we have got that the smaller size elliptical quantum wire tends to shift the electron wave 210 function away from the wire center. The smaller size wire can effectively draw electron deviation 211 from the axis, so the electron energy is become bigger correspondingly.

We can calculate the density of probability distribution in other region of the wire, such as $\theta = \pi/6, \pi/4, \pi/3$ and so on. We can also get the density of probability distribution in other elliptical quantum wires.



216 FIG. 6. $|\psi|^2$ for a electron in wire with h=0.10 a_0^* , h=0.15 a_0^* , h=0.20 a_0^* for B=1.0T as

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$$\theta = \pi/2$$
 and $\xi_0 = 0.1$

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IV. CONCLUSIONS

In summary, considering the hydrostatic strain, through investigating a self-assembled InAs/InP finite elliptical quantum wire in a transverse magnetic field by a diagonalized method within the effective-mass approximation, we have obtained that the ground and first excited state energies and the density of probability distribution. The ground state energy has been compared with that when the magnetic field applied along z-axis.

225 The main results are that the ground state energy and the first excited state energy are become small as ξ_0 varies from 0.1 to 0.5 with $h = 0.1a_0^{\bullet}$ and $h = 0.2a_0^{\bullet}$ in the presence of a fixed 226 227 transverse magnetic field when the applied magnetic value equal to 0.5T and 1.0T. The electron 228 ground state energy and the first excited energy with the magnetic field varies or the value of the 229 magnetic field equal to zero by diagonalizing a Hamiltonian for a wire with elliptical edge. The 230 ground state energy and the first excited energy of electron increase as the applied magnetic field 231 increases. We obtained the density of probability distribution in the wire with h=0.10 a_0^* , h=0.15 a_0^* , h=0.20 a_0^* for $\theta = \pi/2$ and $\xi_0 = 0.1$ in the presence of a magnetic field equal to 232 233 1.0T. The smaller size elliptical quantum wire tends to shift the electron wave function away from 234 the wire center with a fixed magnetic field, so the electron energy is become bigger in a smaller

size wire. The electron ground state energy is similar to the case that the magnetic field parallel to

the wire axis when the value of the magnetic field is small.

The numerical calculations reveal that the influences of the magnetic field and the barrier on the electron energy levels are considerable. It is shown that the energy depends on the magnetic field strength and the size of the ellipse, whereas their competition determines the energy levels. The electron energy levels for the narrow elliptical wire are more sensitive to the applied magnetic field and for the bigger magnetic field are sensitive to the elliptical wire size.

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